Analyzing DG/UX® System **Performance**

Analyzing DG/UX® System Performance

069-701142-03

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Preface

This manual describes how to analyze DG/UX system performance and fine-tune your system. It also explains how the DG/UX system implements the major abstractions of a computer: a CPU, virtual memory, a file system, and I/O devices.

How this manual is organized

This manual contains seven chapters and a glossary of terms:

Chapter 1	Introduces DG/UX system performance.
Chapter 2	Describes the CPU and processes.
Chapter 3	Discusses memory.
Chapter 4	Describes the DG/UX operating system's file system and disk I/O.
Chapter 5	Describes terminal I/O.
Chapter 6	Discusses networking.
Chapter 7	Describes the ccNUMA technology implemented in the DG/UX system and how to monitor and optimize DG/UX systems with ccNUMA.
Glossary	Defines concepts and terms used in this manual.

Related Data General manuals

Within this manual, we refer to the following manuals:

Managing Mass Storage Devices and DG/UX® File Systems (069–701144). Explains how to manage disk and tape drives. Also explains DG/UX file systems, virtual disks, mirrors, and caching.

Managing TCP/IP on the DG/UX° System (069–701137). Explains how to prepare for the installation of Data General's TCP/IP (DG/UX) package. Tells how to tailor the software for your site, and use sysadm to manage the package and troubleshoot system problems.

Managing the DG/UX® System (069–701141). Explains how to manage the DG/UX system. Includes information about the Class Scheduling Facility (CSF).

Format conventions

We use the following format conventions in this manual:

Convention	Meaning
boldface	Indicates text (including punctuation) that you type verbatim.
	All DG/UX commands, pathnames, and names of files, directories, and manual pages appear in this typeface.
monospace	Represents a system response (such as a message or prompt), a file or program listing, or a menu path.
italic	Represents variables for which you supply values; for example, the name of a directory or file, your username or password, and explicit arguments to commands.
{ }	Braces indicate a choice of arguments. You must enter one of the enclosed arguments. Do not type the braces; they only set off the choices.
[]	These brackets surround an optional argument. Don't type the brackets; they only set off what is optional.
[]	Boldface brackets are literal characters that you must type.
	Means you can repeat the preceding argument as many times as appropriate.
\$ and %	Represent the system command prompts for the Bourne and C shells, respectively. Note that your system might use different symbols for the prompts.
4	Represents the Enter key. (On some keyboards this key is called Return or New Line.)
< >	Angle brackets distinguish a command sequence or a keystroke (such as <ctrl-d></ctrl-d> , <esc></esc> , and <3dw>) from surrounding text. Don't type the angle brackets.

< , > , >>

These boldface symbols are redirection operators, used for redirecting input and output. Boldface angle brackets are literal characters that you must type.

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End of Preface

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DG/UX System Performance

This manual is intended to help you monitor and improve the performance of your DG/UX systems. Gathering and analyzing performance statistics for the operating system can help you improve both system and application performance. This manual explains how you can use system operation performance data to understand and improve system and application performance.

This chapter discusses:

- How to monitor and analyze system performance
- System resources
- Sources of performance data
- Tuning configuration variables
- General performance strategies
- Resource questions and performance strategies

The other chapters in the manual explain how the DG/UX system implements the major abstractions of a computer — a CPU, virtual memory, a file system, and I/O devices — and how you monitor and adjust these resources to improve system performance.

Monitoring and Analyzing System Performance

First, you should understand what constitutes normal performance for your system and system resources, as well as average and peak system workloads. Although this manual often gives general guidelines regarding normal performance, you should determine what the particular threshold values are for your system.

Then, use this manual to generate system performance statistics and analyze the statistics to find areas where you can improve performance. Your goal is to maximize efficiency and eliminate system bottlenecks. However, you probably will reach a point at which you achieve maximum system performance — at that point, further work on your part leads to diminishing returns.

Finally, once you understand normal performance and average and peak workloads on your systems, you will be better able to detect performance problems. Use this manual to figure out where the problems lie, and how and what you can adjust to fix them.

System Resources

This section explains where to find information about your system resources in this manual.

CPU

Chapter 2 explains how the DG/UX system allocates CPU resources to processes. The chapter covers the concepts of threads, lightweight processes (LWPs), LWP groups, the Medium Term Scheduler, context switching, and interrupt handling.

Memory

Chapter 3 explains how the DG/UX system uses physical memory and a swapping device so that each process has access to a large virtual address space. The chapter describes the concepts of page faults, purging, and swapping.

File Systems and Disk I/O

Chapter 4 explains how the DG/UX system supports its file system, file system metadata caching, and raw device I/O. The chapter tells how to organize data on a disk for efficient access.

Terminal I/O

Chapter 5 explains how the DG/UX system supports terminal I/O.

Networking

Chapter 6 explains the most important and common factors affecting network performance.

ccNUMA DG/UX Systems

Data General ships the DG/UX Cache-Coherent Non-Uniform Memory Access (ccNUMA) technology on its AV 20000 and 25000 series machines. Fundamentally an extension to the DG/UX Symmetric Multi-Processor (SMP) architecture, DG/UX ccNUMA offers significant performance improvements with no required modifications to existing SMP applications.

For detailed information about DG/UX ccNUMA system technology and how to modify configuration variables to improve ccNUMA system performance, see Chapter 7.

Sources of Performance Data

Most performance data comes in raw form from DG/UX system calls such as <code>dg_sys_info()</code>, <code>dg_paging_info()</code>, <code>dg_process_info()</code>, <code>dg_lwp_info()</code>, <code>dg_vm_process_info()</code>, <code>dg_cpu_info()</code>, <code>getrusage()</code>, <code>ioctl()</code>, and <code>dg_syscall_info()</code>. The include files found in <code>/usr/include/sys</code> describe the raw numbers these calls generate. For more information about one of these system calls, see its manual page.

Performance analysis tools bundled with the DG/UX operating system are **sar**, **nsar**, **ops**, **nps**, **timex**, **prof**, and, for ccNUMA systems, **nsar_numa**. You can also use optional, value-added tools such as UX/RPM (the DG/UX Real-time Performance Monitor) and Mxdb (Data General's Multi-extensible Debugger).

System Activity: sar, nsar, nsar_numa

You can review statistics on CPU performance, disk and terminal I/O, memory usage, process communication and execution, and other activity with the **sar** (system activity reporter) command.

The **nsar** command displays system activity statistics as well. In addition to the information displayed by **sar**, **nsar** displays data such as DG/UX virtual memory statistics, kernel memory allocation activity, page-out activity, and virtual disk I/O statistics. The **nsar_numa** command extends **nsar** to display ccNUMA locale-specific statistics; for more information about **nsar numa**, see Chapter 7.

Note that if you have DG/UX Clusters (an optional DG/UX product), **nsar** statistics are reported only for the one cluster node where the tool is run. If you want a cluster-wide view of disk I/O performance, you must run **nsar** on each cluster node and combine the data.

The System -> System Activity menu of **sysadm** provides operations for starting and stopping system activity monitoring, deleting old data collection files, and reviewing reports. You use **sar**, **nsar**, and **nsar_numa** at the command line; read the man pages for details.

Process Activity: ops, nps, timex, acct, prof

The **ops** command prints information about active processes. In addition to the information displayed by **ops**, **nps** prints DG/UX process and LWP information (LWPs are defined in Chapter 2). The System -> Process menu of **sysadm** provides operations for listing and deleting processes as well as changing process priority. You can also use **nps** and **ops** at the command line; read the manual pages for more information.

You can measure the elapsed, user, and system time of a command, report process data, and report system activity with the **timex** command. The given command is executed; the elapsed time, user time and system time spent in execution are reported (in seconds). Optionally, you can choose to list or summarize process accounting data for the command and all its children (see the **acct**(1M) man page for accounting information). You can also receive a report of total system activity during the execution interval. See the **timex** manual page.

The **prof** command interprets a profile file produced by the **monitor**(3C) function. See the manual pages for **prof** and **monitor**.

System Activity and Debugging: UX/RPM, Mxdb, mxprof

UX/RPM collects and displays system and disk performance information as well as process data. The data is presented through a series of screens, and the performance data can be logged to disk for later playback. UX/RPM can also produce data interchange format (DIF) files from logs for analysis by standard spreadsheet tools.

Another profiling command, **mxprof**, works with Elf executables that use shared objects, and does not require special compilation or linking. **mxprof** is available in the separate product Mxdb.

Configuration Variables and Performance Tuning

Tunable configuration variables set various table sizes and system thresholds to handle the load on your system. You'll find that the default values of configuration variables are adequate for most configurations and applications. If your applications or your computing environments have special performance needs, you may have to experiment with different variable settings to achieve optimal system performance.

Chapters 2 through 6 list relevant tunable configuration variables at the end of each chapter. Chapter 7 describes ccNUMA-specific tunable configuration variables in each subsystem section. To set or modify a configuration variable, you do one of two things:

- Change the configuration variable value in your system file and then build a new kernel (use the **sysadm** System -> Kernel -> Build menu option) to make the value take effect. General information about DG/UX kernel configuration variables is available in **/usr/etc/master.d/***. For how to change a configuration variable and build a new kernel, see *Managing the DG/UX System*.
- Use the **admkernelparam** command to change and activate a configuration variable value while the system is up and running without rebuilding the kernel, and to save parameter values to be restored at the next reboot. The command can modify only certain configuration variables; to see a list of the tunable variables that it can modify, enter admkernelparam -o list at the command line. See the admkernelparam(1M) man page for more detail.

General Performance Strategies

This section explains general strategies with which you can experiment to improve performance.

To ensure maximum system performance, you should first monitor system performance on a daily basis — establish your criteria for good system performance and note your system thresholds. Then you should check for:

- Jobs running during peak hours that could just as well run during off-peak hours; less important jobs interfering with more important jobs.
- Backups of data during critical times.
- The efficiency of the PATH environment variable.
- Directories or file systems that are very large.

Job Scheduling and Backups During Of-Peak Hours

During the busiest times of the day for your system, see which processes are less critical and might be run at a different time of day. Note that there is generally increased activity just before lunch and at the end of the workday.

If you regularly run processes that take a very long time to execute, consider using **cron**(1M) or **at**(1) to execute the job during off-hours, or use **batch**(1) to execute the job when system load level permits.

Use the **crontab** command to examine users' crontab files to see if there are jobs scheduled for peak hours that could just as well run during off hours.

Encourage users to run large, noninteractive jobs (such as program compilations) at off-peak hours. You may also want to run such jobs with a low priority by using the **nice**(1) or **batch**(1) commands. As superuser, you can always change a job's priority with the **renice**(1M) command.

Whenever possible, do backups of data during off-peak hours.

Efficiency of Search Path Variables

Every shell process has a **path** or **PATH** environment variable that lists the directories that the system should search when looking for a command invoked by the user. Every time the user issues a command, the system scans the directories in the path to see where the command resides. If the command invokes other commands, the system has to scan the search path for them too. These searches require both processor and disk time; thus, changes here can help performance.

Some things that you should check for in user search path variables are:

Path efficiency

The system searches the path directories in the order listed, so your most commonly used directories should appear first in the path. Make sure that a directory is not searched more than once for a command.

Local versus NFS file systems

Putting directories that are on NFS mounted file systems after those that are on local disks improves performance.

Path length

In general, the search path should have the least number of required entries. Use link files where possible to avoid increasing search path size.

Large directory searches

Avoid searching large directories if possible. Put any large directories at the end of the search path.

IMPORTANT Do not put the current directory, represented by a dot (.), on any superuser search path. In user search paths, the current directory should always appear last, if at all. Placing the current directory on your path may cause you to inadvertently execute a nonsecure script or program that has the same name as a common command.

Reduction of Directory Sizes

If possible, break up large directories into smaller directories. Use the **find** command to search for large directories (those with more than 1000 entries) and consider breaking them up into smaller directories.

A common mistake that users make is to place an application, source code, and object code all in the same directory. To reduce directory search time, the solution is to create three directories: one for the application executables, one for the source code, and one for the object code. Then, for a normal user, only include the application executables directory in the search path.

Use the following **find** command to search for and list large local directories:

```
# find / -type d -size +15 -local -print | more
```

To get a quick file count for a large directory, \mathbf{cd} to the directory and execute this command:

Resource Questions and Performance Strategies

This section explains questions about system resources that you can explore to find ways of improving performance, and recommends strategies to deal with what you find. See the other chapters in this manual for detailed explanations of these system resources. Refer to other chapters and also to other manuals in the DG/UX documentation set for how to make recommended system modifications.

If you decide to make adjustments to your system, we strongly recommend making one adjustment at a time, not all modifications at once. If you make only one adjustment and then check performance statistics, you can get a good idea of how that particular modification affects your system.

Process Performance Questions

For detailed information about processes, CPUs, and how they influence system performance, see Chapter 2.

1. Are there unbound runnable processes?

If there are many unbound runnable processes, there is not enough physical memory in the system to keep it running smoothly. Look at this statistic using the **nsar -q** command.

2. Are there any problems with CPU usage, per-process or system-wide?

Use the **time**(1), **timex**(1), or **ps** command to obtain the per-process CPU usage, and the **nsar –u** command to get the system-wide CPU usage. On a ccNUMA system, use the **nsar_numa –u** command to obtain the per-locale CPU statistics. If the load is not balanced between ccNUMA locales, consider making load balancing more aggressive or affining processes to different locales.

3. What is the rate of context switches?

Use the **nsar** –**w** command to find out the number of context (process) switches per second. These context switches can be due to the time slice expiring, the process stalling on a contended resource such as a semaphore or kernel level lock, or the process waiting for an I/O operation to finish. The time slice can be increased with the MAXSLICE configuration variable. If there is too much contention for a single shared resource, consider distributing the load across multiple resources.

4. What is the average number of processes?

The **nsar -v** command tells how many processes are active. If this number suddenly increases, there may be runaway processes that are failing to terminate. You can use the **ps** command to see the status of all system processes.

5. Are there any process table overflows?

The **nsar -v** command shows the current number of processes and the limit of the number of processes. If the limit has been reached under normal circumstances, consider increasing the value of the NPROC configuration variable. Making NPROC too large wastes system memory, so it should be only as large as needed.

Memory Performance Questions

For detailed information about memory and related system performance, see Chapter 3.

1. Is the freeswap value between 15% and 30% of the total physical memory and swap area on the system?

Use the **nsar -r** command to look at free memory and freeswap statistics. If the minimum and average free swap values are consistently higher than 30% of this total, you may be able to improve performance by decreasing swap space and thereby increasing the amount of free disk space.

2. Is thrashing a problem?

For information about thrashing and what to do about it, see Chapter 3. One alternative for fixing a thrashing problem is to decrease the PERCENTBUF value to avoid excessive paging; for detailed information about PERCENTBUF, see Chapter 4.

3. Is the rate of hard faults acceptable?

A high hard fault rate usually indicates that there is not enough memory in the system to effectively cache disk data. Use the **nsar -p** command to see the hard fault rate.

File System and Disk I/O Performance Questions

For detailed information about file system and disk I/O performance analysis and issues, see Chapter 4. For comprehensive information about disk storage devices and DG/UX file systems, see *Managing Mass Storage Devices and DG/UX*® *File Systems*.

1. What is the average %rcache ratio?

If the average is near 100%, you may see a performance improvement by adjusting PERCENTSYSBUF to bring the ratio down to 97–98%. This frees system memory used unnecessarily for file system metadata buffering. Use the **nsar** –**b** command to get this data. See Chapter 4 for more details.

2. What is the rate of inode entry searches?

Use the **nsar -a** command to get this value.

3. Does there seem to be a problem with fragmentation?

Disk fragmentation occurs incrementally over time. As files are deleted and disk space is freed, new files tend to be stored in more and more non-contiguous blocks across the available memory space. As file fragmentation increases, disk access rates also increase and cause progressive degradation of system performance.

If the free data blocks histogram displayed by **dumpfs** shows many small blocks and not very many large blocks for file systems, your disk space is fragmented. Defragmenting a system by backing up and then restoring its data can improve system performance.

4. Are many volatile file systems concentrated on a few physical disks?

The command **nsar -d -WD=v** can help you determine virtual disk usage.

If you determine that most disk I/O is occurring on a few physical disks, a major disk reorganization that distributes the I/O load more evenly may improve performance. The goal of such a reorganization is to create a similar ratio of active to inactive virtual disks on each physical disk. Disk reorganization can yield significant performance improvement.

To reorganize the disks, use the **nsar** command noted above to figure out which virtual disks are most active and use the command **admpdisk** –**o** list –**p** to get the current disk layout. Based on this information, determine which virtual disks should go on which physical disks. Second, archive each file system carefully with **dump**, **dump2**, or some other backup utility. Third, set up the new virtual disk organization on the physical disks. Fourth, restore each file system.

If your computing environment uses NFS for remote file system mounts, you should notify other system administrators of your disk reorganization plans and of any changes you make to file system names during the disk reorganization. Depending on the changes you make, they may need to remount or rename mount points they have to your file systems

5. Is the percentage used of each read-write file system under 80%?

Performance degrades on file systems that are over 80% full. If the percentage used of a file system is over 80%, you can reduce the percentage by deleting or archiving unnecessary files or by expanding the file system size.

Use the command **admfsinfo –o diskuse –l** to get a quick listing of local file systems and their inode and block usage.

6. How are the service, wait, and response times for the physical disks?

The higher these numbers, the longer it takes your system to process a request. High numbers are likely to appear for physical disks with much memory fragmentation. Defragmenting the system or the physical disks generating high numbers is likely to improve performance and lower the numbers.

You need to balance service time against run queue length when looking at disk performance. Long run queues mean a heavier load and, coupled with high service times, can reduce performance. The ideal run queue length for a physical disk is 1 (one). A striped logical unit can handle a higher run queue length than a single unstriped disk.

7. Is the swap area on one physical disk?

If yes, redistributing the swap area across several physical disks and storage processors may balance the swap workload and improve performance. You can create up to a total of eight swap partitions to spread across the physical disks.

Terminal I/O Performance Questions

For detailed information about terminal I/O performance analysis and issues, see Chapter 5. For comprehensive information about terminal management on the DG/UX system, see *Setting Up and Managing Terminals on the DG/UX System*.

1. Do the output and input character rates seem normal?

Use the **nsar -y** command to get the input and output character rates.

2. Do you regularly monitor the size and contents of the /etc/wtmp directory?

If not, check the directory for files that are no longer needed and delete them. Freeing the disk space may improve performance.

Networking Performance Questions

For detailed information about network performance analysis and issues, see Chapter 6. For comprehensive information about networking on the DG/UX system, see *Managing ONC/NFS and Its Facilities on the DG/UX System* and *Managing TCP/IP on the DG/UX System*.

1. Is the ratio of input datagrams dropped to the total number of datagrams received below 0.05%?

Use the command **netstat** -s to get this information.

2. Is the number of bytes in the send queue 0 (zero) for most connections?

Use the command **netstat** to get this information.

3. Is the number of input errors 0.025% of the total number of input packets?

Use the command **netstat** -i to get this information.

4. Is the number of output errors 0.025% of the total number of output packets?

Use the command **netstat** -i to get this information.

5. Are there excessive collisions present for any Ethernet interfaces?

Use the command **snmpgettab** *hostname* **public dot3StatsTable** to get this information.

6. Are any of the numbers in the bad checksum fields greater than an extremely small percentage (hundredths of a percent) of the total number of packets received?

To get this information, use the **netstat -s** command and review the bad checksums fields under the **udp**, **tcp**, **icmp**, and **ip** headings in the output.

7. Is the retrans field greater than 5% of the total number of client NFS calls?

To get this information, use the command **nfsstat** and review the output under the **Client rpc** heading. If yes, also check the badxid number. A high retrans percentage and high badxid number can indicate latencies in the network.

ccNUMA Performance Considerations

The overall performance of ccNUMA DG/UX systems improves with particular attention to memory management, file systems, and load balancing. For information about improving ccNUMA system performance, see Chapter 7.

End of Chapter

CPU and Processes

The DG/UX system, like most general-purpose operating systems, provides the abstraction of a virtual CPU to processes running on it. Each process appears to have the processor and a virtual address space to itself.

This chapter describes how your system deals with processes. These topics are covered:

- CPU usage, including system calls, context switches, and signals
- Lightweight processes (LWPs) and LWP groups
- Medium Term Scheduler
- Per-process statistics
- Per-LWP statistics
- System-wide process statistics
- Configuration variables (CPU/process, message, semaphore, and scheduler)

CPU and Process Terms

Here are some terms that will be used in the following sections:

A *process* consists of an address space with one or more threads executing within that address space and their required system resources.

A *thread* is a single flow of control within a process. Each thread has its own thread ID number, its own scheduling priority and class, and its own stack, which the thread uses to store local variables. Threads are equal siblings that share the resources of a process. This sharing reduces significantly the thread's overhead and simplifies inter-thread communication.

An *LWP* is a "lightweight process." Each thread has a corresponding LWP in kernel space. These highly optimized LWPs have very low memory overhead. <u>In this manual, LWP denotes a thread at the kernel</u> level that is an active executing entity.

An *LWP group* is a set of computationally related LWPs sharing the same global priority, global scheduling class, CPU accounting, and scheduling time slice.

A *job processor (JP)* is one CPU in a Data General computer system. AViiON computers are available with up to 64 JPs. Contact your Data General account representative for information about additional CPU expansion capabilities for your specific hardware.

The *Medium Term Scheduler (MTS)* is a kernel LWP that schedules LWP groups. LWP groups are scheduled globally against other LWP groups in the system based upon their global scheduling priority and class. Each LWP group has one or more LWPs that are scheduled locally within the LWP group, based upon their local scheduling priority and class.

The *dispatcher* runs LWP groups, then LWPs within LWP groups. Multiple processors may execute LWPs from the same LWP group at the same time. The hardware implementation (actual number of physical processors) becomes irrelevant to the higher levels of the kernel.

Figure 2–1 shows the general design.

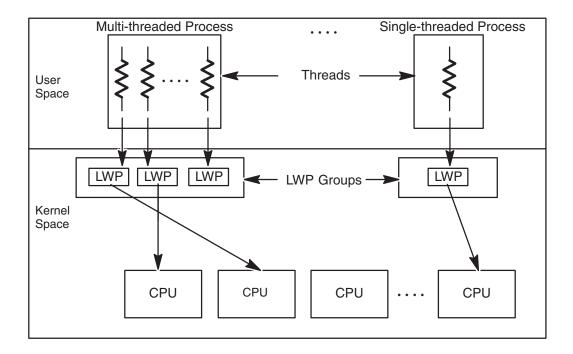


Figure 2–1 Processes, Threads, LWPs, and CPUs

A VP (virtual processor) is the dispatcher-level component of an LWP. The dispatcher maps every LWP to a VP.

The code for the kernel's dispatch scheduler runs on each JP in a multiprocessor system. A JP may reschedule globally (among LWP groups) or locally (within LWP groups) depending on the event that provoked the rescheduling. When an LWP within an LWP group suspends itself, the JP reschedules locally. Otherwise, when a JP is available, it reschedules globally by looking at the scheduling queues to determine which LWP group to run next.

A *scheduling queue* contains a list of eligible LWP groups. There may be multiple scheduling queues, depending upon the number of CPUs in your system and the complexity of the cache hierarchy. The kernel dispatch code looks at the queues and loads the highest priority LWP group eligible to run on the available JPs.

You can control some aspects of scheduling with the Class Scheduling Facility (CFS). For information about how to use CSF as implemented on the DG/UX system, see *Managing the DG/UX System*. Chapter 7 in this manual discusses ccNUMA-specific enhancements to CSF.

Scheduling

The DG/UX system supports symmetric multiprocessing and uses a multi-level scheduler. The Medium Term Scheduler (MTS) determines the policy, while the actual scheduling is done by the dispatcher.

The MTS binds an LWP group by giving it one or more wired transient data sections. It is called transient data because it is only used by an LWP for one trip inside the kernel. An LWP group must be bound in order to be dispatched. If there are more LWP groups than available transient data, the MTS decides which subset of the LWP groups will be bound. The dispatcher then decides how to distribute transient data among LWPs in an LWP group. An LWP must have transient data in order to run on a processor.

Once an LWP enters the kernel for a normal system call or interrupt, it keeps its transient data until it leaves the kernel or exits. An LWP that suspends itself in user space is free to give up its transient data to other LWPs in its LWP group.

To see if your system is severely loaded, check the unbound runnable LWP groups (Unbound Runnable Processes using UX/RPM, swpq–sz using **nsar** –**q**). For example, use this **nsar** command to query the system three times at 10-second intervals (see the **nsar** or **sar** man page for more information about command arguments):

```
% nsar -q 10 3 ↓
15:35:07 rung-sz %runocc swpg-sz %swpocc
15:35:17
           226.0
                      100
                             0.00
                                         0
15:35:27
           225.0
                      100
                             0.00
                                         0
15:35:37
           225.0
                             0.00
                                         0
                      100
                                         0
Average
           225.3
                      100
                             0.00
```

A value other than zero indicates one of two conditions:

- MAXBOUND (the configured number of bound transient data sections) is set too low. If it is consistently the case that more LWP groups need bound transient data sections, it is a good idea to increase the number of LWPs that can be ready to run in the kernel with the MAXBOUND parameter in your system configuration file. MAXBOUND specifies the maximum number of user LWPs that can be ready to run in the kernel, which is the same as the number of bound transient data sections.
- The MTS has detected thrashing (LWP groups competing simultaneously for inadequate resources) and has temporarily reduced the available number of bound transient data sections in order to ease the system load. The system load due to the current number of bound runnable LWPs is too great to support adequate progress. When thrashing occurs, increasing MAXBOUND will not help. The common correction to reduce thrashing is to either increase MINBOUND or increase the amount of memory on the system.

The MTS is tuned to deal with fairness issues in a normal time-sharing environment. Therefore, its algorithms are slanted towards that environment. There are, however, other environments where fairness is not the main goal. In these areas the MTS may be hindering more than helping. There are several tuning parameters that can either adjust some of the MTS algorithms or completely turn them off. These tuning parameters all have default values; if you do not set the parameters, their default values will tune the MTS for a normal time-sharing environment.

If you feel that the MTS is obstructing (rather than helping) your particular environment, you can change configuration parameters to tune the MTS towards that environment. For example, if you want the MTS to unbind fewer transient data sections, you could increase MINBOUND (the minimum number of bound transient data sections). If you want the MTS to bind fewer transient data sections (perhaps you feel that the system is thrashing), you could decrease MAXBOUND.

To get approximate numbers for bound transient data sections, you can use **crash** to read these values:

mts_current_bound_transients: Current number of bound transients.

mts_min_allowed_bound_transients: Minimum; equal to MINBOUND if set, calculated by the MTS if not.

mts_max_allowed_bound_transients: Maximum; equal to MAXBOUND if set, calculated by the MTS if not.

mts_allowed_bound_transients: Current maximum number of allowed bound transients. The MTS will not allow any more bound transients than mts_allowed_bound_transients. This value will range between mts_min_allowed_bound_transients and mts_max_allowed_bound_transients.

For example, after invoking **crash**, type this command:

```
> mr mts_current_bound_transients 1 d ↓
mts current bound transients: 121
```

If the MTS believes the system is thrashing, it will decrease mts_allowed_bound_transients down to a minimum of mts_min_allowed_bound_transients. If the MTS believes the system is not thrashing, it will increase mts_allowed_bound_transients up to a maximum of mts max allowed bound transients.

As long as mts_current_bound_transients is less than mts_allowed_bound_transients, the MTS has some available transient data sections. In other words, no processes that are ready to run are being deprived of transient data sections.

If mts_allowed_bound_transients is less than mts current bound transients, increase the parameter MINBOUND.

Medium Term Scheduler (MTS)

One role of the MTS is to maintain interactive response and non-interactive throughput. It accomplishes this by regulating the execution of non-interactive LWP groups to ensure that they do not simultaneously compete for inadequate resources (a condition known as "thrashing").

The MTS tries to detect over-subscription of some of the basic system resources such as memory and the CPU. Once the MTS has identified a resource problem, it reduces the number of available bound transient data sections to prevent thrashing and consequently maintain system throughput. By reducing the number of available bound transient data sections, the MTS reduces the number of dispatchable LWP groups, thereby effectively eliminating some of the system load.

As long as the MTS detects thrashing, it will gradually reduce the number of available bound transient data sections down to the configurable low-water mark, MINBOUND. When the MTS identifies that the system is once again stable, it will gradually increase the number of available bound transients up to configurable high-water mark, MAXBOUND.

Additionally, the MTS tries to be fair to timeshare LWP groups by adjusting their priorities so that:

- Users are treated fairly. If LWP groups must be unbound to prevent thrashing, the MTS selects the groups to unbind. It first selects bound LWP groups that have no runnable LWPs, and then selects bound runnable LWP groups with the worst priority.
- Aging and languishing processes are boosted. If an LWP group is runnable but unbound for more than 8 seconds, it is said to be aging. When an LWP group is aged, it is given a temporary priority boost. If, after the boost, the LWP group has a better priority than a bound runnable LWP group, the MTS unbinds transient data from the bound runnable LWP group and binds it to the aged unbound runnable LWP group. Once the aged LWP group has made some progress, its aging priority boost is removed. You can turn off the aging check with the NOLANGUISHING configuration variable.

If an LWP group is bound and runnable, but has not made any progress for 8 seconds, it is said to be *languishing* in the dispatcher. If a runnable timeshare LWP group has not been given any CPU cycles because it is languishing behind higher priority runnable LWP groups, the MTS gives it a temporary priority boost to allow it to make progress. This allows the LWP group to process any pending signals or get its state updated. Once the languishing LWP group has made some progress, its languishing priority boost is removed. You can turn off the languishing check with the

NOLANGUISHING configuration variable; however, be aware that since CPU time is required for an LWP group to recognize and process its pending signals, a low priority LWP group may not die for a long time from either a kill command or a console interrupt.

• Interactive process response time is maintained. The MTS gives priority boosts to interactive LWP groups. A highly interactive LWP group tends to release its processor before its time slice has expired, whereas a CPU-intensive LWP group will use the entire slice. For example, when an interactive LWP group is doing terminal or process I/O, you want the response time to be minimal. On the other hand, CPU intensive processes are not very interactive and will not get much of a boost, if any. You can turn off the interactivity level adjustments with the NOILEVEL configuration variable.

Some related statistics that you can view are the process priority (PRI using UX/RPM, or **nps** -l) and the process utilization field (C using **nps** -o **putil**, **ops** -l, or i using UX/RPM). The process utilization field denotes the priority boost that the MTS gives an LWP group due to aging/languishing and interactivity; higher process utilization values mean higher priority boosts.

CPU Usage

The DG/UX system measures the CPU resources that a process receives by the amount of time its LWPs spend executing instructions (CPU time).

You can measure CPU time consumed by a process or by the system as a whole. To measure CPU time per-process, use the **nps** command or the UX/RPM process screen. The data under TIME is the CPU time. To get a list of the ten processes having the highest cumulative CPU execution times, use the command line

% nps -el | grep -v UID | sed -e "s,:,.," | sort -rn +9 -10 | head -10 ↓

UX/RPM provides a process screen that automatically shows the highest CPU usage per-process over a selected time period, as well as a breakdown of idle, system, and user times.

Note that using a lot of shell scripts on a system can result in forking many processes such as **tput**, **cat**, **stty**, **awk**, and **grep**. Even though these processes are short-lived (often a second or less), their overhead can be very expensive. If you think that these short-lived processes may be a system problem, turn on accounting (in **sysadm**, System -> Accounting -> Start) and analyze the accounting file; see the **acctcom** man page. Reducing the size of the configuration variable MAXSLICE can improve the situation, since MAXSLICE specifies the maximum time in milliseconds a user process's LWPs can run before being suspended.

To measure CPU usage system-wide, use the command **nsar -u** sample_time_in_seconds. The output will look similar to this:

The data under %sys is the percent system time—the relative amount of time spent executing instructions in system (not user) code. (You can get the same data from all UX/RPM data screens.) A high percentage of system time indicates that applications are requesting CPU-intensive kernel services. Context switching between LWP groups and frequent process synchronization via IPCs are common examples of CPU-intensive kernel operations. Check processes' system time versus user time.

The data under %idle is the time spent by the system waiting for something to do. Ideally, you want the idle time to be rather low—for example, around 20%. However, if the system load is low, the idle time will be high, which is to be expected. If the idle time and the system load are both high, you probably have a memory problem. You could also have disk- or network-related I/O problems. If your system has zero or very low idle time, your system might have a runaway process or it might just be fully loaded.

An application that uses one single-LWP process cannot run any faster than a single processor can execute that process. A quad-processor system that is 75% idle may be executing a process as fast as it can be executed.

The data under %usr is the relative amount of time spent executing instructions in user code. A high percentage of user time indicates that one or more user applications are CPU-intensive. To find out which ones, look for processes with high CPU times per-process. By default, UX/RPM screens sort processes' CPU usage in descending order, highlighting the most active processes.

Short-lived processes can consume the CPU but exit before appearing in more than one **nps** sample. To check for this, look at the difference in the process ID numbers of consecutive **nps** commands or the difference in the fork rate with **nsar -c**. Because process ID numbers are assigned sequentially, the difference tells you the number of processes that have been created between **nps** invocations. See the **acct**(1M) man page for more information about per–process CPU usage.

CPU Time Interruptions

Interruptions of user CPU time can also affect performance. Sequential execution of instructions by an LWP in a user process may be interrupted in any of four ways:

- 1. System calls
- 2. Context switches
- 3. Interrupts
- 4. Signals

IMPORTANT Note that on many Data General computers, and UNIX systems in general, the clock ticks only about 100 times per second. Thus, the time values returned by various system calls and commands are not exact. This leads to wide variability in reported times, for shorter processes especially.

System Calls

Whenever an LWP calls for system services by making a system call, the process accumulates CPU time for the time spent executing instructions in the kernel address space on the process's behalf.

System calls vary widely in what they do and how long they take. However, even the simplest system call requires a fair amount of overhead. This overhead includes saving user registers, loading kernel registers, switching the clock to record system time as opposed to user time, performing the actual operation, switching the clock back, restoring registers, and returning.

In general, system calls such as **read**(), **write**(), **open**(), and **select**() that cause disk I/O, cause network I/O, or wait for a rendezvous are most expensive in terms of resources.

Context Switches

The basic unit of scheduling is the LWP group—each process has at least one LWP group (containing one or more LWPs). LWP groups in the system compete for global processor resources. The DG/UX operating system manages to run many LWPs at once by

- scheduling LWP groups on all the processors available,
- stopping an LWP group after it has run a certain time and letting another LWP group run, and
- running another LWP group that is ready to run whenever all of an LWP group's LWPs are waiting for input or some other event.

An LWP group may not perform well, however, if there are many other LWP groups competing with it for a processor. A lot of context switching (LWP groups being switched onto a physical processor to run) is a waste of time; unfortunately, this is often hard to control.

Look at the number of context switches (pswch/s using **nsar -w**, Process Switches using UX/RPM). When this rate gets into the thousands per second per processor, the system is spending a lot of time switching between LWP groups rather than running LWPs. Hence, LWP groups are not getting much time in any given time slice. Note that this rate is very system dependent and some systems can support a higher rate with no decline in performance.

The measures of how many LWP groups are competing for the processors on a system are

- Number of bound/eligible/bound runnable LWP groups (Bound Processes, Eligible Processes, and Bound Runnable Processes using UX/RPM; runq-sz using **nsar -q** sample_time_in_seconds)
- Load average (described in the next section)
- Number of processes, which indicates the total but not how many are competing (Processes using UX/RPM; proc–sz using **nsar -v**)
- Percent CPU time per-process—the amount of CPU time used divided by the product of the elapsed time and the number of processors (%CPU using UX/RPM)

If an LWP group has little competition for the CPU and is using the CPU exclusively, but performance is still below what you expect, examine the process to find inefficiencies in its implementation. Consider these things:

- 1. Can you revise an application to eliminate context switches?
 - a. Can you buffer more data so that the system can do more efficient processing?
 - b. Can fewer programs do the processing? This can help eliminate the need for such things as IPCs, pipes, reads, and writes.
 - c. Can you eliminate or postpone flush or sync operations?
 - d. Can you revise the programs to reduce the number of semaphore, message queue, or signal processing calls? Look at the rate of message operations and semaphore operations. Some systems perform well while doing a few thousand message and semaphore operations per second, while other systems have poor performance doing a few hundred per second. This is very application and system dependent.
- 2. Is the application a good threads candidate?
 - a. Are you doing a large amount of I/O?
 - b. Is there a large task that could be split up into multiple threads and run on separate CPUs?
 - c. Are there large numbers of processes that could be condensed into a single multi-threaded process?
- 3. Should you consider a CPU upgrade?

Interrupts

Interrupts are caused by hardware events external to a processor. They include timer expiration and disk device events. Interrupts change the instruction stream suddenly to an interrupt handler that quickly records essential information pertaining to the hardware event. If no other LWP with a higher priority is waiting to execute, the LWP executing at the time of the interrupt then resumes execution.

Signals

Signals provide asynchronous interrupts to a process. They are not intended to be used for process synchronization and have not been optimized to provide the performance expected from common synchronization calls.

System Load Average

The system load average is the average number of eligible LWP groups over a time period, normalized for processors. An LWP group is eligible if it has at least one LWP that is

- not waiting for any external event such as keyboard input,
- not waiting of its own accord (including stopped for I/O), and
- scheduled.

In other words, the load average only counts LWP groups that would run if a CPU were available.

If your system has TCP/IP networking and each system on the local network is running the **rwhod** daemon, you can use the **ruptime** command to show the one-, five-, and fifteen-minute load averages for each host on the local network:

```
% ruptime ↓
                            1 user, load 1.00, 1.08, 0.99
abc
             up 14+23:39,
             up 35+20:38,
                            1 user, load 0.07, 0.15, 0.17
def
ghi
           down 12+20:55
jkl
             up 13+21:14,
                            2 users, load 1.21, 0.53, 0.37
             up 21+02:51,
                             0 users, load 0.00, 0.02, 0.16
mno
server
             up 19+23:54,
                             4 users, load 0.02, 0.09, 0.12
```

You can also get the same information for a system from UX/RPM. Here's sample UX/RPM output:

```
LOAD AVERAGING:
Over 1 Minute: 1.7
Over 5 Minutes: 1.6
Over 15 Minutes: 1.2
```

Check the system load average regularly to determine normal system loads for your system and other systems on your network. If your system performance seems to be poor, check the system load average. Note whether the load average is rising or falling—if it is falling, wait for a while to see if performance improves to a normal level for your system.

As stated earlier, the load average is normalized for the number of processors. A lower load average results if the number of LWP groups does not increase with the number of processors.

Run Queues

Another way to get a general idea of system load is to check run queues. You can use **nsar -q** to get this information; the example below queries the system six times at 10-second intervals:

```
% nsar -q 10 6 ↓
14:46:58 runq-sz %runocc swpq-sz %swpocc
14:47:08 336.0 100
                           0.0
14:47:18 336.0
                   100
                            0.0
                                     0
        336.0
14:47:28
                    100
                            0.0
                                     0
                            0.0
14:47:38 336.0
                    100
                                     Ω
14:47:48 336.0
                    100
                            0.0
                                     0
14:47:58
           336.0
                    100
                            0.0
                                     0
           336.0
                    100
                            0.0
Average
```

The average length of the run queue for a time interval is shown under **runq-sz**; longer run queues mean a heavier load. Again, determine what is normal for your system by monitoring this value. The **runq-sz** column shows how many LWP groups the scheduler thought were runnable, including LWP groups that were waiting for resources but have not exhausted their await interval. Most of the kernel LWPs fit into this category.

The percentage of time that the run queue is occupied is shown under **%runocc**; ideally, this percentage should be high. If your system seems to be performing poorly, but the run queue is empty, look for memory or I/O problems.

UX/RPM reports rung-sz as Bound Runnable Processes.

Process Tracing

You can use the **dg_strace** command to intercept and record the system calls and responses that a process sends and receives. See the **dg_strace**(1M) on-line manual page for detailed information.

Specifying the System Calls to Trace

The **-e** option of the **dg_strace** command lets you specify the system calls to trace. This feature is useful if you want to know how a process uses particular calls. It limits the **dg_strace** output to the system calls you specify, which makes finding relevant information easier.

You can specify a system call by name or by class. The option **–L** provides a list of all classes known to **dg_strace**. For example, the **file** class contains the system calls that perform operations on files. The command line option **–e trace=file** traces all file calls, while the option **–e trace=read,write** traces only the calls made to **read()** and **write()**.

Tracing Processes in Running Programs

In addition to starting a target program on the **dg_strace** command line, you attach **dg_strace** to running programs by using the **-p** option and specifying the target process's PID.

The **dg_strace** command can attach to as many as 128 single-threaded processes during a single session. The command counts trace targets, not processes. Each thread of a process is counted as one target; each thread of a multi-threaded process is traced individually. The total number of processes that can be traced is limited by the number of threads in each process.

Controlling dg_strace Command Output

The **dg_strace** command prints the name of each system call, its arguments, and its return value on standard error by default. Tracing output is marked by the prefix [**pid.thread-id**] on each line of output.

For easier analysis, you can redirect **dg_strac**e output to a file with the **-o** *filename* option. If you specify the option **-ff** with the option **-o**, the output from each traced process goes into a separate file called *filename.pid*. The option **-ff** implies **-f**, which causes the command to trace forked children of its target processes.

In addition to printing the command line arguments for the **read** and **write** calls, the **dg_strac**e command dumps the data read and written on a per-file descriptor basis. For example, the option **-e read=2,3** dumps all data read from file descriptors 2 and 3, and the option **-e write=2,3** dumps all data written to descriptors 2 and 3. The output generated by these options can be large, so they are best used in conjunction with the option **-o** (redirected to a file for later analysis).

dg_strace Command Caveats

The **dg_strace** command uses the DG/UX debugging mechanism to stop each thread of a traced process at every system call entry and exit. In doing so, it adds overhead to each system call. For simple system calls such as **getpid()**, the time spent tracing the call can be greater than the time spent executing the call. This behavior should be taken into account when you use the **dg_strace** command to analyze performance problems.

The time per call reported by the **-c** option may be incorrect because of the granularity of the system clock. The **-c** option suppresses the usual output and prints a summary of system calls made and the time per call after the tracing session ends. The elapsed time of a call may be measured as 0.0 seconds because it was completed within one tick of the system clock. This behavior is also apparent with the option **-t**, which adds a time stamp to each line of output, and the option **-T**, which shows the elapsed time with each line of output.

Per-Process Statistics

Descriptions of per-process statistics follow, with CPU, system, user, and elapsed time listed first — the rest are in alphabetical order. Per-process statistics are the sums of statistics from processes' LWP groups. Note that the application that you use or the command that you type is shown to the left of the colon; the field of interest to you is on the right of the colon and should not be typed in:

CPU time Percent UX/RPM: %CPU

Amount of CPU time used divided by the product of the elapsed time and the number of processors. Look at which processes are using the most CPU time. See if you can run those processes' LWPs during off-peak hours or adjust their priorities.

Time UX/RPM: cpu

nps: TIME ops: TIME

Sum of user time and system time.

System time UX/RPM: system

nps -o systime: SYSTIME

Amount of time that the kernel was running on behalf of the

process.

User time UX/RPM: user

nps –o utime: UTIME

Amount of time the process's LWPs were running in user space.

Elapsed time

UX/RPM: start time

nps –o etime: ETIME

Amount of time elapsed since the process started.

Binds UX/RPM: binds

nps -o nbind: NBIND

Number of times a process's LWP groups have been bound.

Command name

UX/RPM: command nps –o cmd: CMD ops –l: CMD

Command name of the process.

Context Switches

Involuntary UX/RPM: iv_switch

nps -o nswtch: NSWTCH

Number of involuntary context switches.

Involuntary (LWP) nps -o lnswtch: LNSWTCH

Number of involuntary context switches associated with a globally scheduled LWP ("-" for locally scheduled LWPs).

scheduled LWI (- for locally scheduled LWI's).

Voluntary UX/RPM: v_switch

nps -o vswtch: VSWTCH

Number of voluntary context switches.

Voluntary (LWP) nps -o lvswtch: LVSWTCH

Number of voluntary context switches associated with a globally

scheduled LWP ("-" for locally scheduled LWPs).

Floating point exceptions

UX/RPM: fpex nps –o fpx: FPX

Floating point exception count.

IDs Process ID UX/RPM: pid

nps -f or nps -l: PID

ops –f or ops –l: PID

The process ID.

Parent process ID UX/RPM: ppid

nps -f or nps -l: PPID

ops –f or ops –l: PPID

The parent process ID.

Memory

Process size

UX/RPM: size nps –l: SZ and RSS ops –l: SZ

The number of 4096-byte pages that are resident in memory for a process. A process's resident memory requirements are a good indication of how much stress it places on the memory system.

In **nps**, the SZ field is the size of the process's mapped address space in kilobytes. The RSS field is the size of the resident portion of the process's address space in kilobytes. See Chapter 3 for more information about memory.

Use the following command line to get a list of the 10 processes using the most resident memory:

```
% nps –el l grep –v UID l sed –e ″s,:,.,″ l sort –rn +5 –6 l \
head –10 .J
```

The UX/RPM process screen can sort processes by resident memory size, in descending order.

Swap space

UX/RPM: swap nps –o swap: SWAP

Amount, in pages, of anonymous memory (swap space) reserved for use by the process, whether actually used or not. This value is a process' contribution to the Reserved Anonymous Pages statistic discussed in Chapter 3.

Process state

UX/RPM: s nps –l: S ops –l: S

State of the process's initial LWP group:

- Non-existent
- I Intermediate
- R Runnable
- S Sleeping
- T Stopped
- W Waiting
- Z Terminated (Zombie)

Sleeping and waiting LWP groups are usually waiting for keyboard input. Waiting LWP groups are candidates for becoming unbound. The process state becomes important to consider if the system begins binding and unbinding LWP groups that are otherwise runnable. Zombie (also referred to as terminated or defunct) LWP groups are LWP groups that are waiting for their parent process to get a termination IPC (Interprocess Communication). They disappear either when their parent process calls **wait**(2) or when the parent process is killed.

Process utilization

UX/RPM: i nps -o putil: C ops -f or ops -l: C

Process utilization represented by an integer from 0 to 4. This number is the sum of the initial LWP group's interactive level and its languishing level and aging level as determined by the MTS. Each successive process utilization level results in an additional priority boost being applied to the initial LWP group of the process. Therefore, the higher the process utilization, the more priority the initial thread group of the process has for being loaded onto a processor. A process utilization of 4 means that a process is highly interactive, while a process utilization of 0 means that a process is CPU intensive.

Scheduling

Class

UX/RPM: SC nps -c: CLS ops -c: CLS

Scheduling class; based on the process's initial LWP group. The value can be pFF (FIFO), pRR (round robin), dTS (time share), dLF (DG/UX LIFO), or dFF (DG/UX FIFO). However, you'll almost always see dTS. The FIFO scheduling class provides fixed-priority scheduling. A FIFO LWP group has an infinitely long time slice; once its LWPs are executing on CPUs, the LWP group stays there until the LWPs complete, are preempted by a higher priority LWP group, are blocked by actions that they take, or voluntarily give up the CPUs.

The round robin class supports fixed-priority, time-sharing LWP groups. With one exception, the rules for the round robin class are the same as the rules for FIFO class: the round robin class includes the concept of the time slice, which promotes fair scheduling by helping prevent LWP groups of the same priority from monopolizing a CPU.

The DG/UX time share class is very similar to the round robin class, except that round robin class LWP groups have a fixed priority—the MTS does not change their priorities as it can for time share LWP groups. You will most often see time share class.

The DG/UX FIFO class is provided for developers or users who require absolute control over the way LWP groups are scheduled. LWP groups in this class can be assigned any priority. If you use this class, take care not to inadvertently set the priority of an LWP group higher than that of a critical kernel LWP.

The DG/UX LIFO class is identical to the DG/UX FIFO class, except that unblocked LWPs are placed before blocked LWPs when the process awakens.

Nice value

UX/RPM: nice nps –o nice : NI ops –l: NI

Nice value used in priority computation; based on the process's initial LWP group. The nice values can range from 0 to 39. The higher the nice value, the lower the priority of a process. This reduces the demand that the process makes on the system. The default nice priority value is 20. This means that you can specify a maximum value of 19 or a minimum value of -20 (if you are superuser) when you issue a **nice** command. Only superusers can specify that a process's LWPs should execute at a higher priority.

Also, if you are superuser, you can use **renice** to force a process to a lower priority level. If a process's virtual memory requirements are very large, however, don't force it to a lower priority level; that will only make it linger in your system.

Process priorityUX/RPM: pri
nps -c: PRI

ops –c. PRI

Priority of the process's initial LWP group; higher numbers mean better priority. This number changes dynamically. The system reduces the priority of LWP groups that require a lot of CPU time.

The priority of a process's initial LWP group is the sum of its base scheduling priority and its scheduling boost. The default base scheduling priority for the dTS scheduling class is 0x4FF (1279).

The scheduling boost is derived from this equation:

((MAXNICE - nice_value) * nice_scale) + (process_utilization * 14)

where MAXNICE = 39, nice_value = nice value, nice_scale = the value of mts_nice_scale (you can get this value from **crash**; it is often 11), process_utilization = process utilization, and 14 represents the "fair scheduling level gap."

So, for a process with a dTS initial LWP group, a nice value of 20, and a process utilization of 4, the priority would be

$$1279 + (((39 - 20) * 11) + (4 * 14)) = 1544$$

Signals

UX/RPM: sigs nps –o nsig: NSIG

Number of times a signal has been caught.

User

nps –l: UID ops –l: UID

User ID of the process.

Name UX/RPM: user

nps –f: UID ops –f: UID

User name for the user ID of the process, if available from NIS or the password file. Otherwise, the user ID.

Per-LWP Statistics

Descriptions of per-LWP statistics follow. Note that this information applies directly to a process's corresponding threads (which have the same IDs as the LWPs):

CPU ID

nps -WL: CPU

The CPU upon which the LWP is running; "-" when the LWP is not on a CPU.

Time

nps –L: LTIME

The total CPU time for globally scheduled LWPs.

LWP Active LWPs

UX/RPM: lwps

nps -f: NLWP

The number of LWPs active in the process.

ID

nps –L: LWP

The ID of the LWP.

Joining

nps -WL: NJOIN

The number of LWPs waiting for the LWP to terminate; "-" if none.

Join Target ID

nps -WL: JNTARG

The LWP ID of the LWP that this LWP is waiting for to terminate; "-" if none.

Mutex

nps –WL: MUTEX

The hexadecimal address of the mutex upon which the LWP is waiting or the mutex associated with a conditional variable (if waiting upon a condition); "—" otherwise.

Priority

nps –o lprior: LPRI

The scheduling priority of the LWP. The priority depends upon whether the LWP was locally or globally scheduled; globally scheduled LWPs have dedicated LWP groups.

Scheduling Class

nps –o lsclass: LCLS

Scheduling class of the LWP. The value can be pFF (FIFO), pRR (round robin), dTS (time share), dLF (DG/UX LIFO), or dFF (DG/UX FIFO). However, you'll almost always see dTS. "G" or "L" is appended for globally or locally scheduled LWPs, respectively.

State

nps -WL: K U SPECL

The state of the LWP. The K column refers to kernel space, the U column refers to user space and the SPECL column displays special annotations about the LWP state. For most single-threaded processes and kernel LWPs, LWP states reflect kernel space only. For multi–threaded processes, LWPs tend to have both kernel and user states.

In kernel (K) space:

R runnable
X exiting
W waiting

In user (U) space:

R runnable
X exiting
W waiting
S sleeping

The special (SPECL) annotations:

Y yielded

I software interrupted

T stopped D detached C canceled

Variable

nps -WL: CONDVAR

The hexadecimal address of the conditional variable upon which the LWP is waiting; "—" if the LWP is not waiting upon a condition.

System-Wide Process Statistics

Descriptions of system process statistics follow with idle, system, and user time first — the rest follow in alphabetical order:

Idle time

UX/RPM: Idle nsar –u: %idle sar –u: %idle

Time spent by the system waiting for something to do. If no LWP can run because it is waiting for devices or timeouts, the DG/UX system runs the idle LWP. Idle LWPs may run on each processor; therefore an application with three single-LWP processes on a quad processor system may be completely CPU-bound but nonetheless show 25% idle time.

Ideally, you want the idle time to be rather low — for example, around 20%. However, if the system load is low, the idle time will be high, which is to be expected. If the idle time and the system load are both high, you probably have a lack-of-memory problem. You could also have disk- or network-related I/O problems.

If your system has zero or very low idle time, your system might have a runaway process (one that uses progressively more system resources over a period of time while you are monitoring it). An example is a process that takes over a single CPU and that has a parent PID of 1, which indicates that the parent died and was inherited by **init**.

On the other hand, the system might just be fully loaded.

System time

UX/RPM: Sys nsar –u: %sys sar –u: %sys

Relative amount of time spent executing instructions in kernel (not user) code. The time spent waiting for devices is not charged to system time.

A high percentage of system time indicates that applications are requesting CPU-intensive kernel services. Context switching caused by forks and frequent process synchronization via IPCs are common examples of CPU-intensive kernel operations. Check processes' system time versus user time.

A system that is spending a large percentage (perhaps 65%) of its time in the system state might be doing a lot of disk I/O, system call processing, scheduling, or handling a saturated resource such as memory. If the system time continues to be high, determine where the time is being spent. Consider using kernel profiling; see the **prfld**(1M) manual page for more information. See System Calls in this section for related information.

User time

UX/RPM: User nsar –u: %usr sar –u: %usr

Relative amount of time spent executing instructions in user code. Time spent waiting for devices or executing kernel services is not billed to user time. There are exceptions to this that are normally not significant. For example, user time is billed for the time it takes to handle interrupts that occur while an LWP is running. A high percentage of user time indicates that one or more user applications are CPU-intensive. To find out which ones, look for processes with high percentages of CPU time.

Binds

UX/RPM: Process Binds/sec nsar –w: swpin/s sar –w: swpin/s

Rate at which LWP groups are being bound, usually caused by **fork**(2) calls but also caused when the MTS must manage more LWP groups. Binding and unbinding incur overhead and, if they persist, result in lowered system efficiency. An LWP group that has been unbound will be bound only when it is runnable. See Bound LWP Groups and Unbinds.

Bound LWP groups

UX/RPM: Bound Processes

Number of LWP groups bound at the time of the sample. LWP groups must be bound before their LWPs can run. Therefore, the LWPs of bound LWP groups are able to run at lower cost than the LWPs of unbound LWP groups, which must first be bound. This number increases with forks and decreases with exits.

The number of bound LWP groups is limited by the number of bound transient data sections, determined by the static system configuration variable MAXBOUND. This is similar to NVPS in previous releases.

Bound runnable LWP groups

UX/RPM: Bound Runnable

Processes

nsar –q: runq–sz sar –q: runq–sz

Number of bound LWP groups that have LWPs ready to run. See Unbound Runnable LWP Groups.

Context switches

UX/RPM: Process Switches/Sec nsar -w: pswch/s sar -w: pswch/s

Number of times LWP groups are switched onto a CPU to run; previously known as process switches. High numbers (thousands per second per JP) indicate that LWP groups are not getting much time in any given time slice. Adjusting the kernel parameters **MAXSLICE** and **MAXLATENCY** may improve this situation. This number will go up with the number of CPUs.

Eligible LWP groups

UX/RPM: Eligible Processes

Number of LWP groups having LWPs that are either running or that have been chosen to run when a CPU is available. Although its maximum value is limited by the MTS, this number is a measure of system load. See also Bound Runnable LWP Groups and Unbound Runnable LWP Groups.

Exec system calls

UX/RPM: Exec System

Calls/Sec nsar -c: exec/s sar -c: exec/s

Number of **exec**(2) system calls. An **exec** call typically follows a fork. Because it typically starts a new executable program, **exec** creates demands on a system to read in the new program's pages.

Fork system calls

UX/RPM: Fork System

Calls/Sec nsar -c: fork/s sar -c: fork/s

Number of **fork**(2) and **vfork**(2) system calls. Forks create new LWP groups and therefore create a demand for additional memory and, potentially, CPU resources.

Load averages

UX/RPM:

One Minute Load Average, Five Minute Load Average, Fifteen Minute Load Average

The average number of eligible LWP groups over the last one, five, or fifteen minutes; this average is normalized by the number of CPUs. A load average greater than 1 indicates more eligible processes than available CPUs; check the idle time to ensure that CPUs are not saturated with demand.

Message operations

UX/RPM: Message Operations

nsar -m: msg/s sar -m: msg/s

Number of **msgsnd** calls; **msgget** and **msgrcv** calls are not counted in this number.

Process table Overflow

UX/RPM: Process Table

Overflows nsar –v: ov sar –v: ov

Number of attempts to create more than Process Table Size processes. A non-zero value may indicate an insufficient NPROC value; see Process Table Size.

Size

nsar -v: proc-sz (2nd

number)

sar –v: proc–sz (2nd number)

Value of a static system configuration variable, NPROC, that is the upper bound on the number of user processes. Configuring a kernel with a high NPROC value is not without cost because some resources, particularly memory, are allocated for per process whether they are used or not. You can change this value in the system configuration file (follow the **sysadm** path System -> Kernel -> Build option).

Processes

UX/RPM: Processes

nsar –v: proc–sz (1st number) sar –v: proc–sz (1st number)

dg_sysreport -p \

num_processors_functional

Number of user processes existing at the time of the sample.

Processors

UX/RPM: CPU Count

Number of active CPUs on the monitored system.

Semaphore operations

UX/RPM: Semaphore

Operations nsar -m: sema/s sar -m: sema/s

Number of semaphore operations performed by the **semop** system call. If the rate of semaphore operations is high (on the order of 500/CPU/sec) and your system seems underutilized, you may have a bottleneck among processes that are heavily contending for semaphores (and resources that are protected by the semaphores). The solution to this problem is to understand what resources are causing the contention and either reduce dependency on the problem resources, provide more of them, or divide the resource into smaller components that each have their own semaphore.

System calls

UX/RPM: System Calls/Sec

nsar –c: scalls/s sar –c: scalls/s

Number of system calls made by LWPs. The rate and type of system calls may determine the amount of CPU time being used by the DG/UX system. This value is very dependent on the application and can range from a few hundred to two hundred thousand. If the system is spending a lot of time executing instructions in user code and this value is high (say, greater than 5000 system calls per CPU), applications could be making excessive system calls.

See System Time, User Time, and Context Switches.

Unbinds

UX/RPM: Process Unbinds/sec nsar -w: swpot/s sar -w: swpot/s

Rate at which LWP groups are being unbound, caused by the MTS. Binding and unbinding incur overhead and, if they persist, result in lowered system efficiency. An LWP group that has been unbound will be bound again only when it is runnable and there is a transient data section available.

Unbound LWP groups

UX/RPM: Unbound Processes

Number of LWP groups that are not bound; see Bound LWP Groups.

Unbound runnable LWP groups

UX/RPM: Unbound Runnable Processes nsar -q: swpq-sz sar -q: swpq-sz

LWP groups having LWPs that could run, but are not bound. When this number is not zero, your system most likely has a performance problem. Either MAXBOUND or MINBOUND may be set too low, or the MTS has detected system thrashing and has temporarily restricted the number of bound transients.

Configuration Variables

CPU and Process Configuration Variables

The CPU and process configuration variables are also listed in the file /usr/etc/master.d/dgux. To find out which of these variables are tunable with the admkernelparam command while the system is running, enter admkernelparam -o list at the command line.

NCPUS

Specifies the number of processors to run. If set to **0** (the default), all available CPUs will be used. Any other value specifies that number of CPUs to run. If the value specified is more or less than the number of CPUs present, a message to that effect is printed when the kernel is booted. Notice that on a uniprocessor system, this parameter has no effect since the one processor will always be run.

NPROC

Specifies the maximum number of user processes the system can have at one time. This number depends on the number of terminal lines available, the number of processes spawned by each user, and the number of system processes and network daemons. If the maximum number of processes is used up, the **fork**(2) or **vfork**(2) system call will result in a process table overflow and will fail. The default value of **NPROC** is **2048**.

NLWP

Specifies the maximum number of user LWPs the system can have at any one time. If set to **0** (the default), this value is dynamically calculated based on the amount of available memory in the system.

NLWPGROUPS

Specifies the maximum number of user LWP groups the system can have at any one time. If set to 0 (the default), this value is dynamically calculated based on the amount of available memory in the system. An LWP group is a set of locally scheduled LWPs from the same process that share the same accounting and global scheduling parameters.

MAXUP

Specifies the maximum number of processes that a user (other than root) can have in existence at one time. The default is **50**. This value should not exceed the value of NPROC (NPROC should be at least 10% more than MAXUP). This value is per user identification number, not per terminal. For example, if ten people logged in with the same user ID, the default limit would be reached very quickly.

MAXULWP

Specifies the maximum number of LWPs that a user (other than root) can have in existence at one time. By default, there is no per-user limit.

MAXULWPGROUPS

Specifies the maximum number of LWP groups that a user (other than root) can have in existence at one time. By default, there is no per-user limit.

SDESLIM

Specifies the default (soft) number of file descriptors a process is allowed to have at one time. A non-superuser process may change its soft limit up to the value of the hard limit (HDESLIM). The default is **64**. It is a good idea to keep the default value; you can code any applications that require additional file descriptors to use the system call **setrlimit**(), which sets resource limits.

Do not set the value of the kernel parameter SDESLIM (**cf_cm_soft_descriptor_limit**) above 2048 for the following reasons:

- Many programs (such as **statd**, **networker**, **named**, **ypbind**, **ttymon**, **lpsched**, and some X applications) will not start if SDESLIM is set above 2048. They cannot handle the large number of file descriptors (for example, the **select**() system call may fail).
- Performance may suffer since all processes include a large number of file descriptors if SDESLIM is set above 2048.

To allow more file descriptors on your system, do the following:

- In your application, define **FD_SETSIZE** to be the maximum number of descriptors that you will ever open at one time.
- Recompile the application.
- Be sure that the value used for HDESLIM is large enough to accommodate the maximum number of descriptors that your program will open. By default, the DG/UX system is shipped with HDESLIM and FD_SETSIZE set to 2048 as shown below:

```
#ifndef FD_SETSIZE
#define FD_SETSIZE 2048
#endif
```

In this way, an application that needs more file descriptors can use the system call **setrlimit**() to change the number of descriptors it needs to use (bounded by the HDESLIM value).

If an **open**() system call fails with an EMFILE error (which means that the open file descriptor limit has been exceeded), perform the **getrlimit(RLIMIT_NOFILE**, **@ptr_to_rlim_t_structure**) call to find out the hard and soft descriptor limits in the structure. Then, do a **setrlimit** (**RLIMIT_NOFILE**, **@ptr_to_rlim_t_structure**) call to increase the value of the soft limit. For correct program behavior, the new soft limit must not be larger than HDESLIM or FD SETSIZE.

For more information, refer to the **select**(2) man page.

HDESLIM

Specifies the maximum (hard) number of file descriptors a non-superuser process is ever allowed to have at one time. The default is **1024**.

MAXGLOBALSQS

Specifies the maximum number of global synchronization queues that can be used for user process-shared mutexes and condition variables. The default is **32768**.

INITCPUMASK

Specifies the set of CPUs on which the kernel demons and the initial user processes may run. You can use this to reserve some CPUs in the system for later exclusive use by a dedicated application. If this mask is $\mathbf{0}$ (default), the kernel demons and user processes are allowed to run on all CPUs in the system. In a specified mask, the low-order bit (0x1) specifies CPU0. On machines with more than 32 processors, INITCPUMASK0 is used for the first 32 processors and INITCPUMASK1 is used for the second 32 processors.

NSTRDEMONS

The number of STREAMS demons to start at initialization. If set to zero, one demon per every 2 CPUs is run (rounded up so that there is 1 demon if 1 CPU, 2 if 3 CPUs, etc.) If NSTRDEMONS is greater than the number of active CPUs, one demon per active CPU is run. The default is zero.

On systems with 6 or more JPs, you might try reducing the number of STREAMS demons to 2 (you *must* have at least 1). Measure your CPU activity before and after reducing the number of STREAMS demons. If the system time goes down and the idle time goes up, keeping NSTRDEMONS at a lower number will not adversely affect your tty access, both local and network.

STRDEMONSCPUMASK

Specifies the set of CPUs on which the STREAMS demons may run. You can use this to keep STREAMS demons away from certain CPUs in the system. If the CPU mask does not specify any CPU (0, the default), then the STREAMS demons are allowed to run on any CPU specified by INITCPUMASK above (default is all). In a specified mask, the low-order bit (0x1) specifies CPU0. On machines with more than 32 processors, STRDEMONSCPUMASK0 is used for the first 32 processors and STRDEMONSCPUMASK1 is used for the second 32 processors.

Scheduler Configuration Variables

The following scheduler configuration variables are also listed in /usr/etc/master.d/dgux. To find out which of these variables are tunable with the admkernelparam command while the system is running, enter admkernelparam -o list at the command line.

MAXSLICE

Specifies the dispatcher round-robin time slice used for the SCHED_OTHER (timesharing) and SCHED_RR scheduling policies. If a user LWP or LWP group runs for this amount of time, it will yield the CPU to other LWPs or LWP groups with the same priority. Note that the round-robin time slice is essentially infinite for SCHED_FIFO and SCHED_DG_LIFO scheduling policies. The default is 100 (1/10 second). You should adjust MAXSLICE along with MAXLATENCY — if MAXSLICE decreases, MAXLATENCY should also decrease, and vice versa.

MAXAFFINITYSLICE

Specifies the time slice (in real-time) that an LWP group may stay transiently joined to a given JP set before its affinity relationship is reset. This affinity time slice is used to implement a load balancing algorithm for timesharing LWP groups — the smaller the value, the smoother the load balancing at the expense of better throughput, and vice versa.

This time slice does not affect realtime LWP groups because they are never allowed (by default) to migrate below the root of the JP set hierarchy — thus, they have no specific affinity. Also, manual affinity assignments are not broken by this time slice. This time slice only breaks migrations within the manual or default constraints imposed on the LWP group.

In summary, the LWP group is brought up to the highest level in the JP set hierarchy that makes sense for that LWP group and the cache architecture. The default value for this parameter is **10000** (10 real-time seconds).

MAXLATENCY

Specifies the maximum time the current VP will run before being interrupted to check for preemption due to another JP adding a VP to an eligible list. The default is **50** milliseconds.

MINBOUND

Specifies the minimum number of user LWPs that can be ready to run in the kernel, which is the same as the minimum number of bound transient data sections (i.e., wired kernel stacks). If this parameter is set to $\mathbf{0}$ (the default), the minimum number of bound transients is determined dynamically based on system load. The minimum bound can never be larger than the maximum bound. If MAXBOUND is not 0 and MINBOUND is not 0, the minimum bound is the smaller of MINBOUND and MAXBOUND.

MAXBOUND

Specifies the maximum number of user LWPs that can be ready to run in the kernel, which is the same as the maximum number of bound transient data sections (i.e., wired kernel stacks). By default, this parameter is set to the size of physical memory (in MB) * 16. This configuration parameter corresponds most closely to NVPS in previous releases. The maximum bound can never be less than the minimum bound. If MINBOUND is not 0 and MAXBOUND is not 0, the maximum bound is the larger of MINBOUND and MAXBOUND.

NOLANGUISHING

Specifies to allow or disallow languishing for timeshare LWP groups. The default (1) is to detect, and try to correct, languishing for a timeshare LWP group by giving it a temporary priority boost until it makes progress. If the value of this variable is **0**, the scheduler does not do anything about runnable timeshare LWP groups that are not making progress.

NOILEVEL

Specifies to allow or disallow interactive level computations for timeshare LWP groups. The default (1) is to automatically adjust the interactive level of timeshare LWP groups. If the value of this variable is **0**, interactive level adjustments for timeshare LWP groups will not be made.

Without interactive level adjustments, the DG/UX operating system does not adjust priority based on LWP groups' CPU utilization. Instead, LWP groups are scheduled in a round-robin nature at the same priority level.

USEFILEPURGES

Specifies whether data file purges should be used in addition to program frame purges to detect thrashing. The default $(\mathbf{0})$ is to only use program frames to detect thrashing.

LIFO

Specifies whether to set the dispatcher level scheduling policy for timeshare LWP groups as FIFO or LIFO. The default (0) for timeshare LWP groups is to use FIFO. If the value of this variable is 1, timeshare LWP groups are scheduled LIFO at the same priority within the dispatcher.

Message Configuration Variables

These variables are also listed in **/usr/etc/master.d/dgux**. They are dynamic variables that set the message parameters shown in the following list.

MSGMNI

Specifies the maximum number of message queues that may exist in the system at one time. The default is **1024**.

MSGTQL

Specifies the maximum number of outstanding messages that may exist in the system at one time. The default is **1024**.

MSGMNB

Specifies the maximum number of bytes that a message queue may contain. The default is **4096**.

MSGMAX

Specifies the maximum number of bytes that a message may contain. The default **2048**.

Semaphore Configuration Variables

The following semaphore configuration variables are also listed in /usr/etc/master.d/dgux; they are dynamic variables:

SEMMNI

Specifies the maximum number of unique semaphore sets that may be active at any one time on the system. The default is **1024**.

SEMMSL

Specifies the maximum number of semaphores that a semaphore set may contain. The default is **256**.

SEMOPM

Specifies the maximum number of semaphore operations that can be executed per **semop**(2) system call. The default is **10**.

SEMVMX

Specifies the maximum value a semaphore may have. The default is the maximum value for this parameter, **32767**.

SEMUME

Specifies the maximum number of undo entries per undo structure. The default is **10**.

SEMAEM

Specifies the maximum value of the adjustment for adjust-on-exit. The value is used whenever a semaphore value becomes greater than or equal to the absolute value of **semop**(2), unless the program has set its own value. The default value is the maximum value for this parameter, **16384**.

SEMAPM

The maximum number of processes that may specify semaphore operation with SEM_UNDO option. The default is **16384**.

ccNUMA Process Management and Scheduling

You have additional process management considerations if you are running the DG/UX system with ccNUMA. For detailed information about process management and scheduling on ccNUMA systems, see Chapter 7.

End of Chapter

This chapter describes the many facets of virtual memory and file system buffering that influence a DG/UX system's performance. After a general discussion of memory, it lists memory statistics and shared memory configuration variables.

Effective use of memory is critical to system performance because the system can reference data cached in memory much more quickly than it can reference data on a disk. At this time in technology development, memory access speeds are increasing at a faster rate than disk I/O speeds.

A DG/UX system uses its physical memory to implement a large virtual address space and to cache the computer's file system. The system tries to keep the physical memory filled with processes' address space and file system objects; doing so avoids having to read from a disk.

In this discussion, all forms of secondary storage are referred to as "disks" at the risk of some inaccuracy. For instance, file system and swap devices may include remote NFS-mounted file systems, swap areas on a diskless client's server, or even devices that are implemented by NVRAM (non-volatile random access memory).

Some applications do not use file system buffering, so the memory statistics reported by the DG/UX system do not apply to them. Database management systems, for example, may have no choice about whether their data may be cached before writing to a disk; an update transaction may require that data reach a disk before the transaction is considered complete. These database management systems typically bypass file system buffering by using raw disk I/O, described in Chapter 4. Also, memory used for file system metadata (described in Chapter 4) and file system buffering is not reported by the memory statistics.

Typical DG/UX Memory System Behavior

A program begins execution when the DG/UX system overlays the address space of a process with the segments of a program file (called *mapping*). DG/UX memory statistics are reported in terms of *pages*. A page is the smallest unit of memory that supports access control, mapping to secondary storage devices, and modified/unmodified and age attributes; a page is 4096 bytes.

As a program begins execution, it references instructions or data on the pages of its address space. These pages may already be in memory—this occurs when the program is being executed or has recently been executed by another process. If the pages are already in memory, those pages (referred to as "resident pages") do not need to be read from disk; only the page table entry, which maps the program file pages into the process's address, needs to be set up. This operation, called a *soft page fault*, is much less expensive than referencing a page that is not in memory and which must then be read from a disk (called a *hard page fault*).

Pages that are executable and mapped to a file in the file system are called *program file pages*. The DG/UX system reports the number of program file pages that are resident and the numbers of various memory operations performed on these pages. Program file pages are read-only; this is important because these pages stay "clean". A clean page may be replaced in memory without first writing a copy of the page to disk.

Program files in the Executable and Linking Format (ELF) may specify that several portions of a process's address space should come from other files called *shared libraries*. With shared libraries, program file pages may be shared among many executing programs. You can load shared libraries into memory once and then many programs can reference the libraries when using common routines. Shared libraries may dramatically reduce the size of a program file in comparison to static libraries, and correspondingly reduce the pages necessary for many programs to run simultaneously. See $\mathbf{ld}(1)$ for more information about shared libraries and $\mathbf{cc}(1)$ for information about ELF.

Memory resident pages from files that are only read from or written to (in other words, files that are not executed) are called *data file pages*. A process can access such pages via the **read**() or **write**() system calls or by using the **mmap**() system call to map pages from those files directly into the process's address space. Data file pages are typically shared amongst all processes that are accessing the file in question, and changes made to the file by any of the processes are immediately seen by all the processes.

A process can map a file so that it can share the pages from the file with other users of the file until the process modifies the page. When it modifies the page, it takes a *copy-on-write (COW)* fault, at which time the process receives a private copy of the page in question, which it may then freely modify. Such a feature is commonly used with file pages to properly handle the initialized data section of a program (the .data section of an executable). As long as the initialized data is unchanged, it may be shared by several processes; once a process modifies its initialized data, it creates a private copy of the page. This is also how the MAP_PRIVATE option of **mmap**() is implemented.

A program's uninitialized data (which includes the ".bss" section of an executable) is not stored in the executable file at all, and therefore does not occupy file pages. The pages available for uninitialized data, along with an initial allotment of pages for a stack, are known as *private anonymous pages*; these pages are allocated in a system's swap area. When allocating these pages, the system does not actually access a disk. The disk is accessed only when a page has been modified (become *dirty*) and the dirty page must be purged—that is, removed to make room for another page.

Private anonymous pages from the swap area are counted as reserved anonymous pages. Any time that you make a request for space (for example, by calling **malloc**() or extending a stack), you decrease the freeswap count (the amount of available swap space). The DG/UX system uses the number of reserved anonymous pages to guard against running out of swap space. Swap space consists of the swap area on disk and a portion of physical memory; this is also known as total anonymous pages. If you try to allocate a number of anonymous pages that would make the reserved anonymous pages exceed the total anonymous pages, the system reports the error message "out of swap space." Thus, an allocation request may fail even if there is unused swap space if that swap space is reserved by an earlier allocation request. This prevents the situation where an application finds out later that there is not enough swap space for its existing requests.

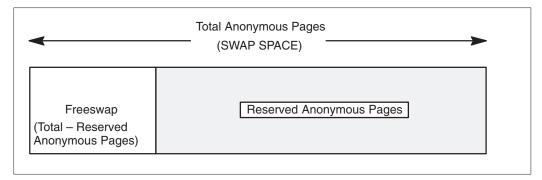


Figure 3–1 Swap Space

Other private anonymous pages are allocated during program execution, most commonly by touching new pages on the stack and by calling **malloc**(), which allocates memory. These calls to **malloc**() increase the number of reserved anonymous pages and may fail if that would cause the reserved anonymous pages to exceed the number of total anonymous pages.

Shared anonymous pages, as the name implies, may be shared by many processes. Interprocess communication through shared memory segments is a common use of this type of page.

Once **exec**() has loaded a program and allocated memory for the executable portion, the initialized data portion, and the uninitialized data portion, the I/O caused by the program depends on the following:

- On what pages are the instructions that the program executes?
- Which data pages does the program touch?
- How does the program handle stack and heap allocation, and how much memory is available for creating new pages?
- Which files does the program read?

Reading from a file causes the required pages to be brought into memory if they are not resident pages. Memory operations resulting from calls to **read**() and **write**() are counted as *non-fault* operations. The amount of physical memory available for these pages is controlled by the PERCENTBUF configuration parameter.

It is possible for a program to execute from beginning to end without ever requiring disk I/O. The following must be true:

- The text and data pages for the program are already in memory from a previous execution.
- There are enough free pages in the swap area so that the system can create copy-on-writes and new stack and heap pages without throwing out dirty pages.
- The file pages being read from and written to are already in memory.

Although pages written to the file system by a program may not reach the disk while the program runs, eventually the data is written to disk. The DG/UX system accomplishes this with a kernel process called the *page cleaning daemon*. This daemon runs periodically to make the file system memory image and the disk consistent. This policy ensures that in case of a system crash, data that programs have written to the file system at the time the page cleaning daemon last ran can be recovered from the disk. The MAXBUFAGE configuration parameter governs the age of unwritten file system data and the frequency at which the page cleaning daemon runs.

You are now familiar with the four classifications of memory that the DG/UX system uses to report memory statistics: program file pages, data file pages, private anonymous pages, and shared anonymous pages. A fifth classification, *kernel anonymous pages*, consists of memory in use by the kernel. This section has considered typical uses of each of the four classifications of user-accessible memory, but other usage patterns may show up in the statistics. For example, the **memctl** and **mmap** system calls allow programs to create portions of their address space with any of the page classifications we've discussed (with the exception of kernel anonymous pages). Some forms of I/O, such as that for metadata, are not reported by the memory statistics.

When the last LWP of a process exits, the process's anonymous pages may be reclaimed, but the file system pages (program file and data file pages) remain in memory for some time, depending on the demand for memory. A second execution of the same program will encounter mostly soft faults, and typically will execute much more quickly.

Here is a summary of the five types of pages:

Program file pages Pages that are executable and

mapped to a file in the file system.

These pages are read-only.

Data file pages Memory resident pages from files

that are only read from or written to (in other words, files that are not executed). These pages are typically shared amongst all processes that are accessing the file in question, and changes made to the file by any of the processes are immediately

seen by all the processes.

Private anonymous pages Pages available for uninitialized

data, along with an initial allotment of pages for a stack. These pages are allocated in a system's swap area.

Shared anonymous pages Pages that may be shared by many

processes. Interprocess

communication through shared memory segments is a common use

of this type of page.

Kernel anonymous pages Memory in use by the kernel.

Swapping

As described above, a program's data is eventually written to disk. However, when the system is extremely short of memory, the memory of active LWPs may be "swapped out"; that is, the LWP data is moved from memory to disk so that other LWPs can run.

Adding swap areas on disks where there are not currently any may improve performance; see the **sysadm** File System -> Swap Area menu. Do not skimp on the system's swap area to save disk space. Performance never improves when you must go to disk, since pages are written to the swap area because there is not enough memory to accommodate all LWPs running at a given time. If your system mixes high-speed disks and low-speed disks, use the fastest disks for all your swapping.

The best way to distribute traffic evenly over several disks is to use several equal swap areas, one per disk. The reason to keep these areas roughly equal in size is to keep their percentage of free space roughly equal as well. Greater free space percentages imply less fragmentation, which improves efficiency.

In addition, you should distribute swap areas over storage processors (SPs) if your system has more than one. For example, if you have four SPs and four swap areas, it is best for performance to place each swap area on a separate disk attached to each separate SP.

You can use the freeswap value reported by $\mathbf{nsar} - \mathbf{r}$ to find out how much swap space is available. (Multiplying freeswap by 512, then dividing by 10^6 converts blocks to megabytes.) As a rule, the freeswap value should be 15-30% of the total physical memory and swap area on the system. For example, if your system has 256 MB of physical memory and 384 MB of swap area space, the sum is 640 MB.

```
15% of 640 MB = 96 MB, or 196,608 blocks 30% of 640 MB = 192 MB, or 393,216 blocks
```

For this system, if the freeswap value is usually under 200,000 blocks (or frequently under 100,000 blocks), you should probably increase the swap area space. On the other hand, if the freeswap value is typically over 400,000 blocks (and rarely under 300,000 blocks), you can probably decrease the amount of swap space to recover disk space.

Systems with large bursts of swap usage will need a reserve larger than 15–30%, while systems with more static swap usage will need a smaller reserve. Note that swap space requirements are highly application dependent.

Another technique to detect if a swap area is filling up is to monitor the percentage of swap allocated reported by **nsar -W O%swap** or UX/RPM (Percent swap allocated). If the percentage of swap allocated is greater than 75%, identify processes with large swap allocations. You may also want to increase swap areas.

For detailed information about setting up and managing swap areas, see *Managing the DG/UX System*.

Thrashing

If interactive users notice long pauses in response time, the pauses may be the result of anti-thrashing measures by the kernel. (Thrashing is when non-interactive LWP groups simultaneously compete for inadequate resources.) To verify this, check to see if the number of unbound runnable LWP groups is consistently non-zero (Unbound Runnable Processes using UX/RPM, or swpq–sz using **nsar** –**q**).

If these statistics lead you to believe that slow response time is the result of anti-thrashing measures, consider increasing memory, examining applications that seem to cause high frames purged and page fault rates (for per-process page fault statistics, try **nps**—**eo cmd,hfault,sfault**), or increasing the value of MINBOUND (the minimum number of user LWPs that can be ready to run in the kernel). Note that by default, the system determines MINBOUND dynamically based upon system load; by specifying this value yourself and guaranteeing that a specific number of LWPs can be ready to run, you are overriding system algorithms. This can either improve performance or increase thrashing. See Chapter 2 for a description of MINBOUND.

Another form of thrashing is when swap area write activity is equal to read activity. If the number of blocks written to and read from the swap area are roughly equal, pages are likely being written to swap and read back into memory. To monitor swap activity, monitor your system's virtual disk with **nsar** or UX/RPM. If swap area read and write activity are roughly equivalent, you might consider installing additional memory to improve performance.

Hard Page Faults

As a basic guideline, hard page faults should be kept to a minimum. A high rate of hard page faults generally indicates increased disk I/O and unnecessary faulting.

You should determine your system's hard page fault threshold value by regularly monitoring system paging activity statistics. You can monitor hard page faults with UX/RPM's Hard Page Faults or **nsar -p**'s vflt/s statistic.

Per-Process Memory Statistics

Descriptions of per-process memory statistics are given below. Note that the application that you use or the command that you type is shown to the left of the colon; the field of interest to you is on the right of the colon and should not be typed in:

File system operations

Input

UX/RPM: fsn ops nps –o fsiops: FSIOPS

Number of file system input operations.

Output

UX/RPM: fsout ops nps –o fsoops: FSOOPS

Number of file system output operations.

Page faults Hard UX/RPM: hard fault

nps -o hfault: HFAULT

Number of hard page faults.

Soft UX/RPM: soft fault

nps –o sfault: SFAULT

Number of soft page faults.

Resident memory

Current size UX/RPM: size (frames)

nps -o rss: RSS

Size of the resident portion of the process's address space, in

kilobytes.

Maximum size UX/RPM: maxrss (frames)

nps -o maxrss: MAXRSS

Maximum resident set size, in kilobytes.

Resident shared memory

nps –o xrss: XRSS

Sum of shared program file, data file, and shared anonymous pages,

in kilobytes.

Resident unshared memory

Size, excluding stack pages nps -o drss: DRSS

Private unshared or COW-shared anonymous pages, excluding

stack pages, in kilobytes.

Size, stack pages nps -o srss: SRSS

Private unshared or COW-shared stack pages, in kilobytes.

UX/RPM has shared anonymous private data, private stack, and private mapped resident memory sizes statistics available.

System-Wide Memory Statistics

Descriptions of system-wide memory statistics are given below:

Anonymous Allocated pages

UX/RPM: Percent Swap Allocated

nsar -W O%swap: %swap

Percentage of total swap space that has been allocated.

Free UX/RPM: Free Anonymous

(frames)

nsar -r: freeswp sar –r: freeswp

Number of 512-byte blocks that are available in swap space.

Reserved UX/RPM: Reserved Anonymous

Number of anonymous pages that have been reserved, but not necessarily accessed. Applications reserve anonymous pages for their uninitialized or bss data sections, for heap space when they call **malloc**(3C) or **brk**(2), for stack frames, and when they modify initialized data pages. Attempts to reserve anonymous pages that would make this number equal Total Anonymous Pages will fail and cause the system to report that it is out of swap space.

You can configure more swap space by using **swapon**(1), available from sysadm(1M).

Total UX/RPM: Total Anonymous

Number of pages of physical memory and on the swap device that may be used for virtual address space. Anonymous pages are distinct from file pages. Anonymous pages must be written to disk when they are removed from memory.

Bound frames purged

UX/RPM: Bound Frames Purged

nsar -w: bswot/s sar -w: bswot/s

Number of resident pages that were taken away from bound LWP groups.

Purging bound pages is expensive because bound LWP groups will likely run again soon and require access to the purged pages, resulting in hard page faults. The DG/UX system favors reclaiming pages from unbound LWP groups. A non-zero value of this statistic indicates insufficient memory.

Frames purged

UX/RPM: Frames Purged nsar –g: pgfree/s sar –p: rclm/s

The number of pages removed from main memory by the system to make room for other pages. Purging is initiated when the number of free memory frames reaches a minimum level. The frame purger then scans memory frames, looking for the least recently used eligible frames to replace. This number includes all purged pages, such as anonymous, bound, and unbound pages (see Bound Frames Purged). Pages freed voluntarily, such as pages belonging to an exiting LWP, are not counted as purged.

Kernel parameters that may assist in decreasing high values (values that indicate poor system performance on your machine) are MAXBUFAGE and PERCENTBUF. If applications on your system are writing randomly to a large number of pages, decreasing MAXBUFAGE from the default of 60 seconds to 30 seconds may improve overall performance by making the frame cleaner run more often, with less to do in each pass. However, performance may decrease due to the increase in disk activity.

If a lot of file I/O on your system is causing programs to be pushed out of memory, decreasing PERCENTBUF from its default of 100% helps executables remain in memory longer.

To determine a reasonable value for PERCENTBUF, monitor the number of data file pages through UX/RPM or **nsar**.

With large memory configurations, the page cleaning daemon can use a substantial amount of time searching for modified data pages. The amount of time is based upon MAXBUFAGE and PERCENTBUF. If you suspect that your system time is high due to page cleaning, increase MAXBUFAGE and PERCENTBUF.

Other kernel parameters that may need fine tuning are MAXPAGEOUTS, MAXSLICE, and HOGFILESIZE.

See Chapter 4 for descriptions of MAXBUFAGE, PERCENTBUF, and HOGFILESIZE; see Chapter 2 for a description of MAXSLICE. MAXPAGEOUTS is described later in this chapter.

Other solutions are to add more physical memory, redesign applications to use memory more efficiently, or reduce the number of users allowed on the system at one time. UX/RPM displays the number of users on its overview screen.

Free memory frames

UX/RPM: Free Memory (frames)

nsar –r: freemem sar –r: freemem

Number of memory pages available for immediate allocation, including pages in memory objects that are not mapped by bound LWP groups, and pages of non-open files. Except for a few dedicated memory areas like the free memory pool, the DG/UX system will use all of memory for buffering, unless you've set PERCENTBUF. If you've set PERCENTBUF, using the free memory frames value to evaluate memory usage is difficult. Also, the DG/UX system tries to keep potentially useful information such as recently executed programs and recently read files in memory. Using the rate of frames purged and swap partition activity are a better indicator of how busy your memory system is; see Frames Purged.

Kernel Memory Allocation

Non-pageable Allocation nsar –k: (npg mem) alloc

Number of bytes allocated to requests from the kernel for non-pageable memory.

Non-pageable Memory Pool nsar -k: npg mem

Non-pageable memory pool size, in bytes. The memory pool size is dynamic and will always be larger than allocations from it.

Non-pageable Request Failure

nsar –k: (npg mem) fail

Number of requests for non-pageable memory that have failed.

Pageable Allocation nsar –k: (pg mem) alloc

Number of bytes allocated to requests from the kernel for pageable memory.

Pageable Memory Pool nsar –k: pg mem

Pageable memory pool size, in bytes. The memory pool size is dynamic and will always be larger than allocations from it.

Pageable Request Failure nsar –k: (pg mem) fail

Number of requests for pageable memory that have failed.

Memory Usage

Data File Pages

UX/RPM: Data Pages nsar -W Odfile-res: dfile

Number of resident pages that are data file pages. The number of data file pages will vary depending on file system activity and the value of PERCENTBUF.

Kernel Anonymous Pages

UX/RPM: Kernel Anon nsar -W Okanon-res: kanon

Number of resident pages that are kernel anonymous pages. These pages include not only pages allocated from the memory pools, but also all of the kernel wired text and data that is initially loaded from the boot image. A significant amount of kernel data structures are dynamically allocated from the wired memory pool in particular (for example, STREAMS and device driver structures).

Program File Pages

UX/RPM: Program Pages nsar –W Opfile–res: pfile

Number of resident pages that are program file pages.

User Private Anonymous

UX/RPM: Private Anon

Pages

nsar -W Oupanon-res: upanon

Number of resident pages that are user private anonymous pages.

User Shared Anonymous Pages

UX/RPM: Shared Anon

nsar -W Ousanon-res: usanon

Number of resident pages that are user shared anonymous pages. These pages may exist in the address space of more than one process, such as a shared memory segment.

Page Faults User

UX/RPM: User Faults/Sec

nsar –w: bswin/s sar -w: bswin/s

Number of page faults accumulated by user processes (the faults are actually taken by LWPs). This number includes hard page faults, faults satisfied by pages already in memory (soft page faults), and copy-on-write faults. It also includes faults on pages of remote mounted file systems. It does not include page faults taken by the DG/UX kernel.

Hard UX/RPM: Hard Faults/Sec

nsar –p: vflt/s sar –p: vflt/s

Page faults due to referencing pages not in memory and which must then be read from disk, either from local or remote-mounted file systems. These faults are rather expensive: there is the disk read cost and the file system overhead.

See Frames Purged.

Fill from file UX/RPM: Fill Faults/Sec

sar -p: pgfil/s

Number of hard page faults satisfied by reading from a file as opposed to reading from the swap area. This includes hard faults on all mapped files, whether mapped by **exec**(2) or **mmap**(2). The difference between Hard Page Faults and Fill From File Page Faults is the number of swap area page faults.

An increase in **fork**s can increase this value since pages that contain code and initialized data must be read in from disk.

Soft UX/RPM: soft faults nsar -p: atch/s

Page faults satisfied by reclaiming a page already in memory. These faults occur when a page is mapped in the address space of a process, but is not marked resident because it has not been referenced yet by that process (even though the page is resident in memory). Such a fault obviously does not require I/O, but does require that the page table entry for the faulting process be changed to reflect the residency of the page being mapped. You incur the cost of taking the exception and changing the page table entry, but you needn't go to disk to service the fault. These faults are inexpensive.

Copy on write

UX/RPM: COW/Sec nsar -p: pflt/s sar -p: pflt/s

User page faults that result in the creation of private copies of shared pages. The shared pages copied by these operations fall into two categories:

- 1. File pages that have been mapped private by either an **exec**(2) function or **mmap**(2) and then have been modified, such as when a program assigns a new value to an initialized variable for the first time.
- 2. Privately–mapped anonymous pages that are shared among multiple processes as a consequence of $\mathbf{fork}(2)$.

Copy-on-write faults may also be hard faults, and always require copying a page. A copy-on-write fault is hard when the page to be copied from is not in memory and must be fetched. This value generally goes up as the **fork** rate goes up.

Page-In

Pages

nsar -p: ppgin/s

Pages paged-in per second (system-wide). Each request can bring in more than one page.

Requests

nsar -p: pgin/s

Number of page-in requests (system-wide). This number includes hard page faults and file faults (such as file page-ins due to read and write system calls).

Page-Out

Operations

nsar -g: pgout/s

Number of page-out operations.

Pages

UX/RPM: Pages Paged Out nsar –g: ppgout/s

Number of pages paged-out per second. This value may be greater than the number of page-out operations because a single operation may page-out several pages.

System Paging Statistics

In addition to the standard statistics listed above, **nsar** reports statistics on system paging activity. The following statistics describe system paging activity, both in terms of the number of pages affected and the number of paging operations. The syntax of the **nsar** command for system paging activity is:

nsar -W Ooperation-pagetype-units

where *operation* is a paging operation type, *pagetype* is a page type, and *units* is a units type. Each of the variables is referred to as a syllable.

These are the paging operation types:

Syllable	Name	Description
hfault	hard faults	Requires physical I/O (read from disk and/or the file system).
sfault	soft faults	Requires page table manipulation but no physical I/O.
hnfault	hard non–faults	Page-in operation that is not caused by a page fault.
snfault	soft non–faults	Explicit request that references a resident or zero-fill-on-demand page.
cfault	copy–on–write page faults	Operations in which a private copy of a page is created. Each of these operations will have already been counted in one the the four previous types.
rdirty	replace dirty page	New pages made available by writing modified or "dirty" pages to backing store.
rclean	replace clean page	New pages made available by making unmodified or "clean" pages non-resident.
fclean	forced page clean	Explicit request to clean a "dirty page." Modified pages written to backing store by the memcntl(2) MC_SYNC operation or fsync(2).
uclean	unforced file page clean	Modified file pages written to backing store by the file page cleaning daemon.

The syllables for page types are:

Syllable	Name	
kanon	Kernel anonymous pages	
upanon	User private anonymous pages	
usanon	User shared anonymous pages	
pfile	Program file pages	
dfile	Data file pages	

The syllables for specifying the units of these statistics are:

Name
Number of operations or requests Number of pages affected. The number of pages affected is always greater than the number of operations/requests because each operation can affect multiple pages.

Here is an example of using **nsar** to report paging statistics (three times at 10-second intervals:

% nsar -W Ohfault-pfile-op,hfault-dfile-op,hfault-upanon-op 10 3 →

This reports the number of hard faults in program file pages, data file pages, and user private anonymous pages. For each sample this will print a line of the form

UX/RPM presents these system paging statistics on additional virtual memory windows. Both system paging requests and operations per second are displayed.

On ccNUMA systems, a version of **nsar** called **nsar_numa** provides system activity data relevant to ccNUMA systems and components. For information about **nsar_numa**, see Chapter 7.

Memory Configuration Variables

The following message configuration variables are also listed in /usr/etc/master.d/dgux.

PERCENTLOCKABLE

Specifies the percentage of physical memory available for locking by user processes, provided through the **memcntl**(2) system call. The kernel automatically rounds down any reservation requests that would otherwise impinge on memory used for the kernel itself. The default is **10**. Note that reserving and then locking large amounts of memory may deadlock the system. Conversely, not reserving enough may cause programs that need to lock memory to fail.

UPOOL MIN

Specifies the minimum size, in megabytes, to make the kernel pageable memory pool. A value of **0** (the default) tells the system to choose a reasonable size based on the size of physical memory. Certain small memory systems that use certain classes of devices may need to set this value. Note that unnecessarily setting this value on a small memory system will increase the amount of memory used by the kernel.

CONFMEM

Specifies the configured amount of physical memory, in megabytes, in the system. A value of $\mathbf{0}$ (the default) tells the system to use all the available physical memory in the system. Specifying a non–zero value allows the system to be configured to use less than the amount of usable physical memory (mostly useful for stress testing).

P6 EPA OVERRIDE

Determines if the P6 extended physical address mode is used on P6 systems with less than four gigabytes of memory. The default mode is **off** when a P6 system has less than four gigabytes of memory. If system memory is four gigabytes or greater, the default is **on**. Some benchmarks get better performance if this flag is set to **on**, even if these systems have less than four gigabytes of memory.

MAXPAGEOUTS

Specifies the maximum number of concurrent pageout I/O operations that the system can have outstanding at one time. The default is **0**, indicating that the system should pick a reasonable value based on the amount of physical memory present on the system.

This assumes that the system's effective pageout throughput is relatively balanced with main memory size. A small memory system which pages out to a large number of disk spindles may achieve better performance by increasing this value. Conversely, a large memory system which has few spindles effectively used in pageouts may achieve better performance by decreasing this value.

A reasonable guideline is to set this variable to a small multiple of (one to three times) the number of disk spindles which are actively involved in pageouts. This excludes disks which are written to less than others, as well as disks written using only raw or unbuffered I/O (which database management software often uses).

Setting this value too high can cause high latencies for other accesses to the disks used in pageouts. Setting this value too low can artificially limit the system's effective pageout throughput, which can cause delays for memory allocation.

Shared Memory Configuration Variables

The tunable parameters shown below are associated with interprocess communication shared memory; they are dynamic variables. These parameters are also defined in the /usr/etc/master.d/dgux file.

SHMMNI

Specifies the maximum number of shared memory identifiers system wide. Each entry contains 52 bytes. The default is **1024**.

SHMSEG

Specifies the number of attached shared memory segments per process. The default is **256**.

SHMMAX

Specifies the maximum shared memory segment size in bytes. The default is **4*1024*1024** (4 MB). The shared memory maximum should be equal to or greater than the size of the shared memory area defined by an application system.

SHMMIN

Specifies the minimum shared memory segment size in bytes. The default is ${\bf 1}$ byte.

ccNUMA Memory Management

You have additional memory management considerations if you are running the DG/UX system with ccNUMA. For detailed information about memory management on ccNUMA systems, see Chapter 7.

End of Chapter

File Systems and Disk I/O

This chapter discusses several topics related to I/O and file systems, including:

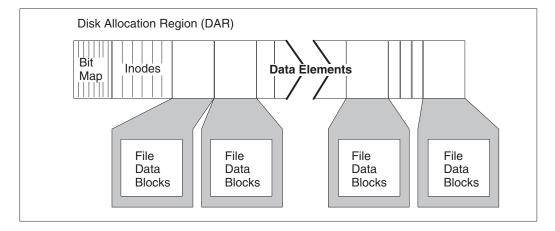
- Disks
- File systems
- Virtual disks
- File system tools
- Disk I/O statistics
- File system configuration variables

For comprehensive information about disks and file systems, see *Managing Mass Storage Devices and DG/UX® File Systems*.

File System and Disk I/O Terms

Here are some terms that are used in this chapter.

To increase DG/UX file system performance, the disk storage of a file system is divided into *Disk Allocation Regions* (DARs). To access a file, the DG/UX file system alternately reads a file's inode (to find where the file's blocks are stored) and the blocks themselves. By using DARs, a file system can keep a file's data blocks and inodes physically close together, minimizing seek time.



An *inode* contains all the information pertaining to the mode, type, owner, size, and location (of the blocks) of a file. A unique *inode number* identifies each file in a UNIX system's flat file structure. Pointers in an inode tell the file system's Flat File Manager (FFM) where a file's data elements are stored.

A *data block* is a block of data that is stored on a virtual disk. Data blocks are 512 bytes, and are typically equal to the underlying physical disk's sector size.

A *data element* is the logical granularity at which the DG/UX file system transfers a file's data. The default data element size on DG/UX systems is 8 KB, which is sixteen 512 byte disk sectors. As the figure shows, data blocks are stored as data elements in a Disk Allocation Region.

A *virtual disk* is a software abstraction that enables you to construct a file system that appears as if it were a single sequential collection of disk blocks, even though it may span multiple physical disks. All virtual disks are associated with one or more physical devices, usually disks. Virtual disks enable the DG/UX operating system to manage files the same way, regardless of how the files are stored physically. Additionally, you can manipulate virtual disks online (such as renaming, copying, moving, and expanding them).

In order for a virtual disk to be accessible for mounting as a file system, the virtual disk must be a *volume*. Every virtual disk created with a non-null name is made a volume by default. Virtual disks that are volumes have device node entries such as <code>/dev/dsk/foo</code> and <code>/dev/rdsk/foo</code>; it is via these nodes that virtual disks are accessed.

File system *metadata*, in the context of the DG/UX demand-paged file I/O system, is "data about data." This is data, such as inodes, index elements, and directory information, that the file system uses to describe and locate files. File system metadata is cached in the kernel's data cache.

Mirroring is the technique of writing the same data to separate virtual or physical disks at the same time. If one disk fails, the data is still available on the mirror disk. Mirrored disks can also perform read operations faster than single disks because the system can simultaneously read from each of the mirrors. You can set up software disk mirroring (described in this chapter) or hardware disk mirroring (described in disk-array documentation).

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Striping is the technique of distributing (or interleaving) data across several disks so that data can be accessed in parallel, increasing disk I/O performance. The DG/UX operating system supports striping at both the software and hardware levels. Software-level striping works within virtual disks. The Data General high availability disk systems support hardware-level striping across disk modules in a disk group. Hardware-level striping is part of the RAID 5 design, which provides uninterrupted access to data if a disk module in the array fails.

A raw disk is a disk that is being accessed in character mode. Character I/O can be used with disks to allow unbuffered transfers of an arbitrary number of disk sectors. Applications can bypass virtual memory by performing character I/O operations to disk. For example, a database management program might use character I/O to manage its own disk transfers. Disk transfers are subject to a device's alignment and granularity requirements (usually 512 bytes). Physical disks and virtual disks are accessible in character mode; character nodes for physical disks are in /dev/rpdsk, those for virtual disks are in /dev/rpdsk.

A *block disk* is a disk that is being accessed in block mode—block I/O transfers are of a fixed size and must go through virtual memory. Disks accessed in block mode have no alignment or granularity requirements. For example, you can read a single byte from a block disk. Physical disks and virtual disks are accessible in block mode; block nodes for physical disks are in /dev/pdsk, those for virtual disks are in /dev/dsk.

Disks

The best way to improve I/O performance is to avoid going to disk. This is accomplished by using a large enough buffer cache, using correct element sizes, and keeping frequently used data in close proximity.

If heavy data file usage is hampering system performance by provoking excessive paging, you may want to reduce the configuration parameter PERCENTBUF (which specifies the maximum percentage of physical memory that can be occupied by data files). The idea is to free memory used by file I/O to allow more memory for code.

Buffering

Buffering is best when it is used to hold shared data. Database products need special consideration. Generally, database data is private to the database, and the database software controls and provides access to all users. Since the database software should have the ability to best understand the use of the data and its buffering needs, database applications often achieve the best performance by allowing the database software to buffer the data internally. Hence, the following tips are important for tuning a system supporting database applications:

- Increase the data buffer size of the database. Since database tuning varies from product to product, please refer to your database administrator's manual.
- Decrease the value of PERCENTBUF to avoid excessive paging as described above and leave memory available for allocation by the database application.
- Set up the database to use raw disk (i.e., /dev/rdsk/foo). I/O to a
 raw disk is faster than synchronous I/O to a buffered disk, and the
 additional buffering gained by using the file system does not help if
 the database is doing a fair job of buffering the data.

When using block disks, the maximum size of a buffered I/O operation is equal to the data element size assigned to the block special file node that represents the disk. When using raw disks, you can attempt to write up to 2 GB (although data types may be a limiting factor). However, the system will break a large request into smaller ones if it cannot safely allocate enough wired memory to support the request, or if the disks, the disk protocol, or the host bus adapter interface cannot support the requested transfer size.

Metadata Buffering

Using **nsar** –**b**, get the average %rcache and %wcache for your system; these file system cache hit ratios measure the effectiveness of file system metadata buffering. On most systems, you should expect an average %rcache ratio of 95 or better. The %wcache is generally much lower (down to around 65%), depending on the size of data files and the type of I/O being done.

IMPORTANT If you use DG/UX Clusters, **nsar** statistics are reported only for the one cluster node where the tool is run. If you want a cluster-wide view of disk I/O performance, you must run **nsar** on each cluster node and combine the data.

If your system's cache hit ratios fall beneath these percentages, it may be possible for you to improve these rates by increasing the kernel parameter PERCENTSYSBUF (which specifies the percentage of physical memory reserved for system buffers) and decreasing MAXSYSBUFAGE (which specifies the maximum time that metadata will remain in system buffer caches before being written to stable storage).

On the other hand, if your system's read cache hit ratio is consistently 99–100%, you might want to decrease PERCENTSYSBUF so that %reache is 97–98%. To determine the maximum percentage of physical memory reserved for system buffers, you can use **crash** to read the value of cf_bm_percent_memory_for_system_buffers. For example, after invoking **crash**, enter this command:

```
> mr cf_bm_percent_memory_for_system_buffers 1 b d
cf_bm_percent_memory_for_system_buffers: 05
```

Using **nsar** –a, get the rate of inode entry searches (iget/s, or Iget Calls using UX/RPM). If this number seems high (greater than 100 per second), there may be unnecessary file searches or heavy NFS activity (typically, there is one inode entry search per NFS operation). Keep in mind that UNIX systems do not hash filenames within directories. Studies have shown that for directory sizes found in typical UNIX environments, a simple sequential search is quicker than a hashing implementation. If a directory contains thousands of files, then the sequential search can be quite slow and can adversely affect file lookup operations.

In particular, the **/dev** directory may be very large—this is especially a problem when you try to use **ttyname**(3C); if you need to know your TTY device name frequently, set the \$TTY environmental variable and use that to retrieve the name.

The login procedure uses **ttyname** to search the **/dev** directory. To set the device name for the TTY that you are logging onto, use **login** with the **-d** device option. You can modify **_pmtab** directly for your ttymon (look in **/etc/saf**) and add this option to each login line.

On ccNUMA systems, you may also want to change the balancing of buffers between blocks to improve performance. You can balance these buffers by adjusting MAX_PERCENT_BUF_RELEASE_TRIGGER and MAX_BUF_BLOCKS_TO_FREE_PER_LOCALE, which are dynamically configurable. We recommend adjusting the parameters after the completion of a long job or application affined to only one locale so that the system rebalances the buffers, and then resetting the parameters to be less aggressive after the balancing is done. Balancing buffers this way ensures that a NUMA locale never suffers from buffer starvation.

File System

The following sections describe how files are made on the DG/UX file system.

File Data Element Sizes

The size of the file system's buffered I/O operations to disk is based on file data element sizes. The default data element size for a file system is 8 KB (16 blocks), but can be modified on a per file system basis by using either the **mkfs** or **tunefs** command. The data element size for a file can be specified at file creation by using the **dg_mknod()** call. Data element sizes must be a power of 2, and should be greater than 4 KB for better efficiency. The default data element size and other information about a file system can be dumped by using the **dumpfs** command; this command can be run by the root user with the file system still mounted.

The default element size is based upon the assumption that I/O is often sequential; after a read operation, another read is very likely to occur.

When data access is random and done with read/write operations that are much smaller than 8-KB units, adjusting the data element size becomes important. For example, if a program is doing random, 4-KB reads from a very large database (in relation to the size of the file system buffers) whose data elements are 8 KB,

- The system is reading an extra 4 KB of data for every 4 KB of data that is likely to be used.
- The extra 4 KB of data is wasting buffer cache that could be better used for another I/O request.

By adjusting the data element size to 4 KB, you can effectively increase the buffer cache size (more buffers are available) and improve the speed of the disk (4-KB reads instead of 8-KB reads). 4 KB is the smallest buffer size that the kernel will use for a file; using a data element size smaller than 4 KB will increase disk I/O and adversely affect performance.

In most situations, the default of 8 KB (16 blocks) is fine.

Keeping Data Close Together

The location of data in a file can affect the time it takes to access the data. The first ten data elements are directly accessed. Then, depending on the file's data element size and how deep into the file the data is located, the remaining data elements are accessed through single, double, or triple indirect pointers.

You can keep frequently used data in close proximity on a disk two ways. The first way is to group frequently used file systems close together.

The second way is to adjust anniversary sizes so that large, more permanent type files all have their data in the same Disk Allocation Region (DAR). As a file grows in size, the file system allocates more and more blocks out of the DAR that contains the file, until the file reaches its first anniversary size. The first anniversary size is a limit that, when reached by a file, tells the file system to start allocating a file's data into another DAR. The anniversary size limit protects against having any one DAR too heavily subscribed. The second anniversary size is the size limit that, when reached, causes the file system to stop allocating data blocks from the secondary DAR and starts allocating from another DAR.

Generally, small file systems work well with the default anniversary sizes. However, file systems greater than 300 MB and containing a few files work better when the first and second anniversary sizes equal the DAR size.

Note that disk fragmentation is unavoidable, but DG/UX file systems minimize the effect by limiting fragmentation to a DAR (see "Fragmentation" below). Building a file system with the wrong size DAR can inappropriately spread a file's data across a disk — distributing a file's data into multiple DARs. By adjusting the size of the DAR and/or the anniversary size, you can enjoy the benefits of the DAR without the side effect of frequent, long seeks between DARs when reading a single file.

Correctly setting the DAR size and anniversary size is more critical for sequentially accessed data than for data stored in large (or very small) data files that are randomly accessed. The Average Service (avserv using **nsar -d** or aver serv using UX/RPM) time can help you determine how close data is stored. As these times go up, the disk is having to do longer seeks. For example, on some SCSI disks, a 14 millisecond access is theoretically possible. For individual SCSI disks, Average Service times less than 20 milliseconds are excellent. Average Service times in the 25–35 millisecond time range are not bad. High values may indicate on-disk cache misses or, if you are using a striped file system, that the file system's DARs are misaligned, causing higher seek rates.

The DAR size should be an integer multiple of the data element size. The recommended size is a multiple of 8 KB (16 blocks).

If there is one large file, use a single DAR. If there are a few large files, consider using a single DAR or fewer DARs than the default. However, using only one or two DARs on very large virtual disks may increase the time needed to search bitmaps and increase the CPU time required to process a write request.

Fragmentation

Fragmentation generally worsens over time as files are deleted and created. You should periodically look for and archive (or delete) unnecessary files, such as duplicate file names, log files, accounting system files, and idle files.

Multiple volatile file systems on one disk can cause "ping-pong" fragmentation. Each file system has its own free space, which routines must skip over to reach other file systems on the same disk. Try to balance the load per disk by storing a mix of static and volatile file systems on each.

You can use the **dumpfs** command to display information about DARs; the root user can run this command with a file system still mounted. When the "free data blocks histogram" shows that a DAR does not contain any large blocks (block sizes of 16 or larger), fragmentation may be an issue. Also, mixing files of different data element sizes hurts overall disk subsystem performance. You can eliminate fragmentation by archiving and then restoring the files in the affected file system.

The **dump** (or **dump2**) and **restore** commands are a good way to eliminate fragmentation. Files are restored one at a time, so a file's data is pretty much contiguous. In addition, **dump** (or **dump2**) and **restore** maintain file "holes": empty file data elements that increase a file's extent, created by seeks. These holes use index pointer space but do not use data blocks.

Note that since **tar** and **cpio** see these holes as null data, those commands archive the nulls and restore them; after reloading via **tar** or **cpio**, a file that originally contained holes will actually consume more data blocks and the holes will no longer exist.

File System Size

By default, DG/UX file systems allow only the root user to write to a file system that is more than 90% full. This limit can be overridden with **mkfs**, **tunefs**, or **cpd**. Reducing the minimum free space reclaims some disk storage. However, the more full the system becomes, the longer it takes to find free space; also, data element sizes may become smaller. Searches for files whose data element sizes are smaller than 8 KB take longer than 8-KB searches (searches have been optimized for the default file system data element size). In general, try to keep read-write file systems less than 80% full.

Increasing the minimum free space probably will not increase disk performance; 10% is optimum for most situations.

To display the percentages of blocks and inodes that are in use for each mounted local file system (as well as the total number of blocks and inodes and the number of free blocks and inodes), use this command:

admfsinfo -o diskuse -l -

When planning a virtual disk's size, you need to consider file system overhead for the kind of file system (if any) you intend to put on the virtual disk. File system overhead refers to internal data structures, such as data allocation tables, that the operating system requires to manage file access in the file system.

When planning a virtual disk for a DG/UX file system, you need to make it at least 17% larger than the amount of space you intend to use in the file system. This 17% overhead includes the 10% reserved free space buffer and the internal structures that the operating system requires for tracking files and directories. For example, if you need a file system large enough to hold 100 MB of data, you should create a file system 117 MB in size. With no files, the file system will be around 4% full. After adding 100 MB of data, the file system will be around 90% full.

If a file system will contain read-only static data, you can decrease the amount of free space. Also, if a file system is extremely large (1 GB or more), you may want to decrease the amount of free space.

Note that the root file system in particular can fill up and then panic the system or cause other system problems.

Virtual Disks

Once you reduce disk accesses, the next most important activity is to improve the speed of the disk accesses. This means that you may need to:

- Balance the load between disks.
- Balance the load between controllers.
- Use or tune disk caching
- Use data striping
- Use disk mirroring
- Use memory file systems
- Use fast recovery file systems
- Consider other concerns, such as bad blocks, write verification, and file synchronization.

Balancing the Disk Load

You determine the disk load by looking at disk data such as percent busy (%busy using **nsar -d** or UX/RPM), average wait (avwait using **nsar -d**), and average response (aver resp using UX/RPM). The busy data gives you an idea of how much time the disk is spending servicing requests; generally, this statistic should be under 30%. Faster machines and servers are able to push %busy to larger values. The average wait time gives you an idea of how long requests are waiting in a queue before getting sent to the disk subsystem. For good system response, this value should be less than 15–20 milliseconds on individual disks.

For response time, 40 milliseconds is considered good for an individual disk. For a system disk (the disk that contains root) or a RAID 5 unit, 60 milliseconds is generally good. As with other threshold values, the best values to use are developed over time by monitoring performance and noting average disk response times. If performance degrades, measure the response time against your established threshold to determine whether a disk is a bottleneck.

Also look at the average queue (avque using **nsar -d**, and aver queue using UX/RPM) disk data: for good system response, it is important for the disk subsystem to be able to keep this number in the low single digits. If this number seems high, your system may need more disk modules, controllers, disk striping, and adjustment of file system parameters like DAR size and data element sizes. As this queue length increases, the average wait time will also increase.

With a multi-disk configuration of similar disks, the number of requests should be balanced across the disk units; writes are particularly expensive. Check the read and write requests (**r+w/s** using **nsar -d**, and % tot using UX/RPM). Overall system performance could be bottlenecked if one or a few disk units in a multi-disk configuration handle most of the I/O load. To balance the load, check which file systems are mounted on the most heavily used disks and attempt to better distribute those file systems. You may need disk striping (discussed later in this chapter) to better distribute the load across disks. To see which file systems reside on each physical disk, use **sysadm** (Device—>Disk—>Physical—>List, answering "partitions" to the Listing Style query) or the command **admpdisk —o list —p**.

You can determine which virtual disks are bottlenecks by listing the I/O statistics per virtual disk with the command **nsar** -**d** -**WD**=**v** or with the UX/RPM disk screen. For **nsar** to list a virtual disk, it must be a volume. As described earlier in this chapter, every virtual disk created with a non-null name is made a volume by default. However, if a virtual disk is not a volume, you can use the **admvdisk** command with the -**o modify** -**vy** option to make it a volume.

It is quite possible for the system physical disk (the disk that contains the root virtual disk) to become a bottleneck. Normally, the system disk contains the first piece of swap, /tmp, and /var; many administrative log files are also written to the system disk. An application that does a lot of sorting can overtax /var/tmp. Also, print spooling uses the /var directory. Here are some possible solutions:

- Move /tmp and /var to less active physical disks. Those two virtual disks might be good candidates for software striping if they are heavily used.
- Create other swap virtual disk areas, up to a total of eight, on less frequently used physical disks (one area per disk). Using several swap areas of equal size allows DG/UX to optimize swapping operations by using a round-robin system between areas. For detailed information about setting up and managing swap areas, see *Managing the DG/UX System*.
- Do not put application executables on the same physical disk as root.

Balancing the Load Between Controllers

Balancing the load between controllers means that you want to keep all controllers as busy as possible, without overtaxing them. It is best to distribute data over multiple controllers. Refer to the specification guides for the type of disks and controllers you are using to determine limits. Also look at the disk I/O statistics from **nsar** and UX/RPM.

On a CLARiiON, the dual-SP configuration may provide better performance and higher availability than a single-SP configuration. Using dual-SP, one host and one SCSI-2 adapter with one channel is connected by the SCSI-2 bus to two SPs.

To improve performance on the dual-SP, you can bind some disks on one SP and the other disks on the other SP. The SP that binds a physical disk determines the primary storage-system route to that disk; the route through the other SP will be available if a component in the primary route fails.

For related information specific to ccNUMA systems, see the virtual disk management (VDM) content in Chapter 7.

Disk Caching

Disk caching increases access rates to disk media by combining small fast storage devices (nonvolatile RAM or disks) with large slow disks. An application uses a fast device for read and write operations, while the operating system duplicates these operations on a larger device. The purpose of the configuration is to accelerate file system access for I/O-intensive applications without risking data integrity.

The primary caching configuration consists of a nonvolatile RAM (NVRAM) or battery backed-up random access memory (BBURAM) board functioning as the fast device (the *frontend*) while a physical disk functions as the slow device (the *backend*). Although the RAM board has a relatively small storage capacity, its superior I/O performance can boost the performance of I/O-intensive applications such as database management systems. The DG/UX system also supports the use of a disk drive, preferably a fast one, as a frontend device.

RAM-based caches introduce the risk that a failure could lose the data in the cache before the system has a chance to write it to the more stable backend device. The ideal frontend device is nonvolatile or battery backed-up RAM or a fast disk, which provides the required speed as well as stability.

The disk functioning as the backend, meanwhile, provides greater storage capacity than a RAM device or fast disk device and has the added stability normally attributed to disk drives. The backend device must be a local disk.

The DG/UX system optimizes disk caching for accessing DG/UX file systems rather than for other data structures (such as databases built directly on virtual or physical disks). You may, nevertheless, use cached disks for any purpose that benefits from the accelerated I/O performance.

Note that caching a virtual disk may be redundant with file system buffering, which will cause no performance improvement. You may consider running your applications both with and without disk caching, then comparing results to see which configuration offers the best performance. With virtual disks, you can add and remove a cache from a file system while it is active and in use. You can experiment with disk caching without having to shut down your application or file system.

The following section tells how to get the most out of a cached disk configuration.

How Caching Works

As I/O requests arrive for the cached disk, the system allocates buffers in the frontend device to hold data for the backend device. These allocated buffers are considered either *clean* or *dirty*. A clean buffer is one whose data matches the corresponding buffer on the backend. For example, a buffer that was copied from the backend to the frontend for a read operation is considered clean because it contains the same data that is on the backend. A dirty buffer contains data that is inconsistent with the backend. For example, a buffer that was written by an application but has not yet been flushed to the backend is considered dirty.

As I/O access to the cached disk continues, the system allocates more buffers until the frontend device becomes full. Then, the cache flushes a dirty buffer from the frontend to the backend to make room for additional buffers. There is an asynchronous flushing LWP running in the background that does much of this flushing so that buffers are usually immediately available on the frontend.

The process of freeing buffers involves seeking out which buffers are the least frequently accessed and flushing their contents to disk (if dirty) and then flagging them as unallocated. The system is then free to allocate them for more I/O requests.

To determine which buffers are the least frequently accessed, the system maintains an *access weight number* for each buffer. Each time an I/O request accesses the buffer, the system increments its access weight number. When the time comes to reclaim buffers, the system can then compare the access weight numbers of the buffers to see which are most frequently accessed (and should stay in the cache) and which are least frequently accessed (and may be freed).

Tuning a Cached Disk

The DG/UX system's disk caching feature provides several parameters you can tune to optimize cache efficiency. These parameters are:

Cache reads

Specify if reading from the cache is enabled. Answering "yes" causes data to be shipped from the backend device to the frontend for reading. Otherwise, data is read directly from the slow backend device.

Cache writes

Specify if writing to the cache is enabled (when possible). Answering "yes" causes data to be written directly to the frontend, which subsequently sends the data to the backend. Otherwise, data is written directly to the slow backend device.

Asynchronous write policy

This parameter is meaningful only if writes are cached. You can request direct writes from the frontend to the backend device without caching it under certain conditions:

Never: The system will never write data directly to the backend. The advantage is that no redundant disk activity takes place, but the disadvantage is that cache performance may degrade if the frontend gets full.

All writes: Any time a buffer is written to the cache, the buffer is flushed to the backend device. This may improve performance in caches doing more reads than writes. A disadvantage of this operation is that substantial redundant disk activity may take place.

First write: The first time a new buffer is written to the cache, it is flushed to the backend device. This helps to keep the cache clean when there are a lot of buffers that are written only once, such as for large sequential writes. However, it minimizes disk activity for those blocks that are written repeatedly. In general, "first write" is the best policy.

Cache only file system metadata

Metadata includes important file system data such as file system inodes, size, the date stamp, and owner. There are several instances in which caching file system *data* may not be useful, but caching the *metadata* is useful. For example,

- 1) A database manager application is responsible for regulating its own data transfers. Allowing the DG/UX system to cache file system data would be superfluous and probably ineffective.
- 2) An NFS server using a cache over a LAN also regulates its own buffering needs. Data received over a LAN would likely flood a cache's buffers.

Caching metadata only is useful in instances such as these. File system data is read from and written to the slow backend device directly, bypassing the frontend entirely.

Caching both file system data and its metadata is desired for a local file system.

If the cache is to contain a non–DG/UX file system, such as a database, do not elect to cache file system metadata only. Such a selection would prevent any data caching.

Read weight

Use the read weight parameter to assign relative priority to read operations that occur in a frontend device; a larger weight means higher priority. When a cache is searched for available space, buffers with lower weights are reused first. Thus, buffers with a high read rates can be retained in the cache longer.

If multiple cached virtual disks share a frontend, you can assign a higher priority to one cache by increasing its read and write weight values. Conversely, you may assign a lower priority to one or more remaining caches by decreasing their read and write weight values. The maximum read weight is 100; the default is 1.

Write weight

Use the write weight parameter to assign relative priority to write operations that occur in a frontend device; a larger weight means higher priority. When a cache is searched for available space, buffers with lower weights are reused first. Thus, buffers with high write rates can be retained in the cache longer.

If multiple cached virtual disks share a frontend, you can assign a higher priority to one cache by increasing its write and read weight values. Conversely, you may assign a lower priority to one or more remaining caches by decreasing their write and read weight values. The maximum write weight is 100; the default is 1.

Search Percentage

This is the percentage of the cache's frontend that the system searches when it is looking for a clean buffer or data block with the lowest read or write weight. If the system does not find a clean buffer, it flushes and reuses a dirty buffer with the lowest read or write weight. On the next search for a clean buffer, the search will begin where the last search ended, so eventually the entire cache will be searched. Setting the percentage to zero causes the first available buffer to be used. By default, when creating a cache, the search percentage is 10 percent.

Ideally, a cached disk provides a performance improvement by satisfying disk accesses using much faster memory accesses. There are two obstacles, however, that prevent disk caching from reaching this ideal level of performance:

- The frontend device is not large enough to contain all the data that applications will require of it; therefore, some I/O requests will require accessing the backend device for data not currently in the frontend. The ratio of I/O requests satisfied by the frontend device (cache hits) to the total number of I/O requests is called the cache hit rate. You want the cache hit rate, which is expressed as a percentage, to be as high as possible.
- In a cache used for writing as well as reading, the cache must at some point write, or *flush*, the data in its buffers to disk. If your application accesses the cache during a flush, or if your application causes a flush, it will have to wait, or *stall*, until the flush completes. You want stalls to occur as seldom as possible. Increasing the search percentage will bring down the occurrence of stalls.

By experimenting with the various parameters, you can find ways to maximize the cache hit rate and minimize the frequency of stalls for your cached disk. For how to view cache and disk performance statistics or adjust cache and disk operating parameters, see *Managing Mass Storage Devices and DG/UX® File Systems*.

If you have a CLARiiON system configured as RAID 3 or RAID 5, there is a cache option available that can help performance significantly. If you have a lot of output to the disks, you may want to configure all the cache as write cache instead of configuring it as read/write cache.

Software Data Striping

Depending on the nature of your applications, you may find that data striping improves disk I/O performance. You can implement data striping through the hardware (if you have a disk array) or through software. Software disk striping differs from hardware disk-array striping. For information on hardware disk-array striping, see the documentation for your disk-array storage system.

To implement software data striping, you need to create a virtual disk with this purpose in mind. Once you have created a striped virtual disk and its file system, striping is transparent to your applications. You use and manage the striped file system just like any other file system. The only difference is that you cannot change the size of a striped virtual disk; you cannot expand or shrink it.

Applications that perform a lot of random I/O (reads as well as writes) and applications that perform a lot of sequential reads can benefit from data striping. Also, striping is often used to balance disk load.

If your application does not appear suited to striping, do not attempt to implement it, because striping can have a negative impact on performance for inappropriate applications. Data striping may not help applications that perform a lot of sequential writes. For example, software striping does not improve I/O for applications that perform intensive sequential writes, as is the case with database log writing.

Data striping involves placing consecutive file data elements in the file system so that they alternate from one partition of the virtual disk to the next. For example, in the case of a striped aggregation of partitions, each partition must be the same size and each must reside on a different physical disk. The system will place the first data element in the first partition, the second data element in the second partition, and the third data element in the third partition, and so on. The data elements alternate this way so that consecutive data elements are stored on alternating disks.

The performance advantage results not only because you have distributed the I/O load across three disks, but also because you are using the hardware's read-ahead implementation to get the next sectors on that disk and the operating system's read-ahead implementation to get the next data element, even before you have explicitly requested it.

The partitions must all be the same size, and the size must be a multiple of the stripe size (16 blocks by default). The stripe size must be no smaller than the data element size, and it must be a multiple of the data element size (16 blocks by default).

IMPORTANT Rotate the starting address of the first piece of each virtual disk across multiple physical disks, using a number that is a multiple of the stripe size. The root (/) and /usr file systems must not be on striped virtual disks if you intend to boot images from them

For additional information about setting up software data striping, see *Managing Mass Storage Devices and DG/UX® File Systems*. For information on hardware data striping, see your disk array documentation.

Software Disk Mirroring

You can improve the reliability and availability of your Data General system by using disk mirrors. A software disk mirror offers high availability through redundant data images. A mirror comprises up to four virtual disks that are identical images of each other — they all contain the same data.

You can set up disk mirroring through the hardware (if you have a disk array), through the software, or both. Software disk mirroring involves maintaining redundant virtual disks where all are "mirror images" of each other; they all contain the same data. The system manages access to the disks in a manner that is transparent to users.

Disk mirrors provide higher data availability by allowing your system to continue service to users even when disk errors occur. Disk mirrors also protect data integrity by maintaining redundant images of the same data.

Disk mirrors whose images lie on different physical disks offer increased throughput (and thus improve overall system performance) in environments where multiple concurrently running applications perform intensive reads of the mirror. This benefit arises because the system can use the images of the mirror as individual virtual disks during concurrent read operations, using one image to satisfy one read request while using another image to satisfy a different read request. Thus, the mirror distributes the I/O load across multiple disk drives.

While a single running application will not exhibit increased performance, the system overall will show an improved performance. This benefit does not occur in environments where only one running application reads the mirror at a time, nor does it occur in environments where the images do not reside on different physical disks.

Mirroring can slow performance of applications that are write intensive, because when a user or application writes to a file on a mirrored virtual disk, the system duplicates the write operation on each mirror image.

For information on how to create software disk mirrors, see *Managing Mass Storage Devices and DG/UX*[®] *File Systems*. For information on hardware disk mirroring, see your disk-array documentation.

Memory File Systems

For applications that would benefit from very fast access to relatively small databases, you can use memory file systems. A memory file system is a portion of your computer's physical memory that is mounted. A memory file system behaves like a disk that has no rotational, seek, or controller overhead. You can access it the same as any file system. Also, memory file systems can speed access to files on diskless workstations. However, memory file systems are volatile—if the system loses power, the data in the memory file system is lost. For this reason, you should be able to recreate these file systems. For more information on how to create memory file systems, see *Managing Mass Storage Devices and DG/UX* File Systems.

Fast Recovery File Systems

To reduce the amount of time that the system requires to recover a file system after a failure, mount the file system with **fsck** logging turned on. With **fsck** logging, the system logs file system modifications to reduce the amount of time that **fsck** requires to verify the integrity of the file system. This feature is desirable for systems where rapid recovery and high availability are crucial. However, running with **fsck** logging turned on will incur a runtime performance penalty.

If you select **fsck** logging, the operation later prompts you for log size. Specify the log size in 512-byte blocks (32 or 64 blocks is average). There is a tradeoff in performance between log files of different sizes. A large log file improves run time performance but prolongs recovery time. A small log file degrades run time performance but reduces recovery time.

Other Concerns

Other concerns about structuring virtual disks for efficiency are bad blocks, write verification, and file synchronization.

Bad Blocks

The presence of bad blocks can mean that the bad blocks are getting remapped, thus decreasing performance. You can check for bad blocks by using the **sysadm** operation Device -> Disk -> Physical -> Bad Blocks -> List.

When the DG/UX system detects a flaw on a disk, it flags the block (a 512-byte portion of disk space) as bad. If a write operation was performed, DG/UX finds a good block to replace the bad block. The operating system takes care of redirecting reads and writes intended for the bad block so that they go to the replacement block instead. A part of the disk called the *bad block remap area* contains good blocks reserved specifically for this purpose: to replace blocks that go bad elsewhere on the disk.

With the DG/UX system, you can choose not to install remapping on disks that do it themselves, such as CLARiiON disk arrays. This increases performance slightly because blocks do not need to be checked before I/O to see if they are remapped.

Write Verification

In applications where data integrity is vital, you can benefit from write verification. By turning write verification on for a physical disk (use the **dkctl** command with the **wchk** option), you can be sure that data written to the disk is readable. You can enable write verification only for SCSI disks that support the feature; see your disk hardware documentation.

The disk hardware verifies every write operation by reading the written data off of the disk and comparing it to the data as originally received. However, the additional verification overhead in the hardware can have a significant negative impact on the performance of write-intensive applications.

File Synchronization

If you specify the **fsync_on_close** option to the **mount** command, whenever a file in the mounted file system is closed, all modified data and attributes of that file are written to disk. This option decreases the likelihood of data loss in the event of a system crash, but may degrade performance.

File System Tools

There are several tools available to help you tune file systems. What follows is an overview of each. For more information, consult the online manual pages.

Take care when tuning file systems, since file system performance may be improved for one application, but degraded for another.

mkfs

This utility is used to create an empty file system on a virtual or physical disk. The **mkfs** utility limits a file system to a maximum of 60 DARs by default (you can override this number), but if you grow the file system, this may increase. There are several options you can use to override the default file system settings such as the following:

- Inode density, which controls the number of inodes per DAR. The default is one inode per 3500 bytes (the actual density will be rounded down to an integral multiple of 64 inodes per DAR).
- Size, in blocks, of the DARs. The default DAR size is 1/60th of file system size if the file system is greater than or equal to 241,920 blocks; the smallest DAR size is 4032 blocks.
- Data and index element size for files
- Data and index element size for directories
- First anniversary size; the default is (DAR_size + 32) / 63
- Second anniversary size; the default is (DAR_size + 2) / 4

tunefs

This utility allows you to alter the data and index element sizes and anniversary sizes of any already existing file system. Running this utility will not affect files that are already stored in the file system, but the altered parameters will be applied to subsequent files. Note that the file system must not be mounted.

dg_mknod

With this system call, you can create a file and specify the data and index element sizes. You can control the data element size for a single file with **dg_mknod**, whereas **mkfs** and **tunefs** affect a complete file system.

dumpfs

This utility displays information about a file system. It shows you the settings of various file parameters, as well as a map of how blocks are allocated on a file system.

cpd

This utility displays the current allocation and the maximum allocation of blocks and file nodes for each control point directory named on the command line. You can also set the allocation limits for a control point directory. Since the root of every file system is a control point directory, you can use this command to change the maximum number of file nodes and blocks that a user can allocate.

Disk Arrays

In the context of disk subsystems, a *disk array* is a collection of one or more groups of disk modules and one or more SCSI or fibre channel busses that participate in a RAID redundancy scheme. Each group in an array appears to the operating system as a single physical disk. To improve performance on systems with disk arrays, the following may be helpful:

- Partition write-intensive portions of applications onto hardware disk mirrors (not software disk mirrors), if writes to the disk are primarily sequential. For example, for typical database applications, you would want to have a mirrored pair for the system disk, work directories, and the database journal file, and one or more RAID5 groups for the read-intensive database files. A RAID5 group should not contain a swap area; that should be included in the system disk mirrored pair. For information on hardware disk mirroring, see your disk array documentation.
- If the disk array experiences much random usage such as usually occurs in multi-user environments, use a hardware stripe (RAID 1/0). The hardware stripe size should be larger than the data element size. Partitions should be a multiple of this size and should start on a stripe boundary. For information on hardware data striping, see your disk array documentation.
- Generally, if your hardware is striped or mirrored, striping or mirroring the software is redundant. However, software striping can be combined with hardware mirroring or RAID5. Such a combination allows better I/O balance among the various disks and can improve performance. A software striped RAID5 configuration has been described as a "plaid" configuration. This type of configuration requires very careful planning and alignment.

Tables 4--1 and 4--2 show the advantages and disadvantages of different RAID levels:

 Table 4–1
 RAID Level Performance Advantages

RAID 1/0	RAID 0	RAID 1	RAID 3	RAID 5
Maintains high I/O throughput in write-intensive applications	Maintains high I/O throughput in write-intensive applications	Maintains high I/O throughput in write-intensive applications	Maintains high data throughput in large file transfer applications	Maintains high I/O throughput in read-intensive applications
No write penalty from storing parity	No write penalty from storing parity	No write penalty from storing parity	Parity information can be stored with a single write operation	See disadvantages
Most efficient for combined read/write operations		Most efficient for combined read/write operations	Most efficient for transferring large contiguous files	Most efficient for random reads of many small files
Suited for "fault tolerant" environments where cost is less of an issue		Suited for "fault tolerant" environments where cost is less of an issue	Suited for data transfer applications such as imaging and graphics	Suited for transaction- oriented applications
High-availability striping without calculating parity		Can mirror root and swap virtual disks	Cost-effective data redundancy for high- availability solution	Cost-effective data redundancy for high- availability solution

Table 4–2 RAID Level Performance Disadvantages

RAID 1/0	RAID 0	RAID 1	RAID 3	RAID 5
100% overhead for data redundancy	Failure & reliability not addressed	100% overhead for data redundancy	All drives are locked together, eliminating benefits of multiple actuators	Write penalty when storing data; 4 operations instead of 1
Most expensive redundancy solution	Not capable of automatic rebuild of data if one disk lost	Most expensive redundancy solution	Performs poorly with high numbers of small random reads	Minimum performance benefit with single-threaded I/O operations
Requires a higher number of drives to achieve redundancy	Loss of one disk means loss of everything in a stripe	Requires a higher number of drives to achieve redundancy	Dedicated parity disk can become a bottleneck	

Disk I/O Statistics

Descriptions of disk I/O statistics are given below. Note that the application that you use or the command that you type is shown to the left of the colon; the field of interest to you is on the right of the colon and should not be typed in:

Busy	UX/RPM: %bus	
	nsar –d: %busy	
	sar –d: %busy	

The percentage of time the specified disk subsystem has spent handling requests over the interval between samples.

Directory
blocks

UX/RPM: Directory Block Reads
nsar –a: dirblk/s
sar –a: dirblk/s

Number of reads of directory blocks.

File System Cache misses

UX/RPM: Iget Calls nsar -a: iget/s sar -a: iget/s

Number of times there was a file system name cache miss. It does not indicate the number of times the file system media was accessed. If this number seems high (perhaps over 100 per second), the file system may contain large directories—it possible, avoid searching large directories and put them at the end of your search path. Also, a search path variable (**path** or **PATH**), which lists the directories that the system should search when looking for a command, may be too long or not efficient.

File table size

UX/RPM: File Table Size

nsar –v: file–sz sar –v: file–sz

Number of distinct open file descriptors. It includes descriptors for sockets, pipes, and local and NFS-mounted files.

Inode table size

UX/RPM: Inode Table Size

nsar –v: inod–sz sar –v: inod–sz

The size of an internal local file system hash table. This value has very little application-level interpretation.

Metadata

Logical reads

UX/RPM: Log. Block Reads

Number of blocks of metadata transferred by logical reads. This includes blocks that are cached and blocks that require physical reads from the disk. Metadata includes inodes and directory blocks.

Logical writes

UX/RPM: Log. Block Writes

Number of blocks of metadata transferred by logical writes. This includes blocks that are cached or physically written to the disk. Metadata includes inodes and directory blocks.

Physical reads

UX/RPM: Phys. Block Reads

Number of blocks of metadata transferred by physical reads. These are blocks that are read from disk.

Physical read requests UX/RPM: Phys. Read Requests

nsar -b: pread/s sar -b: pread/s

Number of metadata read requests from the disk.

Physical writes UX/RPM: Phys. Block Writes

Number of blocks of metadata transferred by physical writes to the disk.

Physical write requests UX/RPM: Phys. Write Requests

nsar -b: pwrit/s sar -b: pwrit/s

Number of metadata write requests to the disk.

Read cache nsar –b: %rcache

sar -b: %rcache

The read cache hit ratio, i.e., the fraction of the number of logical reads which were found in the buffer cache.

Write cache nsar –b: %wcache

sar -b: %wcache

The write cache hit ratio, i.e., the fraction of the number of logical writes which were found in the buffer cache.

Pathnames UX/RPM: Pathname Searches

nsar –a: namei/s sar –a: namei/s

Number of times pathnames have been resolved.

Queue UX/RPM: aver queue

nsar –d: avque sar –d: avque

The average number of requests waiting to be serviced. This is the ratio of change in response time to change in busy time.

For good system response, it is important for the disk subsystem to be able to keep this value low.

calls

Read system Bytes transferred UX/RPM: Total Characters Read

> nsar -c: rchar/s sar -c: rchar/s

Number of bytes transferred by the **read** system call. This does not include all input to user applications, only that done by read(2) and readv(2).

This number can range from zero to a few million and includes terminal I/O as well as disk I/O. See Write System Calls.

Number of reads UX/RPM: Read System Calls/Sec

> nsar -c: sread/s sar -c: sread/s

Number of **read** system calls. The ratio of the number of bytes transferred by reads to this statistic indicates the average size of **read** calls. A small number of bytes per read may indicate a performance bottleneck because system call overhead contributes most to the cost of **read**. If the circumstances permit an application to read many bytes at once, it would probably perform better.

Reads

Number of reads

UX/RPM: blocks read nsar -W Odrblock: rblks/s

The number of 512-byte blocks read from the specified disk.

Requests

nsar -W Odrreg: reads/s

The number of separate read requests from the specified disk.

Reads and Writes

Blocks

nsar -d: blks/s sar -d: blks/s

The number of blocks read from and written to the specified device. This is an indication of how much time the disk subsystem is spending actually transferring data. Some disk subsystems read much faster than they write. On such disks, you should consult the individual read and write statistics listed below.

Requests

UX/RPM: # of requests

nsar –d: r+w/s sar –d: r+w/s

The number of read and write requests to the specified device. Because a single request may transfer a small or large amount of data, the number of blocks transferred should also be consulted. The number of requests is an indication of time the disk subsystem may spend seeking.

Response time

UX/RPM: aver resp

The average time (in milliseconds) a disk request spends being processed. This is the sum of wait time and service time on the specified disk subsystem.

Computed by dividing the change in response time by the number of requests in the interval.

Service time

UX/RPM: aver serv nsar –d: avserv sar –d: avserv

The average time (in milliseconds) a disk request spends being processed by a disk unit. This does not include time spent in the device driver or in the disk queue. This is a measure of the actual disk speed, which varies with seek distance, amount of data transferred, and characteristics of the specified disk subsystem.

Computed by dividing the change in busy time by the number of requests in the interval.

Wait

nsar –d avwait sar –d: avwait

The average time (in milliseconds) a disk request spends waiting to be serviced. This is a measure of contention for the specified disk subsystem. If there is a sudden burst of I/O on a disk's file systems, the wait time on that disk may become very large for a short time. To verify this, check the disk's average queue—it will be larger than normal.

Computed from the change in response time minus the change in busy time (which represents only requests that are waiting, not those being serviced) divided by the number of requests in the interval.

calls

Write system Bytes transferred UX/RPM: Total Characters

Written

nsar -c: wchar/s sar -c: wchar/s

Number of bytes transferred by the **write** system call. This does not include all output from user applications, only that done by write(2).

This number can range from zero to a few million (includes terminal I/O as well as disk I/O), but is usually less than the rate of bytes transferred by read system calls under a normal system load. Compare the two values to get an idea of the percentage of reads versus writes for an application. For example, you might have an application that issues ten **read** calls and five **write** calls; however, each application **read** call may physically read from disk twice—the ratio would be four reads for every write. If you change the application, check these values to monitor the effects of your changes.

Number of writes UX/RPM: Write System Calls/Sec

> nsar -c: swrit/s sar -c: swrit/s

The ratio of the number of bytes transferred by writes to this statistic indicates the average size of write calls. A small number of bytes per write may indicate a performance bottleneck because system call overhead contributes most to the cost of write. If the circumstances permit an application to write many bytes at once, it would probably perform better.

Writes

Number of writes UX/RPM: blocks written

nsar -W Odwblock: wblks/s

The number of 512-byte blocks written to the specified disk.

Requests UX/RPM: Write Requests

nsar -W Odwreg: writes/s

The number of separate write requests to the specified disk.

File System Configuration Variables

The file system configuration variables are also listed in /usr/etc/master.d/dgux. These variables set the file system parameters shown in the list below. To find out which of these variables are tunable with the admkernelparam command while the system is running, enter admkernelparam -o list at the command line.

ACCTON, ACCTOFF

If the free space in the file system in which the accounting file resides becomes less than the percentage specified by ACCTOFF, no further accounting records will be written. When the free space reaches the percent specified by ACCTON, the writing of accounting records will resume. ACCTOFF should always be smaller than ACCTON. The default for ACCTON is 5. The default for ACCTOFF is 2.

MAXBUFAGE

Specifies the maximum amount of time, in seconds, that modified system data will remain in the buffer caches before being written to stable storage. The default is **60**. Setting the value higher lets data remain in the cache for longer periods without being cleaned, which can result in higher performance. However, because it is not flushed as often, the data may not make it to disk if there is a crash of the system.

MAXSYSBUFAGE

Specifies the maximum amount of time, in seconds, that modified system data will remain in system buffer caches before being written to stable storage. If this parameter is $\mathbf{0}$, the default, the system uses the same maximum age value for system buffers that it uses for user buffers (as set in MAXBUFAGE). If you set MAXSYSBUFAGE to a value greater than MAXBUFAGE, the system ignores it and uses MAXBUFAGE for all buffers.

MAX SYSBUF HASH BUCKETS

Specifies the maximum number of hash buckets to use in each table that hashes system buffers. The default value is used then this parameter is set to 0 (zero). The kernel may choose a bucket count smaller than a non-zero value specified here, but never chooses a larger value. The default is **0**.

HASH_SYSBUFS_PER_FS

Specifies a Boolean value that, when clear (equal to 0), causes all system buffers (see PERCENTSYSBUF) to be hashed by a single per-system table. When set (equal to 1), it causes system buffers to be hashed by per-file system tables. The default is 1.

PERCENTBUF

Specifies the maximum percentage of physical memory that can be occupied by data files. The default is **100**, meaning data files can use as much physical memory as their usage pattern dictates. There should be no need to configure this variable unless heavy data file usage is hampering system performance by provoking an undesirable amount of other paging. For example, this condition might occur on an NFS server whose physical memory resources are small relative to the amount of file data accessed over a period of several minutes. With large memory configurations, setting PERCENTBUF too low will cause additional file page cleaner overhead.

PERCENTSYSBUF

Specifies the percentage of physical memory (after initialization) that is reserved for system buffers. System buffers hold directory, inode, file index, and bitmap data. The default, **0**, causes the system to select a reasonable value for the system.

MAXFILEUNITSIZE

Specifies the number of bytes of physical memory to use to hold the corresponding data element size of a file on disk. If the data element size of a file is larger, the data is paged in or out in MAXFILEUNITSIZE increments. Valid values are decimal numbers up to 1 (one) megabyte and should be a power of two. The default is **65536**.

HOGFILESIZE

Specifies the maximum number of bytes of physical memory that can be used by a given data file before that file will be treated unfavorably for physical memory resource allocation. The default is **262144**. When the system is forced to page data out to meet requests for memory, data files having more than HOGFILESIZE bytes buffered will be aggressively chosen to be paged out, and will be restrained in their ability to consume free memory.

NFSDEMONSCPUMASK

Specifies the set of CPUs on which the NFS daemons may run. You can use this to keep NFS daemons from running on certain CPUs in the system. For example, on a multiple CPU system, disk I/O interrupts may be serviced by CPU0. To avoid running the NFS daemons on CPU0, set the mask to 65534 (decimal). This parameter has no effect if the system is not a multiprocessor system, or if the mask does not specify any processors to run on. Note that this parameter can improve performance only on heavily loaded systems that are dedicated NFS servers; otherwise, you should not attempt to use this mask.

CDLIMIT

Specifies the maximum size in bytes that a nonsuperuser file may attain. The default is the constant **INT32_MAX**, which is equal to 2,147,483,647.

NFSLOCKUSERLIMIT

Specifies the maximum number of remote processes that can hold record locks concurrently. The default is **512**.

USERLOCKLIMIT

Specifies the maximum user locks that a process can hold. The default is **2048**.

FREERNODE

Specifies the maximum ratio of in-use rnodes (remote-mounted inodes) to free rnodes in the system. To improve performance on systems where you open a large number of remote files repeatedly, set this parameter to a higher value. The default is **4**.

NCLIENTOPS

Specifies the maximum number of handles of a given RPC type available on the system. The default is $\bf 6$.

CHOWN REST

A Boolean variable indicating whether or not the POSIX feature **_POSIX_CHOWN_RESTRICTED** is present for the system. The default is **0** (FALSE). Use **1** for TRUE. If the feature is present, then additional POSIX-style restrictions are placed on the **chown**(2) system call.

SRVNOTNEEDED

A Boolean variable indicating whether or not the DG/UX file system should hold on to buffers read from NFS clients. The default is 0 (FALSE). If this value is 1 (TRUE), then the server will mark buffers read by NFS clients as not needed anymore, which makes them more likely to be reused than other pages in the cache.

FULL_ISO9660

A Boolean variable indicating whether or not Full ISO 9660 filenames will be used for files on High Sierra compact discs. Unless this behavior is turned on, the High Sierra file manager will map upper case characters in filenames to lower case, and map ";" characters in filenames to "-" characters. The default is **0** (FALSE). Use **1** for TRUE. The default mapping is advantageous in a DG/UX environment.

MAX BUF BLOCKS TO FREE PER LOCALE

The maximum number of blocks released every time the **bm** daemon runs, if the number of buffers released on a given ccNUMA locale exceeds the amount determined by MAX_PERCENT_BUF_RELEASE_TRIGGER. The default value is **16**.

MAX_PERCENT_BUF_RELEASE_TRIGGER

The maximum percentage of released buffers (clean and unreferenced but still allocated) allowed before the **bm** daemon deallocates MAX_BUF_BLOCKS_TO_FREE_PER_LOCALE blocks per iteration.

A value of 100 means that the number of released buffers can be as large as the total number of buffers allocated to **bm**. On a non-ccNUMA system, a value of 0 sets the **bm** daemon to deallocate up to MAX_PERCENT_BUF_RELEASE_TRIGGER blocks per iteration. On a ccNUMA system, a value of 0 directs the kernel to determine a reasonable setting automatically to load-balance the system. The default value is **0** (zero).

VDM Configuration Variables

Four kernel configuration variables in the DG/UX system file control various Virtual Disk Management (VDM) operations:

- AFFINE_IO_TO_THREAD
- FAVORLOCALINACTIVEPATHS
- IOSTATS
- ZERODISKBLOCKS

These variables are dynamically controllable, so you can change their settings while the system is running. Of these four variables, two affect performance, as described here.

AFFINE IO TO THREAD

The AFFINE_IO_TO_THREAD variable controls the locale in which an I/O request is executed on ccNUMA (Cache-Coherent Non-Uniform Memory Access) systems. The term *locale* is a software abstraction used to describe a portion of a ccNUMA system. Locales generally map to a set of processors, memory, and I/O devices.

The default for the configuration variable is **0**, which means that I/O requests are not affined to the locale in which the issuing thread is running. Instead, the VDM dynamically determines the resident locale for the I/O memory buffers and executes the I/O using a disk controller in that locale. This feature eliminates cross-locale memory accesses during execution of I/O requests and provides the best general system performance. Tests with raw I/O, which does not use operating system buffers, show performance improvements when AFFINE_IO_TO_THREAD is set to **1**.

If the variable is set to 1, the VDM will execute I/O requests in the locale in which the issuing thread is running, regardless of the locale in which the memory buffers for the I/O reside. This can cause cross—locale memory accesses that may slow down system performance. However, this setting may provide better performance for some types of applications.

This variable affects synchronous and asynchronous I/O issued to raw virtual disks only (/dev/rdsk/* entries). It does not affect DG/UX file systems, virtual memory swapping, or buffered virtual disk access (/dev/dsk/* entries). It is designed to be used with specialized applications.

FAVORLOCALINACTIVE PATHS

The FAVORLOCALINACTIVEPATHS variable controls the behavior of disk multi-path I/O relationships when all active paths in a ccNUMA locale fail.

If all active paths fail when the variable is set to 1, the system attempts to use an inactive path within the same locale, trespassing on it if necessary. If the variable is set to 0, the system tries to use an active path in another locale. If these attempts fail, the system tries active or inactive paths in any locale. The default setting is 1.

IOSTATS

The IOSTATS variable affects gathering of disk performance statistics. This variable controls whether the VDM will gather I/O performance statistics on virtual disk I/O.

These statistics are reported for each virtual disk by the **dskiocusage** command; they include the number of read and write requests, the number of blocks read and written, and the device busy and response times. These statistics are reported by the **nsar**(1) performance tool, among others.

The default for this configuration variable is **1**, which means that I/O statistics should be gathered. If the variable is set to **0**, no I/O statistics will be gathered by the VDM. Disabling I/O statistics-gathering may result in a small performance improvement, but it invalidates some of the reporting done by the **nsar**(1) tool and other, similar tools.

The VDM shares the configuration variable with the disk device drivers. Its setting affects both the VDM and the disk drivers simultaneously.

ZERODISKBLOCKS

The ZERODISKBLOCKS variable enables zeroing of file system blocks as they are allocated to files, zeroing of free space on physical disks when they are initialized (soft-formatted), zeroing of virtual disks when they are deleted, and zeroing of virtual disks when they are shrunk.

Zeroing the disk blocks ensures that no other process or user can read the data that was on those locations on the medium. A value of ${\bf 1}$ enables zeroing; ${\bf 0}$ (zero) disables zeroing. The default setting is ${\bf 0}$.

For more information on these dynamic kernel configuration variables, as well as how to change their settings, refer to the following man pages: **admkernelparam**(1M), **dg_sysctl**(2), **vdm**(7), and **vdmphys**(7).

ccNUMA Virtual Disk Management

You have additional virtual disk management considerations if you are running the DG/UX system with ccNUMA. For detailed information about virtual disk management on ccNUMA systems, see Chapter 7.

End of Chapter

This chapter discusses terminal I/O (including statistics) and pseudo-terminals.

Just as the disk or any other device can become a system bottleneck, the asynchronous I/O controllers can slow terminal performance. Although the I/O rates of the controller will vary according to line discipline settings, the controller should be able to output several thousand characters per second. Check rate of output characters (outch/s using **nsar -y** or Output Characters/Sec using UX/RPM).

Input rates are much slower. Look at the number of characters read from terminal devices and processed in canonical mode vs. raw mode.

If you notice higher than normal characters/second rates after installing a new modem or multiplexor, check that it is not caught in an infinite loop. Also check that the setup is correct in /etc/ttydefs. For more information, refer to the online manual pages for ttydefs.

The most effective way to prepare a new device description is by imitating the description of a similar device in **terminfo** and building up the new description gradually, testing whether **vi** works with the compiled description. Begin by creating a terminfo source terminal file; you can obtain the source description for a given device by using the –I option of **infocmp**. You may copy and edit this description to accurately describe the device that you wish to enter into the terminfo database. Next, use the **tic** command to recompile the terminfo source terminal file. By default, the resulting binary files are placed under the directory **/usr/share/lib/terminfo**. However, if the environment variable TERMINFO is set, the compiled results are placed under the directory specified by the value of that variable.

For maximum terminal performance, make sure that your terminals and TERM environment variables are set to the most powerful emulations. For example, if you have a terminal that provides DEC VT52, VT100, and VT320 emulations, take advantage of the VT320 emulation. In this case, ensure that the TERM environment variable is set to "vt320" instead of the default "vt100."

Another problem that causes poor terminal performance is issuing a high amount of **stty** setting commands. Applications should use library calls (as few as possible) to change terminal settings.

Also, avoid changing back and forth between canonical and raw input modes as much as possible.

Terminal Lines

You should not add a ttymon port service on a line that is not connected to a terminal. Lines connected to devices such as printers, the asynchronous port on an uninterruptible power supply unit, or ports used for **mterm**(1) connections may produce noise on the line. Unterminated lines also chatter back to the system. Noise on the line can cause the port monitor to consume inordinate amounts of CPU time.

Terminal Port Interrupts

During normal operation, you should monitor the size of /etc/wtmp because this is the file from which the connect accounting is generated. If the file grows rapidly, execute accton1 (see the manual page) to see which tty line is the noisiest. Use a command line similar to this:

acctcon1 -l outfile < /etc/wtmp</pre>

The output file *outfile* helps track line usage, identify bad lines, and find software and hardware oddities. Termination of **login**(1) and termination of the login shell generate logoff records, so the number of logoffs is often three to four times the number of sessions.

Generally, interrupts occur when a port service is first enabled and the system goes to multi-user mode. If the number of logoffs exceeds the number of sessions by a large factor, it usually indicates a faulty or failing multiplexer, modem, or cable connection. An unconnected cable dangling from the multiplexer can cause this as well. If interruption is occurring at a rapid rate, it can affect general system performance.

Editread

The **editread** command line history and editing facility of the Bourne shell (**sh**) and C shell (**csh**) can affect general system performance. When the Bourne or C shell prompts you to enter a command, **editread** changes the terminal settings to process characters in raw mode. After you finish entering the command line, **editread** changes the terminal settings back to canonical input mode before the shell runs the command. If many people actively use **editread**, the frequent changing of input modes can put additional load on the system. Conversely, high system load can cause poor **editread** performance.

To see if **editread** is contributing to a high system load, have the system users turn off **editread** and then you can monitor system performance without it. To turn off **editread** for an individual shell session, type this command:

% enable=OFF^R ↓

The "^R" represents **editread**'s reconfig command.

To turn off **editread** for several shell sessions, put the following commands in the indicated files:

```
EDITREAD="enable=OFF" export EDITREAD # /etc/profile
setenv EDITREAD "enable=OFF" # /etc/login.csh
```

To turn off **editread** for the long term, delete the **.editreadrc** files in users' home directories.

The Korn shell (**ksh**), which provides **emacs** and **vi** interfaces, performs better than the Bourne shell with the **editread** interface. In particular, **vi** command line editing uses raw input mode only when you specifically activate it by typing the control mode key (the Escape key). For this reason, the Korn shell **vi** editing facility has a lower impact on system performance than **editread**.

If your users prefer the C shell interface and you decide that **editread** is causing a decline in system performance, system users can still access the history and command line substitution features of \mathbf{csh} . While the line-oriented interface to these features may not be as convenient as $\mathbf{editread}$'s interface, using \mathbf{csh} 's features has a negligible impact on system performance. Consult the $\mathbf{ksh}(1)$ and $\mathbf{csh}(1)$ manual pages for more information on these alternative history and line editing mechanisms.

Terminal I/O Statistics

Descriptions of terminal I/O statistics are given below. Note that the application that you use or the command that you type is shown to the left of the colon; the field of interest to you is on the right of the colon and should not be typed in:

Characters Canonical mode UX/RPM: TTY Canonical Input

Chars

nsar -y: canch/s sar -y: canch/s

Number of characters read from terminal devices and processed in canonical mode. If this number is over a few hundred, investigate modem or multiplexor setups. See Raw mode. Output UX/RPM: TTY Output Characters

nsar -y: outch/s sar -y: outch/s

Number of characters output to terminal devices.

Raw mode UX/RPM: TTY Raw Input

Characters nsar –y: rawch/s sar –y: rawch/s

Number of characters read in raw mode from terminal devices. Note that SYAC devices do not distinguish raw input characters from canonical input characters. See Canonical mode.

Modem UX/RPM: Modem Interrupts

interrupts nsar –y: mdmin/s sar –y: mdmin/s

Number of modem interrupts.

Interrupts Received UX/RPM: Receive Interrupts

nsar –y rcvin/s sar –y: rcvin/s

Number of receive interrupts from terminal devices.

Transmitted UX/RPM: Transmit Interrupts

nsar -y: xmtin/s sar -y: xmtin/s

Number of transmit interrupts to terminal devices.

Pseudo-Device Unit Count Variables

The PTYCOUNT configuration variable controls the number of pseudo-terminals that get created at system initialization time; the default is **64**. Each pseudo-terminal causes device entries to appear in the **/dev** directory upon system booting. Pseudo-terminals are used for **telnet**, **rlogin**, and PTCs.

The PTYCOUNT configuration variable can affect the performance of database management software (DBMS) and other applications:

- If the application must search through the **/dev** directory for tty or other device entries, having many pseudo-terminals may increase the search time. Directories are searched linearly, so the search time increases linearly with the number of pseudo-terminals. This is the most likely cause of negative performance impact.
- Creating more pseudo-terminals causes a modest amount of additional kernel memory to be allocated. Allocating additional kernel memory for pseudo-terminals may decrease the memory available for other applications and negatively impact performance.

With the default value of **64**, the DG/UX system supports up to 64 pseudo-terminals, namely

```
ttyp[0–9a–f]

ttyq[0–9a–f]

ttyr[0–9a–f]

ttys[0–9a–f]
```

However, you can give PTYCOUNT a value of up to **3500**. An increased PTYCOUNT value will increase the number of tty and pts entries in **/dev**.

There are more than 16 **/dev/ttyp*** entries in **/dev**. This is because there are three distinct name spaces: BCS, DG/UX, and SVR4. BCS names are created in **/dev** and have the form $\mathbf{tty}[p-za-n][0-9a-f]$; DG/UX names are created in **/dev** and have the form $\mathbf{ttyp}XXX$ where XXX is an integer; SVR4 names are created in **/dev/pts** and have the form XXX where XXX is an integer.

There is some overlap in the DG/UX and BCS name space (ttyp0-ttyp9).

When PTYCOUNT is 64:

- 64 BCS name space pseudo-terminals are created, as described above.
- 64 DG/UX name space pseudo-terminals are created.
- 64 SVR4 name space pseudo-terminals are created.

End of Chapter

Networking

Connecting computers and peripherals together with a network is a useful strategy for a large number of user communities. The network enables sharing and better utilization of the available resources and may allow data coherency to be more easily maintained.

The advantages of networking must be weighed against the potential disadvantages. I/O across the network will generally take longer than the same operation performed on the local host. The extra delay may be so small as to be imperceptible, or so large as to be intolerable. This chapter attempts to provide you with enough information so that the latter situation is avoided or at least minimized. It also suggests uses of the network that will minimize the load on the local and remote computer systems.

Networks, like most systems, have greater delays as utilization increases. Optimizing network performance can be difficult for a number of reasons such as:

- Connections are made over different media that have different characteristics such as Ethernet, Token Ring, and FDDI.
- You may need special equipment, such as a Network Analyzer, for measuring utilization.
- Network traffic passes from the local host, through intermediate hosts and equipment, to the remote host and then passes back.
- Different vendors' hardware and software may perform in different ways.

It is therefore critical that you take an analytical approach and utilize the tools available to you as fully as possible.

After reading this chapter, you should know the most important and common factors affecting network performance. You will also learn how to perform basic network traffic analysis and how to take steps toward improving performance.

This chapter covers the following topics:

- Network analysis
- Network environment
- Local system environment
- TCP/IP utilities
- NFS
- STREAMS

Networking Terms

Here are some of the basic terms that are used in this chapter.

A *network* enables two or more computer systems to communicate. A network includes the hardware and software that make up the interconnections between computer systems and between a computer and its peripheral devices. Network communication between computers takes place over media such as coaxial cable, twisted-pair phone lines, or microwave.

A *host* is a computer system to which a graphics screen or a number of terminals or other smaller computers are connected, and which provides computation access to files and other services. A *local host* is one to which you are directly connected. A *remote host* is one you access through a network.

A *local area network* (LAN) is a network within a small area, such as within a building. A *wide area network* (WAN) is a network of hosts that are far apart. A WAN usually requires connections through public communication facilities (such as the phone company). The *Internet* network is a collection of local networks and gateways that use TCP/IP to function as a wide area network.

Nearly every network system has its layers set up hierarchically. The number of layers and each layer's function vary from network to network. In all networks, each layer provides services to higher layers, without the higher layers knowing the details of how the services are provided. An *interface* consists of the types and forms of messages that each layer uses to communicate with the layer above or below it. A *protocol* specifies how programs on different computers but at the same layer communicate. The set of layers, interfaces, and protocols that govern communication is called the network's architecture.

Introduction to Network Analysis

When you analyze your network, you're not just analyzing a single system. You have a local host, a remote host, and possibly one or more routers or bridges. To find the source of the problem or bottleneck, the best way is through the process of elimination.

If operations that do not use the network take place at a normal rate, but network operations seem slow, suspect a network problem. For instance, if it takes minutes to save a file over the network or you repeatedly receive messages such as "NFS server not responding," that is a sign that there are some problems.

First, a remote system that you are trying to reach through the network must be "up." For DG/UX systems, this means that the system is connected to the network and is at run level 3 or higher. To check on a system, use the command **ping** remote_machine, which should display "remote_machine is alive". If instead you get the message "no answer from remote_machine," and you have reason to believe that the remote system and all intermediate systems are up, and that some of the systems are heavily loaded or the connections are over long distances (such as across the country), you may want to try **ping** with a larger timeout argument. For example, **ping** remote_machine 120 would allow 120 seconds for the system to receive a response.

If you get a messages other than "remote_machine is alive" you should probably operate on the assumption that the problem is not one of performance; consult the troubleshooting section of *Managing TCP/IP* on the DG/UX° System.

Once you know that your system and a remote system can, in fact, communicate, continue by using some analysis tools.

Alternatively, you can use the command

traceroute -w 5 -m 24 remote machine

to determine if a host or an intermediate host is having problems. This command's output shows the path that a packet takes to reach a remote host.

Analysis Tools

The best way to minimize network problems is to analyze your computing tasks and properly plan the network layout and equipment that you need. You can contact your Data General Sales representative for information on the network planning and installation services offered by Data General, which may help you avoid problems.

This section describes tools you can use to analyze your network: **tcpdump**, a network analyzer, **traceroute**, **nfc**, **netstat**, **ttcp**, and SNMP. The **nfsstat** command, which analyzes NFS usage, is described in the section "NFS" later in this chapter.

tcpdump

The **tcpdump** command provides a user interface to the Berkeley Packet Filter (BPF), a kernel interface that allows raw data to be read from the network. You should use this command to begin the analysis of your network if you do not have another network analyzer.

IMPORTANT BPF is available by default on the DG/UX system. If the entry **/dev/bpf0** does not exist, see the **bpf**(4) man page.

This **tcpdump** command allows any machine on the network to function as an Ethernet, FDDI, or Token Ring Analyzer. You can choose to decode a subset of packets or store them for later decoding. Note that you must have appropriate privilege to use this command.

By default, all packets are dumped. You can choose for **tcpdump** to filter packets by giving the command a filter expression. The filter expression (a Boolean expression) can consist of a protocol name (such as ip, tcp, or telnet), a command (such as **host mach1**), or an arbitrary comparison of the contents of each packet.

When **tcpdump** terminates, the number of packets dropped by the kernel is printed. The following is a list of possible solutions that may prevent dropped packets and possibly improve system performance:

- If you are only interested in packets sent to or from the local host, use **tcpdump**'s **-p** option to prevent the interface from being put into "promiscuous" mode (promiscuous mode makes **tcpdump** see all packets). This will improve system performance as well as reduce or eliminate the number of dropped packets.
- Use **tcpdump**'s **-w** option to write packets to a capture file. You can then use the **-r** option to decode and print the packets from the capture file later. Decoding and printing packets can be time consuming and cause other packets to be dropped.
- Use the filter expression to filter out as many packets as possible. For example, the expression 'tcp' will filter out more packets than 'ip' because TCP packets are a subset of IP packets.
- Increase the priority of **tcpdump** (see Chapter 2).

In this example, **tcpdump** writes the information about two NFS packets sent to or from the local host to the file **/tmp/dumpfile**.

```
# tcpdump -c 2 -p -w /tmp/dumpfile 'nfs' J
tcpdump: listening on dgen0
42 packets received by filter
0 packets dropped by kernel
```

Next, the information is decoded and printed:

```
# tcpdump -r /tmp/dumpfile J

22:25:16.37

ip: saturn->bojangles

udp: 1011->nfs

nfs: e310d: 112 getattr fh

383a303a.31623a66.663a303a.3738000a

22:25:16.38

ip: bojangles->saturn

udp: nfs->1011

nfs: e310d: reply ok 96
```

For more information, see the **tcpdump**(1M) man page.

Network Analyzer

A Network Analyzer, sometimes called a LANalyzer, is a specialized piece of equipment that can monitor, capture, and generate network traffic. Depending on the network media, you will require a specific network analyzer (for example, FDDI) or a specific network analyzer board (for example, Ethernet and Token Ring). They can aid in characterizing network performance in ways that general purpose computers cannot. Though not inexpensive, you may want to investigate leasing or buying a Network Analyzer if your network is large enough or down time and poor performance would be serious problems.

If you suspect that the network is shorted or open, a network analyzer may be used to verify that condition. A network analyzer sends signals down the network and waits for them to bounce back (a technique known as Time Delay Reflectometry); by measuring the interval between the initial signal and its reflection, the analyzer can tell you approximately how far away the problem is.

Network analyzers are also capable of determining the percentage of the media's theoretical maximum bandwidth being utilized. Determining network utilization is an important part of optimizing network performance. Checking for fragmented packets, misaligned packets, monitoring communication between host pairs, and interpreting the network protocol information are among the other useful features that network analyzers provide.

If you have a service contract, are able to capture an instance of the problem with the network analyzer, and need assistance in interpreting the data or correcting the problem, send your support center the output on a diskette (make sure the support center has hardware that can read your diskette) and also send the output on hardcopy.

traceroute

Use **traceroute** to display the route that packets take to reach a network host. The **traceroute** command launches probe packets and then listens for replies from a gateway; it continues until it either reaches the host or reaches the maximum number of hops (either specified or the default (30)). When viewing this command's output, you should consider these questions:

- Is the path optimal? The number of routers that the packet must take should be minimized.
- Are there alternative routes that may be faster?

For more information about this command, see the **traceroute**(1M) man page.

nfc

The network file converter (**nfc**) command converts files containing raw network data from one format to another. The current file conversions that are supported are:

- Converting from a tcpdump capture file to a Network General Sniffer save file.
- Converting from a Network General Sniffer file to a **tcpdump** file.

This command makes it possible to capture network traffic at one site using **tcpdump** and to later analyze that information at another site using the Network General Sniffer. See the $\mathbf{nfc}(1M)$ man page for more information.

netstat

The **netstat** command provides network status information such as per—interface statistics, per—protocol statistics, per—socket statistics, and routing table entries. To monitor the traffic on interfaces, use **netstat** followed by an interval argument (the number of seconds you want **netstat** to pause before updating the display). This command shows the number of incoming packets, outgoing packets, incoming errors, outgoing errors, and collisions (meaningful on Ethernet only).

% netsta	at 10	\downarrow							
inpu	ıt (d	dpen1)	outpu	ıt	inpu	ıt	(Total)	output	;
packets	errs	packets	errs	colls	packets e	errs	packets e	errs	colls
7175938	0	6995810	0	26868	11753764	4	10033847	1	129987
64	0	24	0	0	67	0	26	0	0
3	0	3	0	0	13	0	4	0	0
66	0	64	0	0	88	0	77	0	1
53	0	50	0	2	55	0	50	0	2
6	0	6	0	0	15	0	7	0	0
13	0	13	0	0	26	0	15	0	0
10	0	11	0	0	14	0	13	0	0

This display shows two groups of columns: one for the first interface on the interface list (dpen1 here), and one for all interfaces. The first line of information contains a summary of activity since the system was last rebooted. Subsequent lines of output show values accumulated over the preceding interval. When you do not want to see any more statistics, press Ctrl–C.

Issue the **netstat -s** command to display statistics for the ip, icmp, tcp and udp protocols. Reported parameters include input datagrams dropped because of flow control, bad header checksums, packets with incorrect lengths, fragments, retransmitted data packets, and window probe packets.

```
% netstat -s | more ...
udp:

0 bad header checksums
0 incomplete headers
0 bad data length fields
8152926 datagrams received
78198 datagrams received for non-existent port
7064176 transmit datagrams requested
74 input datagrams dropped because of flow control
```

If a system cannot store input datagrams because it cannot perform the operation quickly enough or because it has run out of buffer space in which to store the data, that information is displayed "xxx input datagrams dropped because of flow control." Make note of the number xxx. The ratio of dropped packets to the total number of datagrams received should be low (0.05%). A larger percentage than that indicates overload.

You can also issue **netstat** with no options:

% netstat ↓								
Active connections								
Proto Re	ecv-Q Se	nd-Q	Local Address	Foreign Address	(state)			
tcp	0	0	host-1588	xyz-telnet	ESTABLISHED			
tcp	0	0	host-1038	def-1059	ESTABLISHED			
tcp	0	94	host-login	ghi-1023	ESTABLISHED			
tcp	0	0	host-login	mno-1023	ESTABLISHED			

The report shows one line for every currently active connection using the Internet protocol family. The important statistic is the number of bytes in the send queue. This number should be 0 for most of the connections that **netstat** lists. However, **ftp** transfers can cause large numbers to appear in the send queue—this does not necessarily mean that your network is congested. Conversely, NFS endpoints always report 0 bytes in the send queue. Issue the **netstat** command repeatedly; if the send queue is consistently large for many of the connections, the network is congested.

Issue the command **netstat -i** and look at the Ierrs and Oerrs columns. Sample output follows:

% netstat -i.↓											
Name	Mtu	Network	Address	Ipkts	Ierrs	Opkts	Oerrs	Collis			
hken1	1500	one-lan	asystem-alt	7111958	0	6928265	0	26753			
hken0	1500	two-lan	asystem	4519759	4	2999458	1	103033			
loop0	4136	loopback-net	localhost	7910	0	7910	0	0			

Input errors includes all errors that occurred as a result of receiving packets from the network since the system was last rebooted. If an input error occurs, the network interface just discards the packet and trusts the sender to replace it. A large number of input errors usually means that there is faulty hardware on the network. Faulty hardware can mean anything from another computer system that is generating packets improperly to a bad connector or terminator.

A large number of output errors means that your system's network interface is faulty. The problem may be in your system's network controller, the LAN drop, or virtually anything between your CPU and the main LAN cable. Output errors are not caused by other systems. If you see output errors, the problem is local.

In normal operation, the acceptable number of input or output errors is extremely low: 0.025% of the total number of input or output packets. You can expect to see higher numbers if you are plugging or unplugging cables, or if a power failure or some other situation causes all of your systems to boot simultaneously. In these situations, a large number of output errors is normal.

SNMP

SNMP (Simple Network Management Protocol) can be used in place of **netstat** to collect information from various hosts on the network. You can use the following commands to query an SNMP agent for statistics:

- snmpgetone
- snmpgetnext
- snmpgetmany
- snmpgettab

It is possible to collect statistics from any host from a central location.

You can use the **snmpgettab** command to get the interface table from a host, as shown in this abbreviated example (usually, there are multiple index numbers—this only shows index 1):

```
% snmpgettab hostname public ifTable →
ifIndex.1 = 1
ifDescr.1 = loop0
ifType.1 = 24
ifMtu.1 = 4136
ifSpeed.1 = 0
ifPhysAddress.1 =
ifAdminStatus.1 = 1
ifOperStatus.1 = 1
ifLastChange.1 = 0
ifInOctets.1 = 351960231
ifInUcastPkts.1 = 772424
ifInNUcastPkts.1 = 0
ifInDiscards.1 = 0
ifInErrors.1 = 0
ifInUnknownProtos.1 = 0
ifOutOctets.1 = 360540
ifOutUcastPkts.1 = 67394
ifOutNUcastPkts.1 = 0
ifOutDiscards.1 = 0
ifOutErrors.1 = 0
ifOutQLen.1 = 0
ifSpecific.1 = nullSpecific
```

This section describes objects of particular interest from the above example. You can view descriptions of other objects in <code>/usr/etc/snmp/rfc1213.mib</code>. This file is compressed (denoted by the <code>.Z</code> extension); to restore the file with <code>uncompress</code>, see the <code>compress(1)</code> man page.

ifInOctets – The total number of octets (bytes) received on the interface, including framing characters.

ifInUcastPkts – The number of subnetwork-unicast packets delivered to a higher-layer protocol.

ifInNUcastPkts – The number of non-unicast (such as subnetwork-broadcast or subnetwork-multicast) packets delivered to a higher-layer protocol.

ifInDiscards – The number of inbound packets that were chosen to be discarded (even though no errors had been detected) to prevent their being deliverable to a higher-layer protocol. One possible reason for discarding such a packet could be to free up buffer space.

ifInErrors – The number of inbound packets that contained errors preventing them from being deliverable to a higher-layer protocol.

ifInUnknownProtos – The number of packets received via the interface that were discarded because of an unknown or unsupported protocol.

You can also use SNMP to check collision rates. SNMP provides more thorough information than **netstat** about Ethernet devices; in particular, it can differentiate between single, multiple, and excessive collisions.

First, get the names of the interfaces in order to get their corresponding index numbers.

```
% snmpgetmany hostname public ifDescr J
ifDescr.1 = loop0
ifDescr.2 = ipen1()
ifDescr.3 = dpen1()
ifDescr.4 = dpen2()
```

There are two Ethernet interfaces, dpen1 and dpen2, which have index numbers of 3 and 4, respectively.

The next example shows how to display the Ethernet statistics table. There are two groups of statistics, one for interface 3 and the other for interface 4.

```
% snmpgettab hostname public dot3StatsTable \( \)
dot3StatsIndex.3 = 3
dot3StatsAlignmentErrors.3 = 10
dot3StatsFCSErrors.3 = 2
dot3StatsSingleCollisionFrames.3 = 356641
dot3StatsMultipleCollisionFrames.3 = 409168
dot3StatsSQETestErrors.3 = 5572824
dot3StatsDeferredTransmissions.3 = 7
dot3StatsLateCollisions.3 = 7
dot3StatsExcessiveCollisions.3 = 176
dot3StatsInternalMacTransmitErrors.3 = 0
dot3StatsCarrierSenseErrors.3 = 1
dot3StatsFrameTooLongs.3 = 5
dot3StatsInternalMacReceiveErrors.3 = 0
dot3StatsIndex.4 = 4
dot3StatsAlignmentErrors.4 = 9
dot3StatsFCSErrors.4 = 3
dot3StatsSingleCollisionFrames.4 = 340505
dot3StatsMultipleCollisionFrames.4 = 296619
dot3StatsSQETestErrors.4 = 13287186
dot3StatsDeferredTransmissions.4 = 7
dot3StatsLateCollisions.4 = 7
dot3StatsExcessiveCollisions.4 = 1
dot3StatsInternalMacTransmitErrors.4 = 0
dot3StatsCarrierSenseErrors.4 = 111
dot3StatsFrameTooLongs.4 = 0
dot3StatsInternalMacReceiveErrors.4 = 0
```

These statistics are of interest:

dot3StatsSingleCollisionFrames – A count of successfully transmitted frames on a particular interface for which transmission is inhibited by exactly one collision.

dot3StatsMultipleCollisionFrames – A count of successfully transmitted frames on a particular interface for which transmission is inhibited by more than one collision.

dot3StatsExcessiveCollisions – A count of frames for which transmission on a particular interface fails due to excessive collisions.

When excessive collisions are present, it means that the Ethernet interface attempted to transmit a packet sixteen times, and each attempt failed due to a collision. Excessive collisions result in dropped packets, resulting in performance degradation.

See **/usr/etc/snmp/rfc1398.mib** for a description of other objects in the statistics table. This file is compressed (denoted by the **.Z** extension); to restore the file with **uncompress**, see the **compress**(1) man page.

ttcp

ttcp is a traffic generator that can be used for testing end-to-end throughput. Cooperating processes are started on two hosts. They open a tcp connection and transfer a high volume of data. Then, delay and throughput are calculated. Contact your Data General Sales representative for information on how to obtain **ttcp**.

Network Environment

This section reviews some of the choices that you must make regarding network installation and configuration that affect performance. We then discuss some performance problems that can arise in a networked environment. It is beyond the scope of this manual to offer more than an introduction to some of the resources available to you.

One of the first choices you must make is which type or types of media to use. The relative performance of different media must be weighed against the relative cost of the equipment and installation. You may also be presented with choices regarding whether to have a general purpose computer perform a particular networking task or whether specialized hardware would better suit your needs. The network must provide enough bandwidth to satisfy the needs of the network's users. If the network does not have enough bandwidth, the amount of time needed to transfer data between any two points gets excessively long.

While the next sections on different media note the maximum speed of each medium, it is not valid to assume that the time it takes to perform the same task on networks of different media will be proportional to their media speeds. For example, characters will probably not be echoed ten times faster for a telnet session over a FDDI ring versus one over Ethernet.

The following sections go into more detail about the network environment.

Ethernet

Ethernet was developed in the late 1970's at Xerox PARC and is comparatively simple and inexpensive. An Ethernet network is a type of local area network that consists of cable and interface hardware that connects hosts. The original Ethernet transmission speed was 10 megabits per second (10 Mbps). Later Ethernet standards and implementations provide transmission speeds of 100 megabits per second.

Before transmitting a message, a system waits until it hears no other system transmitting. If it senses no carrier from another system, it will then send the message. Since there is the possibility that another system will decide to transmit at roughly the same time, the sending system monitors the network to hear if its own message appears on the network ungarbled. If it detects a collision with another message, it intentionally sends a jam signal to ensure propagation of the collision throughout the Ethernet to all other transmitting systems. The colliding systems each back off a random length of time before attempting transmission again.

To see if your network is consistently overcrowded, use SNMP to retrieve the dot3StatsTable (as described previously) and examine the dot3StatsExcessiveCollisions statistic. Collisions are normal events and do not indicate hardware problems. However, when a network is overloaded, the number of excessive collisions increases and packets take longer to get through the network. To solve this problem, you can try to rearrange the network and applications that use the network in a way that reduces traffic.

Token Ring and FDDI

Token ring networks were first developed in the early 1980's by IBM. Systems are connected into a ring, but cabling is simplified by using a single cable for each system's interface that goes to a passive concentrator, or multiple access unit (MAU). A transmission speed of 4 Mbps was originally used for token ring, but more recent versions use 16 Mbps.

Only one system on the ring is allowed to transmit at a time; its message is retransmitted by each system around the ring. When no system on the ring has any data to transmit, a short 3-byte message known as a free token circulates around the ring. When a station decides to transmit a packet, it waits for the free token and then transmits its packet instead of the free token. When its message comes back around the ring, the originating system reinserts the free token onto the ring. In addition to the simple token passing algorithm, token ring uses a priority scheme implemented with a 3-bit priority field and a 3-bit reservation priority field that are present in every message.

The time required to repeat the frame at a station is called latency. The ring latency is the sum of the latency at each station plus the propagation delay around the ring. As the number of stations in the ring and the size of the ring increase, so will the ring latency.

Fiber Distributed Data Interface (FDDI) is a newer technology than Ethernet and token ring, uses fiber optic cable as the transmission media, and has a 100 Mbps transmission speed. Like token ring, it uses a ring topology, uses token passing to control access and is subject to the same type of ring latency. FDDI networks usually have a dual, counter-rotating ring topology for reasons of greater fault tolerance, fault isolation, and higher availability. Should the primary ring fail, the other ring ensures that the network stays in operation.

FDDI is designed to handle large amounts of data and is often used as a backbone LAN which connects other LANs.

Subnetting

Regardless of which types of media you use, you may want to consider whether the use of subnets may improve network performance. Subnets are an extension of the Internet addressing scheme that allows a site to use a single Internet address portion of its host address field as a subnet field. Outside the site, routing divides the destination address into an Internet portion and a local portion. Routers and hosts inside a site that uses subnets interpret the local portion of the address by dividing it into a physical network portion and a host portion. Thus, a site can present a single local network number to the world, but still maintain distinct physical networks and routing internally.

When a large number of systems must be connected to the network, dividing the systems among several subnets has several performance advantages over having them all connected to the same LAN. First, since there are fewer systems on the subnet, the time it takes for a system to gain access to the LAN is reduced because of less contention. In the case of Ethernet, once a system begins transmitting there is a lower probability of a collision. In the case of token ring and FDDI, there are fewer systems introducing the delay needed to repeat the message. Finally, physically shorter LANs have shorter propagation delays.

In planning which systems should be on which subnet, the main principle to follow is that a system should be on the same subnet as the systems it shares data with routinely. The best example of this is operating system servers and their diskless clients. The division often falls along lines of different departments having different subnets. Each time systems on different subnets interact, traffic is introduced on at least two subnets, any intermediate routers introduce some delay, and the load on each router is increased. Of course the total cessation of information flowing between subnets and departments is probably not desirable because of possible consequences more serious than a little network congestion.

One of the goals of planning subnets is to minimize the number of hops that any two systems must make to reach each other. Taken to an extreme, this would mean all systems would make just one hop to their destinations (i.e., just one LAN); this goal must be balanced against having too much traffic on any subnetwork. One solution is for each subnet to have a router that connects it to a backbone LAN populated with only routers. Thus each system is 3 hops, at most, from the destination.

Routing Considerations

Any time traffic needs to flow between different subnets or networks, give special attention to the routing of network traffic in order to minimize delays and the load on intermediate subnets and routers. Improperly administered routing tables can cause packets destined for a system across a building to follow a path across the country and then back again to reach their destination, though somewhat later than the optimum.

TCP/IP on the DG/UX system supports two dynamic routing programs, $\mathbf{routed}(1\mathrm{M})$ and $\mathbf{gated}(1\mathrm{M})$. \mathbf{gated} is recommended because it supports multiple routing protocols, implements superior algorithms for route path fault detection, and provides more flexibility. For more information about these programs, see $Managing\ TCP/IP$ on the $DG/UX^{\circ}\ System$.

Use **traceroute** to see the route a packet takes between two hosts.

```
% traceroute toe.cs.berkeley.edu ↓
traceroute to toe.cs.berkeley.edu (128.32.149.117), 30 hops max, 40 byte
   dgrtpgw2-2 (128.11.2.39) 10 ms 0 ms 10 ms
   /cisco (128.11.1.204) 20 ms 0 ms 10 ms
   dgrtpgw3-1 (128.11.250.8) 50 ms 10 ms 0 ms
   wing-alt3.us.dg.com (128.12.123.2) 20 ms 20 ms 30 ms
   sprint-gw.us.dg.com (128.12.137.29) 30 ms 30 ms 40 ms
   sl-dc-1-s2-384k.sprintlink.net (144.228.11.97)
                                                 40 ms 40 ms 40 ms
   icm-dc-2-f0-100m.icp.net (144.228.1.36) 40 ms 40 ms 50 ms
   icm-fix-e-h0-t3.icp.net (192.157.65.122) 50 ms 50 ms 40 ms
   192.203.229.246 (192.203.229.246) 50 ms 40 ms 40 ms
10
   t3-2.washington-dc-cnss58.t3.ans.net (140.222.58.3) 80 ms 50 ms \
   t3-3.washington-dc-cnss56.t3.ans.net (140.222.56.4) 40 ms 50 ms \
11
50 ms
   t3-0.new-york-cnss32.t3.ans.net (140.222.32.1) 50 ms 140 ms 50 ms
12
   t3-1.cleveland-cnss40.t3.ans.net (140.222.40.2) 60 ms 60 ms 60 ms
   t3-2.chicago-cnss24.t3.ans.net (140.222.24.3) 70 ms 70 ms 70 ms
15
   t3-1.san-francisco-cnss8.t3.ans.net (140.222.8.2) 110 ms 110 ms \
110 ms
16
   mf-0.san-francisco-cnss9.t3.ans.net (140.222.8.193) 120 ms 110 ms \
110 ms
   t3-0.enss128.t3.ans.net (140.222.128.1) 110 ms 110 ms 130 ms
   su-a.barrnet.net (192.31.48.200) 110 ms 110 ms 130 ms
   ucb.barrnet.net (131.119.5.2) 120 ms 150 ms 110 ms
   inr-108-dmz.berkeley.edu (192.31.161.22) 120 ms 110 ms 120 ms
20
   inr-111.berkeley.edu (128.32.120.111) 130 ms 130 ms 130 ms
22 toe.cs.berkeley.edu (128.32.149.117) 110 ms 130 ms 130 ms
```

Use **netstat -r** to check the routing tables.

```
% netstat -r ↓
Destination
                Gateway
                             Flags
                                       Refcnt Use
                                                       Interface
                                      39
                                              2671599 loop0
localhost
                localhost
                             UH
default
                                       0
                                                       hken0
                dgrtpgw2-2
                             UG
                                              0
doc-lan
                dgrtpgw2-2
                             UG
                                       1
                                              33349
                                                       hken0
```

The flags field shows the state of the route ("U" if up), whether the route is to a gateway ("G"), or whether the route is to a particular host ("H").

The system will automatically set up routes for each local interface listed in /etc/tcpip.params. Additional routes may be managed through sysadm using one of two methods. You may add individual static routes using TCP/IP -> Routes or you may start a routing Daemon using TCP/IP -> Daemons.

Gateways and Data Transfers

Gateways between networks are an additional source of errors. Use the **netstat** –**s** command to find out if gateways are causing data corruption. The command output includes **bad checksums** fields under the **udp**, **tcp**, **icmp**, and **ip** headings; these fields indicate packets that were corrupted while flowing through a network gateway.

When your system is not operating on Ethernet media, **checksum** errors may be the result of media errors; there may not be a problem on the gateway. For example, a SLIP link may introduce **checksum** errors.

Gateway corruption should be an extremely small percentage (hundredths of a percent or less) of the total number of packets received.

If your system is using only the Ethernet network interface, the error percentage should be less than 2×10^{-16} .

When a system receives a bad packet from the network, it detects the error and usually drops the bad packet. The sender does not receive the expected acknowledgement and must retransmit the packet. When a large percentage of network packets are damaged en route, performance declines for two reasons:

- Timeout delays (while the sender waits for the receiver to acknowledge the packet).
- Increased load due to retransmissions.

Your system network must be able to transfer data correctly. If it cannot, you must isolate the faulty equipment (i.e., a network interface, transceiver, connector, or cable). Carefully follow the guidelines for LAN hardware installation, including such things as cable length and cable grounding. Also, make sure that cables are attached securely to their connectors.

Network Connections

In some cases, the number of packets dropped by the network can be greatly reduced by moving server machines from a multidrop box onto a direct transceiver connection. The **netstat** command can help you identify networking problems. As discussed in the previous section, use **netstat**—s to look for the number of tcp retransmissions, checksum errors, and timeouts. Using **netstat**—i, view the Ierrs and Oerrs columns.

Local System Environment

The local environment of each system through which network traffic travels has some effect on the time it takes to perform an operation over the network. For example, when transferring a file from the local system to a remote system, all of these play a part:

- The time it takes to read data from the local disk
- The time it takes the local LAN interface to transmit the data
- The time it takes for the destination to receive the data
- Time it takes the destination to write the data to its disk.

If routers are involved there will also be time required for each one to write the data to buffers and then read from the buffers in addition to receiving and transmitting the packets on the LAN. This section examines the impact of different hardware components on tasks performed over network.

CPU Performance

The availability of CPU cycles on the local system, remote destination, and any intermediate computers that perform routing affect performance. If a system's CPU is heavily loaded, the instructions to read and write network data may be performed slowly. In the case of receiving data from the network, if a write to a buffer cannot be performed quickly enough, the data is dropped. This event introduces a delay for the sending system to note the lack of an acknowledgement during a specified period (a timeout) and for the sender to retransmit the data.

For Data General systems you can refer to the CPU performance chapter of this manual to find methods of analyzing and improving CPU performance. For other vendors' systems, consult that vendor's reference material.

Disk Performance

When network tasks involve reading and writing large amounts of data to and from disk, the disk performance can play a significant part in the completion time for the task.

For Data General systems you can refer to the disk performance chapter of this manual to find methods of analyzing and improving disk performance. For detailed information about disk management on Data General systems, see *Managing Mass Storage Devices and DG/UX* $^{\circ}$ *File Systems*. For disk performance and management on other vendors' systems, consult that vendor's reference material.

Diskless Client Performance

If you have users on diskless workstations, bear this in mind: diskless workstations use the network for all paging and swapping activity. If these workstations do not have enough local memory to minimize paging and swapping, they can easily consume a lot of network bandwidth. Workstation users will notice sharply degraded performance whenever their workstation is paging.

If you suspect that a diskless workstation is paging too much, you should consider adding more memory to the workstation or offloading some of the processing from the diskless system to the server. For example, large X Window System applications may perform better when running on the server and displaying to the workstation than when running on the workstation.

Use subnets to reduce LAN traffic. Diskless workstations should always be on the same subnetwork as their server.

Since diskless workstations remote mount their file systems, you may wish to see the section "NFS" later in this chapter.

TCP/IP and Its Utilities

TCP/IP stands for Transmission Control Protocol and Internet Protocol. The Internet Protocol is a kernel-level protocol that defines unreliable, connectionless delivery of datagrams. An IP datagram contains the addresses of its source and destination, and the data transmitted. Connectionless service means that the protocol treats each datagram as a separate entity; the protocol can deliver packets out of sequence, or can drop packets. IP defines the exact format of data as it travels through a network, but delivery of data is not guaranteed.

Transmission Control Protocol is a kernel-level protocol that defines reliable, end-to-end delivery of datagrams. TCP is connection-based because it establishes a connection between communicating hosts before transmitting data. TCP allows a process on one host to send data to a process on another through a byte stream. TCP uses the Internet Protocol to transmit information along an Internet network and also provides flow control, which ensures that either side of the connection will send data at a rate that is acceptable to the other side.

An understanding of your applications that run over TCP/IP may help you to optimize performance. A discussion of telnet, rlogin, and ftp follows.

Telnet and rlogin

Telnet and rlogin are user-level protocols accessed through the **telnet** and **rlogin** commands, respectively. With both, you can interact with a remote host as if your local host's terminal were directly connected to the remote host.

If you use either utility extensively, you should understand how they work and how their performance can be affected. Typically, input and output for telnet and rlogin is sent a character at a time in separate packets across the network. If telnet performance is very poor and other means of improving performance have not helped or do not seem appropriate, some vendors (including Data General) enable you to specify the use of line-at-a-time echoing. However, using line-at-a-time echoing in a multi-vendor heterogeneous environment may result in unexpected side effects.

One definition of latency is the amount of time needed to send a single byte of data between two systems, which is sometimes referred to as per–packet overhead. Given that, you can appreciate that latency is important to telnet and rlogin performance. Contributing factors include software efficiency and CPU speed. Benchmarks that estimate the number of round trips per second may help estimate how telnet and rlogin will perform.

To improve telnet's software efficiency, Data General has moved some of the server functionality into kernel space. Therefore, if both telnet and rlogin are available on your client system, choosing to connect to a Data General server with telnet (rather than rlogin) produces less load on the server.

Finally, you may want to consider alternatives to using the host-based telnet—LAN controller combination that might relieve CPU load (for example, the PTC/1024 controller). You can obtain more information on the PTC/1024 from the **spac**(7) manual page and from your Data General Sales Representative.

For information about communications management on ccNUMA systems, see Chapter 7.

FTP

FTP is a user-level protocol accessed through the **ftp** command. You can transfer files from one host to another with FTP.

If you use FTP extensively, you should understand how it works and how its performance can be affected. When ftp is used to transfer a file, generally the data must be read from disk and transmitted by the LAN controller on the source system, received, buffered, and transmitted by any intermediate routers, and then received and written to disk by the destination system. See the disk performance chapter for methods of evaluating and improving disk performance.

If large numbers of small files are transferred, latency may have significant affects on FTP performance. As transfers tend toward larger and larger files, the network *throughput* becomes increasingly important. Throughput is the amount of data that can be delivered over the network in a given amount of time. Contributing factors are the DMA capability of a communication controller and the efficiency of data-size-dependent software. Thus the per-byte overhead multiplied by each byte of a large file can become significant in an FTP transfer.

Some workarounds are available for reducing the time and impact of transferring data with FTP. Users that routinely transfer files over networks that span many hops and long distances find that compressing files with utilities such as **compress**, **gzip**, and possibly **pack** reduces the transfer time. Shifting transfers to times of low network and system loads is another alternative that you can implement automatically using the **cron** or **bftp** utilities.

A transfer of a large file may start out with no problems (for example, you can connect to the remote system's ftp daemon), but may tend to fail repeatedly prior to completion of the transfer, thus increasing the network traffic load because of your retries. You may want to consider using the ftp restart capability, which restarts the last transfer where it was aborted.

TCP/IP Tuning

Tuning TCP means reducing the latency (how long a process waits) and increasing throughput (how much data a process can send). Tuning in favor of reducing latency usually results in higher numbers of small packets. This increases the network overhead and reduces throughput. Tuning for throughput reduces the number of small packets in favor of large packets in order to reduce overhead. This increases latency because the transport engine will wait until enough data for full size packets is collected.

To improve TCP performance, you should understand the costs of sending and receiving data. These costs include fixed costs such as protocol headers, protocol state processing and process context switching—costs that are the same per packet, regardless of size. Variable costs are those that are proportional to the amount of data. These include copying data to and from buffers, data collation, checksumming the data, and transmitting the data

Buffer size, Nagle small packet avoidance, and TCP delayed acknowledgements are parameters that can affect TCP performance.

Keep in mind that the best performance measurement tools are your applications.

Buffers

The larger the receive buffer, the more data TCP may receive before the user application must be scheduled to read the received data.

A larger send buffer permits TCP to queue more data for transmission. This reduces the amount of time TCP must wait for the user application to be scheduled to write data, which has a positive effect on throughput.

You can control the receive and send buffer sizes by using the socket level options SO_RCVBUF and SO_SNDBUF, respectively. This code example sets the receive and send buffers to 32 Kbytes:

```
int rcvbuf = 32*(1024);
int sndbuf = 32*(1024);

ind sd;

sd = socket(AF_INET, SOCK_STREAM, 0);
setsockopt(sd, SOL_SOCKET, SO_RCVBUF, (char *)&rcvbuf, sizeof(int));
setsockopt(sd, SOL_SOCKET, SO_SNDBUF, (char *)&sndbuf, sizeof(int));
```

You can also set buffers for applications using the **sendpipe** and **recupipe** options of the **route**(1M) command. For details, see the **route**(1M) man page.

Take care when using large receive and send buffers because transient memory allocation failures can occur. Failures occur because of the increased STREAMS memory requirements of larger buffers. If ENOMEM is returned, your applications should be prepared to retry the **write**() call.

Nagle Small Packet Avoidance

The Nagle Small Packet Avoidance algorithm attempts to collect output data into a TCP Maximum Segment Size (MSS) block to be transmitted as a single TCP packet. This reduces network overhead and improves throughput performance.

Some highly interactive applications send relatively small requests (less the TCP MSS). The TCP protocol engine attempts to batch these requests to save network bandwidth. This improves batch throughput, but degrades interactive response time.

To deactivate the Nagle algorithm, use the TCP option TCP_NODELAY. The default setting for this option is off, which means "delay transmission while collecting data." Turning on this option reduces the bulk transfer throughput, but reduces latency. You should only turn this option on for *highly* interactive applications. These applications must include code similar to this code to control the delay algorithm:

```
int onoff = 1;
int sd;

sd = socket(AF_INET, SOCK_STREAM, 0);

/* Turn the TCP_NODELAY option on */
setsockopt(sd, IPPROTO_TCP, TCP_NODELAY, (char *)&onoff,
sizeof(int));
```

TCP Delayed Acknowledgement

The TCP protocol engine will normally delay acknowledging data received on the premise that data going in the reverse direction will soon be available. An acknowledgement is "piggybacked" on the reverse data flow when possible. If data is not available within the timeout period, an acknowledgement-only packet is transmitted.

The combination of Nagle small packet avoidance and TCP Delayed Acknowledgement can adversely affect applications that transmit multiple small packets before waiting for reverse traffic. In this case, the data sender should either combine small writes into larger writes or set the TCP_NODELAY option as previously described.

If those solutions are not available, the data receiver can set the TCP_NODELAYACK option. This has the effect of transmitting an acknowledgement as soon as data is received, which in turn prompts the data sender to transmit more data. This code example turns the TCP_NODELAYACK option on:

```
int onoff = 1;
int sd;

sd = socket(AF_INET,SOCK_STREAM, 0);
setsockopt(sd, IPPROTO_TCP, TCP_NODELAYACK, (char *)&onoff,
sizeof(int));
```

NFS

NFS is a service that allows many users to share file systems over a network.

When I/O is being done to an NFS mounted file system, there are several additional factors that need consideration.

- The NFS revision 2 default transfer size is 8 Kbytes.
- The NFS revision 3 default transfer size is negotiated by the NFS client and server during the mount of the remote file system.
- The default maximum transfer size for a DG/UX NFS revision 3 client or server is 32 Kbytes.

This can be overridden when mounting the file system, but experience shows that it takes no longer to transfer a large block over a LAN than it takes to transfer a smaller block. The option of specifying NFS read and write block size was supplied to prevent swamping a client, server, slow link, slow/overloaded gateway, or slow/overloaded bridge with data.

To even out the network traffic, it may help to reduce the value of MAXBUFAGE on the client. See "File System Configuration Variables" in Chapter 4 for a description of MAXBUFAGE.

Although NFS can do some operations asynchronously, other operations are synchronous and/or unbuffered. For example, NFS will read ahead and write behind data as well as buffer the data; thus, reads and writes (particularly sequential ones) can be relatively inexpensive.

On the other hand, closes, some lookups, and file status operations are synchronous. Closes will not be completed until all the data has been flushed to disk.

You can improve the performance of NFS exported file systems by using disk caching. Set up the cache to cache only file system metadata for the best performance. See the disk caching section of Chapter 4 for more details.

TCP/IP performance can have a big effect on NFS performance. Note that the network will retry operations several times (indicated by the console message "server not responding") before an error is returned to NFS, but if the network is frequently returning errors to NFS, the NFS backoff/retry can result in cumulative delays that are quite expensive. To minimize these delays, it is important to ensure your network is set up correctly.

Analyzing NFS Usage

The following sections tell you how to analyze your system's NFS usage.

Using nfsstat

You can obtain a summary of NFS operations and NFS visible network errors with the **nfsstat** command:

% nfsstat							
Server rpc	:						
calls	badcalls	nullrecv	badlen	xdrcall			
4606507	0	0	0	0			
Server nfs:							
calls	badcalls						
4606495	0						
null	getattr	setattr	root	lookup	readlink	read	
0 0%	2558832 55	% 24784 0%	0 0%	505736 10	% 5648 0%	364845 7%	
wrcache	write	create	remove	rename	link	symlink	
0 0%	1045531 22	% 11957 0%	23750 0%	4617 0%	946 0%	2329 0%	
mkdir	rmdir	readdir	fsstat				
31 0%	330 0%	55475 1%	1684 0%				
Client rpc	:						
calls	badcalls	retrans	badxid	timeout	wait	newcred	
69067	0	25797	16	25797	0	0	
Client nfs	:						
calls	badcalls	nclget	nclsleep				
69067	4	77841	0				
null	getattr	setattr	root	lookup	readlink	read	
0 0%	33528 48%	19 0%	0 0%	20633 29%	328 0%	8465 12%	
wrcache	write	create	remove	rename	link	symlink	
0 0%	28 0%	7 0%	2 0%	1 0%	0 0%	0 0%	
mkdir	rmdir	readdir	fsstat				
0 0%	0 0%	5970 8%	86 0%				
0 0.0	U 0 %	3570 0%					

These values are only reset at boot time.

After issuing the **nfsstat** command, check the **retrans** field under "Client rpc"; this indicates the number of packets that this host retransmitted as an RPC client—the number of retransmissions it made while reading or writing an NFS file. If this field is greater than 5% of the total number of client NFS calls, you may have a problem.

Compare the **retrans** number to the value of **badxid**, which reports the number of times a server's reply did not match the client's RPC call. If the numbers are about equal, one or more of the network's NFS servers is having trouble keeping up with the client's demands. If the **retrans** number is high, but the **badxid** number is relatively low (as shown in the example above), the problem is the network itself—the network is either slow or suffering from data corruption.

UX/RPM Data

With UX/RPM, you can observe various current NFS usage statistics.

STREAMS

DG/UX TCP/IP is implemented using the STREAMS mechanism; in some cases, your network usage may call for tuning STREAMS variables. A Stream is a full duplex processing and data transfer path between a driver in the kernel space and a process in the user space. STREAMS provides a standard framework for network protocol stack implementation.

The DG/UX system dynamically allocates STREAMS data structures. If memory were allocated statically at system initialization, it would not be generally available and performance could suffer. To ensure that STREAMS does not use an overly large amount of the system memory, you can set the PERCENTSTR STREAMS configuration variable (which specifies the maximum percentage of system memory that can be allocated to STREAMS); it is, by default, 20% of the system's total memory. Although this should be sufficient to handle heavy network loads, you may want to experiment with larger values if your system often uses the X Window System and other network services. However, this parameter will only affect performance if you are running out of STREAMS memory.

The stream head module, the driver (usually), and each stream module in between the stream head and driver have a queue pair (a read queue and a write queue) allocated. A queue pair is used whenever a module is pushed on the stream such as when protocol stacks are built and when network connections are made. A queue pair is freed whenever a module is popped such as when a network connection is broken and a network protocol stack is torn down.

These are the network STREAMS requirements:

- Each socket requires 2 queue pairs.
- Each TLI (Transport Layer Interface) requires 3 queue pairs.
- Each telnet server connection requires 14 queue pairs, 2 mux links, and 1 pseudo tty.
- Each rlogin connection requires 9 queue pairs and 1 pseudo tty.

The NQUEUE STREAMS configuration variable specifies the maximum number of STREAMS queue pairs $(2^{32} - 1)$.

STREAMS Configuration Variables

The STREAMS configuration variables are associated with STREAMS processing. These variables are also listed in /usr/etc/master.d/dgux. They set the STREAMS parameters shown in the following list.

PERCENTSTR

Specifies the maximum percentage of physical system memory (after initialization) that can be used for STREAMS buffers. The default is **20**.

NSTRPUSH

Specifies the maximum number of STREAMS modules that may be pushed on any one stream. This is used to prevent an errant user process from consuming all the available queue pairs on a single STREAMS module. The default is **9**.

STRMSGSZ

Specifies the maximum number of bytes allowed in the message portion of a stream. A module maximum packet size of **INFPSZ** (defined in /usr/include/sys/stream.h) defaults the maximum packet size to this value. The default is 0, which means that there is no default maximum message length. In this case, the size of the message is only restricted by what the queues on the stream will accept.

STRMCTLSZ

Specifies the maximum number of bytes allowed in the control portion of a stream. The control part of a message created with **putmsg** is not subject to the constraints of the minimum or maximum packet size, so this value is the only way of providing a limit for the control part of a message. The default is **1024**.

NSTRDEMONS

Specifies the number of STREAMS demons to start at initialization. If set to $\mathbf{0}$ (zero), one demon per every two CPUs is run (rounded up so that there is 1 demon if 1 CPU, 2 demons if 3 CPUs, and so on.) If NSTRDEMONS is greater than the number of active CPUs, one demon per active CPU is run. The default is $\mathbf{0}$ (zero). For more information about this parameter, see the CPU and process configuration variable descriptions in Chapter 2.

NMUXLINK

Specifies the maximum number active multiplexors that may exist at any one time on the system. The default is **1024**. As a guideline, allow at least 2 multiplexors per pseudo tty used, 2 per communication stack (TCP/IP, UDP, etc.), and 2 per network interface (loop, dgen, etc.); round the total up to a power of 2. If that total is greater than the default value, increase NMUXLINK.

NLOG

Specifies the maximum number of log devices available. The default is **16**.

NPIPE

Specifies the maximum number of STREAMS pipe devices available. The default is **64**. Note that this does not affect IPCs created by using **pipe**(). If you receive the message "Cannot create pipe," increasing NPIPE probably will not help; the system could not allocate memory for the pipe. Also, large NPIPE values may adversely affect **ttyname** lookup times and increase CPU usage since increasing NPIPE increases the size of the **/dev** directory. You may want to try decreasing NPIPE to 8 or so.

BSIZE

Specifies the maximum number of log messages allowed to be enqueued on a log driver's read queue. The default is **20**. This prevents being swamped by log messages during peaks.

NSTREVENT

Specifies the maximum number of signal delivery requests (established with the I_SETSIG ioctl command) allowed to be enqueued on the signal event list. The default is 2048.

ccNUMA Communications Management

You have additional communications management considerations if you are running the DG/UX system with ccNUMA. For detailed information about communications management on ccNUMA systems, see Chapter 7.

End of Chapter

ccNUMA System Performance

DG/UX Cache-Coherent Non-Uniform Memory Access (ccNUMA) technology offers significant performance improvements over other high-end architectures and operating systems. Designed to avoid shared bus bottlenecks and reduce latency, it connects processors and their associated cache, shared memory, and a per-locale Far Memory Cache (FMC) into unified SMP locales with a single address space.

Since DG/UX ccNUMA technology is an extension to the DG/UX Symmetric Multi-Processor (SMP) architecture, applications designed to take advantage of DG/UX SMP features use DG/UX ccNUMA features with no modification. This makes more processors and a larger single address space automatically available to SMP applications running on DG/UX ccNUMA systems.

IMPORTANT DG/UX ccNUMA features are available only on high-end AViiON systems such as multi-block versions of the AV 20000 and AV 25000.

To achieve maximum system performance, you must be able to adjust application interaction with processes, memory, virtual disks, and communications pipelines. You can monitor and optimize these components on DG/UX ccNUMA systems with tunable configuration variables and commands provided for ccNUMA system control.

This chapter describes DG/UX ccNUMA features and the configuration variables and commands that you use to control ccNUMA systems. The chapter also recommends alternate settings for configuration variables based on the similarity of your computing environment to performance benchmark environments that are described at the end of the chapter.

Key Concepts for Improving ccNUMA Performance

You can improve the overall performance of DG/UX ccNUMA systems by taking key concepts about memory, file systems, and load balancing into account when customizing and tuning your system.

Load Balancing

Distribute system load by setting the load balance, migration aggression, and other scheduler system parameters. You can use the $\mathbf{dg_affine}(1M)$ command or the Class Scheduling Facility, $\mathbf{csf}(1M)$, to shift the time and locality of applications. Tuning the scheduler balances the extremes of excessive movement and idle processors.

Initially placing an application in a new locale is less expensive than moving it after it has gathered extensive memory resources. Moving an application from one locale to another incurs a performance penalty because the application's memory resources must also be transferred to the new locale. However, if there are processors (CPUs) with no work to do, moving applications to these processors can balance the system load and provide greater throughput.

For detailed information about load balancing, see the process management and scheduling section later in this chapter.

Memory

When possible, assign the same memory locale to processes and their associated CPU and I/O operations. On a ccNUMA system, near memory accesses (accesses within a single locale) take less time to finish than far memory accesses. Assigning the same memory locale to processes and associated resources keeps latency to a minimum.

Files and File Systems

When possible, define several small file systems instead of only a few large ones, and split very large files into smaller files. Each DG/UX file is locked when a process accesses it with write or modification privileges, and some operations also lock the file system where the file resides. Keeping file systems and files small reduces the likelihood of latencies caused by contention for system resources.

For more information about managing files and file systems to improve performance, see Chapter 4.

Process Management on ccNUMA Systems

Because of latency discrepancies on ccNUMA systems in memory access timing between near and far memory, process management and scheduling are vital to system performance. This section describes the ccNUMA kernel features in the DG/UX system for process management and load balancing.

Scheduling Processes

Scheduling is the act of deciding which processes are allowed to run on which processors, or conversely, which processors are allowed to execute processes.

The DG/UX scheduler defines the concepts of *home locale* and *current locale* for each process. The home locale is where the process originated and is expected to execute most of the time. The current locale is where the process is currently executing or executed most recently. In most cases, the home and current locales are the same.

The DG/UX scheduler:

- Determines the initial home scheduling locale of a process
- Determines which processes are the best candidates for *poaching* (running on a processor other than one in its home locale) when there is a processor load imbalance
- Determines which processes to migrate from their home locale to their current locale
- Periodically balances the system load for processor, memory and priority

To achieve optimal performance, it may seem logical that the system always run processes and acquire memory from their home locale. In practice, however, this is not always possible and can cause performance degradation. Due to limitations of the availability of memory and CPU resources, a better option may be to run a process on a CPU that is far from its home locale, or to allocate memory from a locale other than its home locale.

Optimal ccNUMA system performance can often be achieved by allowing the system to allocate memory and CPU resources dynamically, based on per-locale loads. However, because you have the best knowledge of the application mix and computing needs of your environment, you need to have control over the use of resource allocation policies.

To give you control over ccNUMA process management and scheduling, the DG/UX system provides several tunable algorithms that determine run-time allocation of CPU and memory resources.

Initial Home Fork and Exec Placement Configuration Variables

Initial home fork and exec placement determines the initial home scheduling locale of a process. The home scheduling locale is important because the DG/UX scheduler gives preference to running processes on CPUs in the same locale. In addition, memory management acquires physical memory for pages faulted-in by processes from its home scheduling locale. The **fork**(2) and **exec**(2) man pages describe **fork** and **exec** operations in detail.

On ccNUMA systems, two configuration variables allow you to select a default initial home scheduling locale for processes.

FORK POLICY

The method used to determine where to assign the initial home locale for a new **fork**(2) process. In benchmark environments such as TPC-D, TPC-C, and AIM-7, setting FORK_POLICY to **INHERIT_PLACEMENT** improves performance. The performance of UniVerse benchmark tests improves when FORK_POLICY is set to **ROUND_ROBIN_PLACEMENT**, but only if EXEC_POLICY is also set to **INHERIT_PLACEMENT**.

EXEC POLICY

The method used to determine where to assign the initial home locale for an <code>exec(2)</code> process. The default policy of <code>EXEC_POLICY</code> is <code>LEAST_LOAD_PLACEMENT</code> because benchmarks such as AIM–7 and TPC–C perform well with this setting. Performance studies involving the TPC–D benchmark suggest setting <code>EXEC_POLICY</code> to <code>INHERIT_PLACEMENT</code>. The performance of UniVerse benchmark tests improves when <code>EXEC_POLICY</code> is set to <code>INHERIT_PLACEMENT</code>, but only if <code>FORK_POLICY</code> is also set to <code>ROUND_ROBIN_PLACEMENT</code>.

These two configuration variables impact performance most when you are using neither affinity nor class scheduling tools to direct processes to specific locales.

Variable Settings for Initial Home Fork and Exec Placement

You can set FORK_POLICY and EXEC_POLICY to one of three placement policies:

- **INHERIT_PLACEMENT** Allows the new process to inherit its home locale from its parent. This is the default policy for FORK_POLICY.
- **LEAST_LOAD_PLACEMENT** Selects the home locale of the new process based on the utilization of each locale in the system. The utilization of each locale is determined by the CPU usage, the composite memory available, and priority loads. This is the default policy for EXEC_POLICY.
- ROUND_ROBIN_PLACEMENT Determines the home locale of the new process by circulating through all of the locales on the system in round-robin fashion.

Home Locale Bias Configuration Variables

If you set the value of FORK_POLICY or EXEC_POLICY to **LEAST_LOAD_PLACEMENT**, you can also control the selection bias towards the inherited scheduling locale of the processes with these configuration variables:

EXECHOMEBIAS

Sets the weight given to the home locale in the placement algorithms used when executing a process. The default is **10**.

FORKHOMEBIAS

Sets the weight given to the home locale in the placement algorithms used when forking a process. The default is **10**.

Valid values for these variables are the range **0** (zero) to **100**. A value of **0** (zero) gives the current home locale no bias in the placement algorithm and ensures that the process is given a home locale that satisfies the placement policy criteria. A value of **100** gives the current home locale the maximum bias in the placement algorithm and effectively ensures that the process inherits its home locale.

Process Poaching and Migration Configuration Variable

Sometimes a process is invoked, but the CPU that is best for it to run on based on kernel parameter settings or affinity policies is busy. If this happens, the system either:

- Makes the process wait until a CPU near to its memory within its home locale is free to run it.
- Runs the process temporarily on a CPU whose memory is not near to the process, resulting in significant far memory access.

The second situation is *poaching*. When poaching occurs, the system is trying to balance memory and CPU utilization. The system can assign a waiting process in one locale to an idle CPU in another locale. Poaching increases CPU utilization but may have a negative effect on overall system performance because it increases system time and resources spent on far memory access.

Once a process is poached, the DG/UX scheduler must decide to either send it to its home locale when possible or change its home scheduling locale to its poached locale, called *migration*. The migration decision is based on:

- The length of time the process has run outside of its home
- The load on its home locale's memory and CPUs
- The amount of poached memory that the process uses

You can set the MIGRATIONAGGR configuration variable to control poaching and migration.

MIGRATIONAGGR

Tells the DG/UX scheduler how long to wait before looking at other levels in the scheduling tree for work and controls how aggressively the system migrates poached processes across ccNUMA locales. Valid values are numbers between **0** (zero) and **100**. A value of **0** (zero) disables migration, while a value of **100** causes a poached process to migrate automatically. The default setting is **30**. However, in cases such as the AIM–7 benchmark, a more aggressive MIGRATIONAGGR setting of **50** or **70** increases performance of high-end systems.

Load Balancing Configuration Variables

Periodically, the system analyses the loads of all of the locales. If there is a large disparity in the loads, the system forces the migration of some processes to promote balance.

In the DG/UX system, three configuration variables allow you to adjust the frequency and aggressiveness of automatic load balancing:

LOADBALAGGR

A percentage value that controls how aggressively the ccNUMA scheduling algorithm balances a load. LOADBALAGGR has valid values between **0** (zero) and **100**. A value of **0** (zero) effectively disables the load balancing feature. A value of **100** causes the load balancing algorithm to evenly balance loads across all of the scheduling locales. The default for LOADBALAGGR is **35**. However, studies using the AIM–7 benchmark indicate that a more aggressive setting of **50** or **70** yields better performance on high-end systems.

LOADBALMAXRSS

A percentage value that regulates the selection of lightweight process or thread groups during ccNUMA scheduling and load balancing. Any thread group whose resident set size occupies more than LOADBALMAXRSS of the total memory in the scheduling locale is not eligible for automatic load balancing. The valid range of LOADBALMAXRSS is **0** (zero) to **100**.

MAXAFFINITYSLICE

The amount of time in milliseconds that an LWP group can stay affined to a locale before its affinity relationship is reset to improve timesharing among LWP groups. The smaller the value, the smoother the load balancing at the expense of better throughput, and vice versa. The default value for this variable is **10000** (10 real-time seconds). For more information about this variable, see the configuration variable descriptions in Chapter 2.

Interactive Level Computation Configuration Variable

Interactive level computations for timeshare processes are controlled by the kernel configuration variable NOILEVEL.

NOILEVEL

Enables and disables automatic adjustment of interactive level timeshare processes. The default value, 1 (one), enables interactive level computations, causing the system to adjust priority based on process CPU utilization. The value 0 (zero) disables these computations and causes the system to schedule processes in a round-robin fashion at the same priority level.

Activating interactive level computations (i.e., NOILEVEL=1) can have a positive performance impact in computing environments with limited numbers of interactive users and mostly batch or background jobs that run in a set of scripts or routines. Automatic adjustment of interactive level timeshare processes works well in large database environments with a mixture of interactive users and batch jobs.

Deactivating interactive level computations (i.e., NOILEVEL=0) can improve performance when the majority of activity on a system is database access by background CPU-intensive jobs. Some performance studies also suggest disabling interactive level computations in large database environments when the database applications do their own locking, as the locking mechanisms of the application may conflict with the NOILEVEL adjustments.

For more information about the NOILEVEL variable, see Chapter 2.

Defining Application Start-Up Characteristics with the Class Scheduling Facility

In the DG/UX system, you can define the startup characteristics (such as affinity, priority, and so on) of each individual application using the Class Scheduling Facility (CSF). The CSF provides a flexible mechanism to establish a load balancing policy and allows you to change the start-up characteristics of an application without code modification or recompilation.

This section discusses the basic CSF and its ccNUMA-specific enhancements. For more information about CSF, see $Managing\ the\ DG/UX\ System$.

Class Scheduling Facility Overview

You can use the CSF to define scheduling classes that specify scheduling characteristics such as initial priority, allowable execution times, directed execution locales, and processor affinities. Membership in a scheduling class is specified by **euid**, **egid**, full program pathname, or any combination of these.

At execution time, when the DG/UX kernel determines that a program is a member of a scheduling class, the program is executed following the rules of its scheduling class. In addition, if a child process is forked, then it inherits the scheduling class of its parent.

You define scheduling classes with the admcsfclass(1M) command and class instances with the admcsfmember(1M) command. After you define a scheduling class and instances, you activate the class with the admcsf(1M) command.

Class Scheduling Facility for ccNUMA

To effectively support a ccNUMA system, the CSF includes the start-up ccNUMA locale affinity attribute. This locale attribute allows an application to affine itself to a ccNUMA locale and limit its execution to CPUs close to the memory in that locale.

When ccNUMA systems are balanced in this fashion, there is less interconnect traffic and better overall system performance. Therefore existing applications can take advantage of ccNUMA with no code changes or recompilations.

Defining a CSF class and adding members

Class scheduling involves these steps:

- 1. Create the class name.
- 2. Define the class.
- 3. Add members to the class.

For example, to create a class name LOC0 for locale 0 on a 32-CPU 8-block system containing the CPUs 0, 1, 2, and 3 on that locale, you enter the following command:

```
admcsfclass -o add -r "select { . }" -a "cpu=0,1,2,3" LOC0
```

The following example script defines a class for each of the locales on a 32-CPU 8-block system:

```
#!/usr/bin/ksh
let x1=0
let x2=1
let x3=2
let x4=3
for i in 0 1 2 3 4 5 6 7
do
 let x1=x1+$i
 let x2=x2+$i
 let x3=x3+$i
 let x4=x4+$i
 admcsfclass -o delete LOC$i
 admcsfclass -o add -r "select { . }" -a "cpu=$x1,$x2,$x3,$x4"
LOCSi
done
admcsfclass -o list
```

The following example adds a member (in this case, a program) to class LOC5 or the class which forces everything to run on locale 5:

```
admcsfmember -o add -u LOC5 -p /home/progname -c LOC5 admcsfmember -o list
```

Memory Management on ccNUMA Systems

The memory management features of the operating system are responsible for mapping physical memory to processes' logical address spaces. On a ccNUMA system, memory management determines the locale for allocating the physical memory. You can manage memory to enhance ccNUMA system performance.

Page Placement Policy Configuration Variables

When a process wires or faults in a page of memory, the page placement policy determines the locale from which the physical frame is acquired. Placement decisions are based on the type of page and the policy configured for the page type.

The page placement policy enforced by the DG/UX system for private and shared pages is determined by these two kernel configuration variables:

PRIVATE POLICY

Determines where a process's private data is located in physical memory. If the memory locale selected by the specific policy is oversubscribed (for example, if the percentage of memory available is less than the value of the variable PRIVATE_PERCENT_LIMIT), the DG/UX system overrides the private placement policy and chooses memory based on an alternate policy selection algorithm. The default policy for PRIVATE_POLICY is **HOME_PLACEMENT**, which produces the best performance in most benchmark environments. However, TPC-D benchmark performance improves after setting PRIVATE_POLICY to **CURRENT_PLACEMENT**.

SHARED POLICY

Determines where data shared among processes is located in physical memory (code file, data file, shared memory). If the resident set size of the object attempting to allocate additional physical memory exceeds a memory locale threshold (for example, the value of CODE_FILE_LIMIT, DATA_FILE_LIMIT, or SHARED_MEM_LIMIT), the DG/UX system overrides the placement policy and chooses memory based on an alternate policy selection algorithm. The default policy for SHARED_POLICY is **HOME_PLACEMENT**, which produces the best performance in most benchmark environments.

Variable Settings for Page Placement Policies

You can set PRIVATE_POLICY and SHARED_POLICY to one of these four page placement policies:

- **HOME_PLACEMENT** Favors placing the memory requirements for a process in its home scheduling locale.
- **CURRENT_PLACEMENT** Directs the DG/UX system to acquire memory for a process from the current locale where the process is executing.
- DISTRIBUTE_PLACEMENT Determines page placement by circulating through all of the locales on the system in round-robin fashion.
- RUNNABLE_PLACEMENT Similar to the policy
 DISTRIBUTE_PLACEMENT, but searches only those locales
 where the process is allowed to execute.

Frame Hog Prevention Configuration Variables

Three configuration variables prevent a hog or runaway process from using all of the frames in a particular locale for shared file use, private use, or shared memory use:

FILE_PERCENT_LIMIT

Denotes the minimum percentage of free memory for the DG/UX system, using the shared placement policy, to acquire a page for shared file use in the selected locale. If the value of FILE_PERCENT_LIMIT is greater than the percentage of memory available, the shared placement policy is overridden. The default value of FILE_PERCENT_LIMIT is 15. TPC-C benchmarks suggest setting FILE_PERCENT_LIMIT to 1 (one). Valid values are 0 (zero) to 100.

PRIVATE PERCENT LIMIT

Denotes the minimum percentage of free memory for the DG/UX system, using the shared placement policy, to acquire a page for private data in the selected locale. If the value of PRIVATE_PERCENT_LIMIT is greater than the percentage of memory available, the private placement policy is overridden. The default value of PRIVATE_PERCENT_LIMIT is 10. TPC-C benchmarks suggest setting PRIVATE_PERCENT_LIMIT to 1 (one). Valid values are 0 (zero) to 100.

SHARED_PERCENT_LIMIT

Denotes the minimum percentage of free memory for the DG/UX system, using the shared placement policy, to acquire a page for shared memory in the selected locale. If the value of SHARED_PERCENT_LIMIT is greater than the percentage of memory available, the shared placement policy is overridden. The default value of SHARED_PERCENT_LIMIT is **20**. TPC-C benchmarks suggest setting SHARED_PERCENT_LIMIT to **1** (one). Valid values are **0** (zero) to **100**.

Page Monopoly Prevention Configuration Variables

Five configuration variables prevent a large shared object from monopolizing the pages of the target locale as determined by the shared page placement policy. These variables define maximum thresholds that limit the number of pages an individual shared memory object, text file, or code file can occupy in a given locale. The variables are:

HOGFILESIZE

The maximum number of bytes of physical memory used by a given data file before that file is treated unfavorably for physical memory resource allocation. The default is **262144**. When the system is forced to page data out to meet requests for memory, it aggressively restrains data files having more than HOGFILESIZE bytes in buffer from consuming free memory.

If you have a popular file that exceeds HOGFILESIZE, the system may not keep it resident. Access to the file then requires more disk accesses, which can cause greater latency. If you have files larger than HOGFILESIZE that are accessed a lot, you should increase the value of the variable.

If HOGFILESIZE is exceeded, the shared placement policy is overridden by an alternate placement policy. The memory management information in this chapter explains placement policies and policy overrides.

MAXFILEUNITSIZE

The number of bytes of physical memory used to hold the corresponding data element size of a file on disk. If the data element size of the file is larger than MAXFILEUNITSIZE, the data is paged in and out in MAXFILEUNITSIZE increments, resulting in more disk accesses and greater latency. You should

increase the value of the variable if the data element size is larger than MAXFILEUNITSIZE and the file is accessed a lot. The default value for MAXFILEUNITSIZE is **65536**. Any other value you assign to MAXFILEUNITSIZE should be a power of two.

CODE FILE LIMIT

The maximum allowed number of resident kilobytes, per shared code or text file object, before the shared placement policy is overridden. The default for CODE_FILE_LIMIT is **512**. In certain environments, a larger limit is better.

DATA FILE LIMIT

The maximum allowed number of resident kilobytes per shared data file object before the shared placement policy is overridden. The default for DATA_FILE_LIMIT is **512**. In certain environments, a larger limit is better. DATA_FILE_LIMIT comes into play if HOGFILESIZE is exceeded, and causes an override of the shared placement policy by an alternate placement policy.

SHARED MEM LIMIT

The maximum allowed number of resident kilobytes per shared memory object before the shared placement policy is overridden. The default for SHARED_MEM_LIMIT is **512**. In database environments, a larger limit may improve performance by preventing memory distribution and keeping the database manager process on the same locale as its memory.

Alternate System Placement Policies

For each policy placement, the ccNUMA DG/UX system defines an alternate least-load policy based on selecting the locale with the most available frames. It uses the alternate policy only when the currently configured policy exceeds a pre-configured limit.

Table 7–1 lists the alternate policy selection algorithms.

Table 7–1 ccNUMA Alternate Policy Selection Algorithms

Policy	Frame Usage	Alternate Policy
HOME_PLACEMENT	private	System least load.
HOME_PLACEMENT	shared	DISTRIBUTE_PLACEMENT
CURRENT_PLACEMENT	private	System least load
CURRENT_PLACEMENT	shared	DISTRIBUTE_PLACEMENT
RUNNABLE_PLACEMENT	private	System least load
RUNNABLE_PLACEMENT	shared	DISTRIBUTE_PLACEMENT
DISTRIBUTE_PLACEMENT	private	System least load
DISTRIBUTE_PLACEMENT	shared	System least load

The primary policy should be the best performing policy for the situation in which it is defined. While the alternate policy is not the most optimal, it can reduce the amount of time that system resources are idle and decrease latency for low-priority processes.

Virtual Disk Management on ccNUMA Systems

The Virtual Disk Manager (VDM) provides high level disk services. It controls partitions, aggregations, software mirrors, software caches, multi-path I/O, and software bad-block remapping. All disk I/O on the system goes through VDM, which maps the I/O through the various virtual disks and issues I/O operations to the appropriate physical disks.

VDM routes disk I/O over *disk paths*, each of which is the combination of a disk controller, I/O bus, and a disk. Each disk path represents the hardware path an I/O operation takes to access the disk.

VDM on ccNUMA implements a fully connected multi-path I/O model: Each ccNUMA locale has at least one disk controller that can directly access each disk connected to the system. Because each disk is fully connected in a ccNUMA system, there are many paths to each disk.

The VDM automatically performs most of the disk management required to control the hundreds of possible disk paths on ccNUMA systems. Most of the setup and configuration of disk paths is done automatically when the system boots and when you perform administrative operations on a disk. For example, when you soft-format a disk on a ccNUMA system, VDM automatically configures all paths to the disk, activates multi-path mode, and enables load balancing.

Tuning Disk I/O

You tune disk I/O on ccNUMA systems just as you tune disk I/O on the non-ccNUMA DG/UX systems. You first examine disks as they perform to note which physical disks have high response times. Then you adjust configuration variables to better manage or distribute the I/O load among disks.

For how to examine disks for high response times and for information about the configuration variables you adjust to manage and distribute I/O load, see Chapter 4. For detailed information about disk management on DG/UX systems, see *Managing Mass Storage Devices and DG/UX* $^{\circ}$ *File Systems*.

Disk I/O Performance

System interconnects among locales and disks can be I/O bottlenecks. The fully connected multi-path I/O on ccNUMA systems avoids locale interconnect traffic by allowing direct access from any locale to any disk. This avoidance of locale interconnect traffic keeps I/O latency to a minimum.

If a locale has more than one disk controller, VDM balances the I/O request load among the disk controllers in the locale on a round-robin basis. This reduces the I/O load on any given disk controller in a locale and helps to improve overall system throughput and performance.

Disk I/O operations for a process in a fully connected configuration are performed in one of two locales: where the process is running or where the memory allocated to the process resides. When a process is poached out of its home locale, it retains use of the memory allocated to it in the original home locale. Splitting a process and its memory over two locales will increase interconnect traffic and may decrease performance.

Disk High Availability

If there are no disk paths available in a given locale, the VDM automatically starts choosing active and inactive paths in other locales to process the I/O requests. By accessing disk paths in other locales, the VDM continues to execute I/O requests from any locale in the system using remaining working disk controllers. While this situation causes performance degradation on the system because the I/O requests cause far memory references, the I/O requests succeed and applications are unaffected.

The VDM compensates for hardware failures of controllers and busses in the disk path by noticing a failure when a user attempts to access the failed device. It then tries alternate paths to the desired disk and retries the I/O until it finds a path that works. The caller never sees attempted retries of the I/O; messages are sent only to the operator's console that corresponds to the failed hardware component. If all paths to a disk fail, the VDM automatically attempts to reset all of them. The reset often clears the problem.

Disk Path Repair

If you experience a hardware failure in a disk path, we recommend first repairing the hardware and then repairing all disk paths to restore maximum I/O performance. Hardware failures in disk paths can involve physical disks, storage processors, SCSI hubs, and I/O controllers.

You repair disk paths with the **sysadm** operation Disk -> Physical -> Multi-Path Disks -> Device -> Repair Path(s). This operation resets all active paths to their originally configured paths and deactivates backup paths. For more details, see *Managing Mass Storage Devices and DG/UX*® *File Systems*.

File System Management on ccNUMA Systems

On ccNUMA systems, file system buffer caches are distributed across locales. As your system loads change, we recommend that you review the file system buffer distribution for ways to improve performance.

File System Buffer Configuration Variables

Balancing the distribution of file system buffer caches between blocks can improve ccNUMA system performance. You balance these buffers by adjusting the parameters MAX_PERCENT_BUF_RELEASE_TRIGGER and MAX_BUF_BLOCKS_TO_FREE_PER_LOCALE, which are dynamically configurable. We recommend adjusting these parameters after the completion of a long job or application affined to only one locale so that the system rebalances the buffers, and then resetting them to be less aggressive after the balancing is done. Balancing buffers this way ensures that a NUMA locale never suffers from buffer starvation.

MAX_BUF_BLOCKS_TO_FREE_PER_LOCALE

The maximum number of blocks released every time the **bm** daemon runs, if the number of buffers released on a given ccNUMA locale exceeds the amount determined by MAX_PERCENT_BUF_RELEASE_TRIGGER. The default value is **16**.

MAX PERCENT BUF RELEASE TRIGGER

The maximum percentage of released buffers (clean and unreferenced but still allocated) allowed before the **bm** daemon deallocates MAX_BUF_BLOCKS_TO_FREE_PER_LOCALE blocks per iteration.

A value of 100 means that the number of released buffers can be as large as the total number of buffers allocated to bm. On a ccNUMA system, a value of 0 directs the kernel to determine a reasonable setting automatically to load-balance the system. The default value is 0 (zero).

Communications Management on ccNUMA Systems

The DG/UX communications software that handles system I/O traffic includes STREAMS, TCP/IP, NFS, and various networking device drivers.

ccNUMA enhancements for DG/UX communications software allow parallelism and user-directed load balancing of communications services. These enhancements include modifying global data structures (such as locks and queues) and duplicating communication service daemons on a per-locale basis. These enhancements help the system avoid costly cross-locale direct memory access (DMA).

STREAMS Configuration Variable

STREAMS is a general facility and set of tools for the development of DG/UX communications services. It supports the implementation of services ranging from complete networking protocol suites to individual device drivers and defines the infrastructure for the majority of DG/UX communications, including TCP/IP and asynchronous terminals.

The ccNUMA modifications listed below for STREAMS affect all STREAMS-based applications, protocols, and devices including DG/UX layered applications such as X.25, NetBIOS, and third-party applications.

The DG/UX system allows users to control the number and affinity of the STREAMS daemons using the NSTRDEMONS configuration variable. While the variable is functionally unchanged, ccNUMA systems require that at least one STREAMS daemon be started on every active locale.

For some workloads, you can achieve better performance by limiting the number of STREAMS daemons to one (1) per locale. The default setting of NSTRDEMONS is two (2) per locale.

For more information about the NSTRDEMONS variable, see Chapter 6.

TCP/IP

The DG/UX implementation of TCP/IP is based on STREAMS. Therefore the STREAMS enhancements for ccNUMA also apply to TCP/IP. In addition, the DG/UX TCP/IP implementation itself was changed to improve ccNUMA system performance.

Endpoint Locales for TCP/IP

On non-ccNUMA systems, network services run on any available processor. In a ccNUMA environment, applications ideally run on the same locale as the network device. This avoids cross-locale I/O and DMA, which can increase latency. To facilitate application affinity, TCP/IP stores the locale of the network interface in the endpoint for each new connection and the **inetd** daemon uses the information to affine services.

Affining inetd Applications

The **inetd** daemon listens to the network for connection requests and launches applications. On ccNUMA systems, **inetd** gets locale information from the endpoint and the server process according to the appropriate placement or scheduling policy. This means that the majority of the network services, such as telnet, rlogin, and FTP, are properly affined to the locale of the network device.

Applications started by **inetd** are also affined. Applications not started by **inetd** do not require changes but may benefit from the affinity placement policy. You can use the Class Scheduling Facility or the **dg_affine** command to control application affinity. For information about the Class Scheduling Facility, see *Managing the DG/UX System*. For details about the **dg_affine** command, see the **dg_affine** man page.

Controlling Affinity of TCP/IP Services

The majority of TCP/IP servers are started by **inetd**. The DG/UX kernel includes enhancements allowing **inetd** to determine the locale on which a request for TCP service arrived. By default, **inetd** starts server processes using *soft affinity*, which sets the home locale for the process to run. FTP is started with *hard affinity*, which causes it to run only on the node where the network request arrives.

Networking performance tests indicate that processes running on the same node as the network adapter may improve by 30% to 50%. High-bandwidth services such as FTP benefit from a hard affinity.

To give you control of the affinity that **inetd** uses, a configuration file, **/etc/inetd.numa.conf**, allows you to specify hard, soft, or no affinity for any TCP-based service.

The **/etc/inetd.numa.conf** file contains the affinity for services that **inetd**(1M) starts. Each entry is a single line of the form:

```
service-name protocol attribute-type
```

Where the variables are:

service-name

The name of a service. Valid service names are in the **/etc/inetd.conf** file.

protocol

The name of the protocol. Only TCP/IP is supported.

attribute-type

The affinity attribute for this service. Valid values for *attribute-type* are **none**, **soft**, or **hard**.

Multi-Connected LAN

The LAN connects non-ccNUMA systems that are in close physical proximity to one another. In a ccNUMA LAN, the DG/UX system allows users to build interfaces on multiple physical devices to minimize cross-locale DMA and to balance the load across ccNUMA locales.

The pseudo-device called Multi-Connected LAN (MCL) provides a limited form of fully connected LAN I/O. MCL allows several physical devices (one in each ccNUMA locale) to join into a single TCP/IP interface. MCL directs outbound TCP/IP traffic to the device in the same locale as the memory buffer. This avoids cross-locale DMA, reducing the traffic on the SCI interconnect and thereby reducing the I/O latency.

MCL responds to Address Resolution Protocol (ARP) requests in a round-robin fashion to balance the incoming access across the ccNUMA system. This form of load balancing works well in an environment where there is a large server with many clients. In other environments, such as a server behind a router or a LAN with a few large clients, it is more effective if each interface has its own IP address.

In some On-line Transaction Processing (OLTP) environments, it is beneficial to limit network I/O to a single locale in the system, reserving other locales for disk I/O and data processing. Unlike disk I/O, there is not a single LAN solution for every networking application or topology.

Multi-Path LAN

Multi-Path LAN (MPL) lets you configure a primary and secondary LAN device for high availability. If the primary LAN interface fails, the DG/UX system automatically switches from the primary LAN interface to a backup LAN interface. The process is transparent to applications and protects systems from both LAN interface failures and I/O channel failures. MPL works on both Ethernet and FDDI LAN devices.

Telnet

The DG/UX system provides a host-based telnet server capable of handling hundreds of telnet sessions. If you need thousands of telnet connections, we recommend using Pseudo-Terminal Controller (PTC) cards in your system, such as the PTC/1024. PTCs implement the telnet protocol on the board and appear to the operating system as regular terminal controllers. PTCs take over telnet processing from a ccNUMA system, making more resources available for other work. For related information, see the **spac**(7) man page.

In configurations with one PTC per block, you may improve performance if you affine users to the locale where their PTC resides. You do this by affining one or more ttymons associated with the physical PTC.

For example, this script can identify the ttymons that belong to specific PTCs:

```
for i in 'find /etc/saf -depth -name _pid -print'
do
   echo $i is 'cat $i'
done
```

The script lists the ttymon name and its PID number. Once you have this information, you can use the **dg_affine** command to affine each ttymon with the locale to which its physical PTC is affined. For how to use the command, see the **dg_affine** man page.

Monitoring ccNUMA Performance with nsar_numa

The DG/UX system produces activity data containing a number of counters that increment as various system actions occur. These counters monitor CPU utilization, paging activity, buffer usage, disk and tape I/O activity, switching and system calls, file access, queue activity, and interprocess communications. DG/UX mechanisms like **nsar** routinely access this activity data.

A ccNUMA-specific version, **nsar_numa**, accesses system activity data that reflects both the system as a whole and each locale in a ccNUMA machine. In addition, an **nsar_numa** option reports NUMA-related activity of the DG/UX scheduler.

You can use **nsar_numa** to compare the overall system statistics with those of individual locales or blocks. The **-u** option shows the idle time for each locale. Ideally, these should be balanced.

The following example shows the output on a two-block system running the **nsar_numa -S** command. In this case, the system shows a high but reasonably balanced load.

```
dgux aldrin R4.20MU04 generic AViiON
                                          01/03/99
18:19:22 cpu ld mem ld pri ld mig fork, away exec: home, away send stay
       cpu ld mem ld pri ld mig fork load exec load bal load forks execs
18:19:22
           0
                0
                       0
                             0
                                    171
                                            0
                                                   167
                                                            0
                                                               2
Loc. 0
          75
              100
                      81
                            88
                                    84
                                           88
                                                    85
                                                           88
                                                              84
Loc. 1
         100
                     100
                            97
                                    98
                                           97
                                                    98
                                                           83
                                                               83
```

For more detailed information about **nsar_numa**, see the **nsar numa**(1) manual page.

ccNUMA Performance Adjustment Tools

After you determine the location of performance bottlenecks in a ccNUMA system, you can adjust the DG/UX system to alleviate the problems and improve performance. The **dg_affine** command and the Class Scheduling Facility (CSF) allow you to affine processes to specific locales. The **adminterrupt** command lets you affine interrupts to various CPUs. The **admkernelparam** command enables modification of some configuration variables without rebooting the system.

Controlling Process Locale Affinity with dg_affine and CSF

In environments where data is modified frequently, you can enhance system performance by grouping those processes that share data on the same locale. You can control where processes execute and thus balance the system load with the **dg_affine** command or the Class Scheduling Facility.

The **dg_affine** command lets you affine a process to a specific CPU or locale. It can also report how processes are currently affined. For detailed information about the **dg_affine** command, see the **dg_affine**(1M) man page.

The Class Scheduling Facility also allows you to affine processes to specific locales. For how to use CSF, see the Class Scheduling Facility section in this chapter and the CSF information in *Managing the DG/UX System*.

IMPORTANT Database benchmarks indicate that you may improve performance in a heavy-traffic OLTP environment by affining the database and its memory to one or a set of locales, then affining users to the locale of their PTC.

Controlling Interrupt-to-CPU Targeting with adminterrupt

The CPU distribution of interrupt handling in a ccNUMA system can affect its performance. Some performance degradation may result when systems have many devices attached to the same physical interrupt line. In these situations, simply assigning the interrupt to a different CPU through software affects all of the devices attached to that interrupt line, which may not be desirable.

The problem is better addressed by rearranging the peripheral adapter cards according to the hardware specifications to avoid sharing interrupt lines. In a ccNUMA system, the physical slot where a controller is placed is a factor in determining which devices share interrupt lines. Therefore, changing the slot location of the adapter card may improve system performance.

While in most cases it is better for software to handle the CPU distribution of interrupt handling, you can list and change interrupt-to-CPU targeting manually with the **adminterrupt** command. The command allows you to spread interrupt handling over all of the system CPUs, so you can apply various strategies to improve performance. Note that interrupts always occur on the same locale as the I/O device; this means that the **adminterrupt** command can change only the routing to a CPU in that locale.

The **adminterrupt** command lists how the system is currently handling interrupts when entered with the option **–o list**:

adminterrupt -o list

For detailed information about the **adminterrupt** command, see the **adminterrupt** (1M) man page.

Activating Configuration Variable Changes with admkernelparam

In a large ccNUMA system, it is not practical to constantly rebuild kernels and reboot when a ccNUMA-specific kernel decision strategy changes. The **admkernelparam** command allows you to change a number of configuration variables that control various ccNUMA kernel policies, such as load balancing and fork policy, while the system is running and without rebooting.

For a list of the tunable configuration variables that you can modify and activate with the **admkernelparam** command, enter:

admkernelparam -o list

For detailed information about the **admkernelparam** command, see the **admkernelparam** (1M) man page.

Performance Benchmark Tests and Characteristics

This chapter refers to several performance benchmark tests and how the results of these tests on ccNUMA systems suggest configuration variable settings for optimal performance. If your computing environment has characteristics similar to one of the benchmark tests, you may want to use the recommended settings.

AIM-7 Benchmark

AIM-7 refers to the AIM $^{\text{\tiny M}}$ Multiuser Benchmark–Suite VII tests designed by AIM Technology to measure performance of multi-user computing environments.

Multi-user computing environments have the following characteristics:

- A large number of tasks run concurrently.
- Much system time is spent in common runtime libraries, and sorting and searching through large amounts of data.
- Data is used and then written back to disk for use as a shared resource.
- Numerically intense applications are rarely performed.
- As the number of users increases, disk storage needs increase dramatically.

The computing environment modeled by the AIM-7 benchmark has characteristics found in the following system setups:

- A multi-user or shared system running office automation applications that support word and data processing, spreadsheets, email, databases, and payroll.
- A server environment that uses large amounts of data, performs large numbers of floating point calculations, or processes large amounts of interprocess communications (IPC) for graphics.
- A large database environment with a lot of disk I/O, data in memory, and IPC via shared memory.
- A file server environment with a heavy concentration of integer compute file system operations.

Results of AIM-7 benchmark tests suggest that you can improve performance on high-end systems with these configuration variable settings:

- EXEC_POLICY = LEAST_LOAD_PLACEMENT
- FORK_POLICY = INHERIT_PLACEMENT
- LOADBALAGGR = 50 or 70
- MIGRATIONAGGR = **50** or **70**
- NOILEVEL = $\mathbf{0}$
- NOLANGUISHING = 0

TPC-C Benchmark

TPC Benchmark $^{\text{\tiny M}}$ C (TPC–C) tests computing environments set up to support complex On-Line Transaction Processing (OLTP) applications. It simulates the business activity of processing an order with its many internal transactions.

The computing environment modeled by the TPC–C benchmark is characterized by:

- Simultaneous execution of many transaction types of varied complexity, on-line and deferred transaction execution modes, and transaction integrity requirements.
- Databases consisting of many tables with a wide variety of sizes, attributes, and relationships; non-uniform distribution of data access through primary and secondary keys.
- Significant disk I/O in terms of high random read and write I/Os per second, and contention on data access and update.
- Many on-line terminal sessions.
- Moderate system and application execution time per transaction.
- Significant shared memory access by database processes to shared database buffers, locks, and latches.

Results of TPC–C benchmark tests suggest that you can improve performance with these configuration variable settings:

- EXEC POLICY = LEAST LOAD PLACEMENT
- FORK_POLICY = INHERIT_PLACEMENT
- FILE_PERCENT_LIMIT = 1
- PRIVATE_PERCENT_LIMIT = 1
- SHARED PERCENT LIMIT = 1

TPC-D Benchmark

TPC Benchmark $^{\text{\tiny M}}$ D (TPC–D) tests computing environments set up to act primarily as decision support systems. Decision support systems typically execute highly-complex queries on large databases to yield answers to critical business questions. The queries generate intense activity on the part of the database server component of the system.

The computing environment modeled by the TPC–D benchmark has the following characteristics:

- The decision support database is continuously available 24 hours a day, 7 days a week for ad-hoc queries from many end-users and updates against all tables, except possibly during infrequent (e.g., once a month) maintenance sessions.
- The decision support database is closely synchronized with a separate on-line production database undergoing continual updates.
- Due to the world-wide nature of the business data stored in the decision support database, queries and the updates may be executed against it at any time. Since queries and updates may execute concurrently, the mix of queries and updates is subject to specific transaction integrity requirements.
- To achieve the optimal compromise between performance and operational requirements, the database administrator can set the locking levels and the concurrent scheduling rules for queries and updates.
- Very high I/O rates in terms of parallel sequential reads (in megabytes per second), and low I/O write rates.
- Significant interprocess communication among cooperating database query processes taking the form of data streaming through shared memory.

Results of TPC–D benchmark tests suggest that you can improve performance with these configuration variable settings:

- EXEC_POLICY = INHERIT_PLACEMENT
- FORK_POLICY = INHERIT_PLACEMENT
- PRIVATE_POLICY = CURRENT_PLACEMENT

End of Chapter

Glossary

The terms defined in this section are important to performance measurement and tuning.

Address space A contiguous block of logical pages, all of which are accessible by a process, but not all of which are necessarily valid. The address space associated with a process defines the address mappings for that process.

Affine To link or bind in a very close relationship; to assign processes to specific processors or locales.

ccnuma Cache-Coherent Non-Uniform Memory Access: A type of NUMA architecture in which all distributed pieces of memory are linked together to act as a single, very large memory map. There are no redundant copies of data on different memory locations. To users, this architecture mimics an SMP environment.

Channel In the context of the DG/UX operating system's kernel, channels provide I/O access paths between application programs and files. Channels are managed by the Channel Manager.

Chatter Random data generated by electrical noise or some other malfunction. Chatter usually appears on unused terminal lines that aren't terminated properly. Chatter can easily swamp a system because each meaningless character has to be processed.

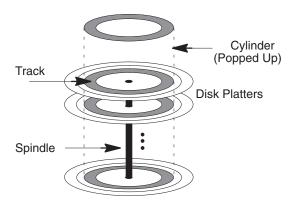
CLARiiON A disk-array storage system that provides a compact, high capacity, high availability source of disk storage. It offers high availability disk storage, in up to 20 disk modules that you can replace under power.

CPU (Central Processing Unit) The hardware that fetches, decodes, and executes instructions, and performs arithmetic and logical operations.

Current locale The scheduling locale where a process is running. Generally the current locale is equal to the home locale of a process unless the system detects a need, due to locale CPU load or memory load imbalance, to run a process in a different locale. See also *Home locale* and *Poaching*.

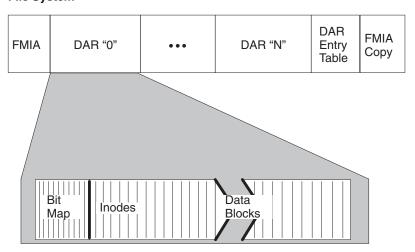
Cylinder

In the context of disk hardware, a cylinder contains all of the sectors in like-numbered tracks on all of the disk's platter surfaces (data is typically stored on both surfaces of a platter). Some people use the terms *cylinder* and *track* interchangeably, but they're really not the same—a track is one concentric circle of data on a single platter's surface.



DAR (Disk Allocation Region) To increase DG/UX file system performance, the disk storage of a file system is divided into Disk Allocation Regions (DARs). To access a file, the file system alternately reads a file's inode (to find where the file's blocks are stored) and the blocks themselves. By using DARs, a file system can keep a file's data blocks and inodes physically close together, which minimizes physical disk mechanical latency (seek time).

File System

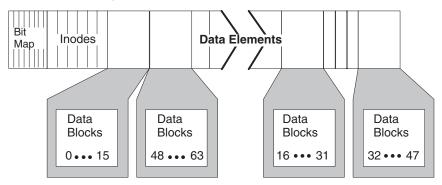


The File Management Information Area (FMIA) contains information about the file system, including the DAR size, the number of inodes per DAR, and the default element size for files and directories. A backup copy of the FMIA is stored at the end of a file system.

Data block In the DG/UX system, a term used to describe a block of data that is stored in a virtual disk. Data blocks are 512 bytes, and are typically equal to the underlying physical disk's sector size.

Data element The logical granularity at which the DG/UX file system transfers a file's data. You can set the size of a file's data elements from 512 bytes to several megabytes. The default data element size on DG/UX systems is 8 KB, which is sixteen 512 byte disk sectors. As the figure shows, data blocks are stored as data elements in a Disk Allocation Region (DAR).

Disk Allocation Region (DAR)



Daemon

A program that is invisible to users but provides important system services. Daemons manage everything from paging to networking to notification of incoming mail. Daemons normally spend most of their time sleeping or waiting for something to do, so that they don't account for a lot of CPU load. However, some daemons can be a substantial load, such as *routed* and *rwhod*.

Disk array In the context of disk subsystems, a collection of one or more groups of disk modules and one or more SCSI or fibre channel busses that participate in a RAID (Redundant Array of Independent Disks) redundancy scheme. Each group in an array appears to the operating system as a single physical disk.

Disk module (or spindle) A self-contained disk-drive unit. As opposed to the generic term *disk*, which could refer to a virtual disk or a physical disk. Disk drive controllers can support different numbers of disk modules.

Disk sectors The granularity at which disk drives and controllers work. Disk sectors are a fixed size; in AViiON systems, a disk sector is 512 bytes.

(Sectors for other random access devices, such as optical disks, can have different sector sizes.) Not to be confused with *data elements*.

Disk transfer rate The time that it takes a disk to read or write data once the heads are over the proper track and sector. Typical disk transfer rates are in

the range of 1MB/second to 2.5MB/second. A disk's transfer rate is a function of the number of sectors per track, interleaving, bit density, and rotational speed. At the same rotational speed and interleaving, a disk with more sectors per track has a higher transfer rate than a disk with fewer sectors per track. The transfer rate of an entire disk subsystem must include the transfer rate (bandwidth) of the disk

controller's data channel.

DMA Direct Memory Access. A technique for transferring data from main memory to a device without passing it through the CPU.

ELF (Executable and Linking Format) The successor to the System V COFF

(Common Object File Format), which are a set of System V de facto rules for portable object files (files produced by compilers and linkers). ELF extensions support dynamic linking and shared library capabilities. The DG/UX system supports both the older COFF format and the newer ELF format.

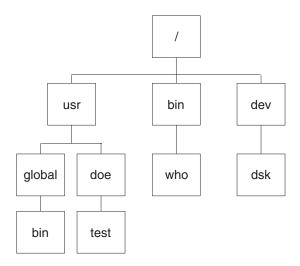
Ethernet

A Local Area Network (LAN) standard, based on original work by Xerox, DEC, and Intel. Ethernet's commercial success resulted in the development of the IEEE 802.3 standard, which is based on Ethernet. Physically, Ethernet supports "thick" cabling, "thin" cabling, and twisted-pair cabling.

Far memory Memory accessed by a CPU or set of CPUs over a system bus or other coherent memory interconnect mechanism. This type of memory is accessed with a longer latency and a potentially lower bandwidth. See also *Near memory*.

Fibre channel A high-speed, full-duplex data communication protocol that offers an alternative to the SCSI parallel bus standard. Fibre channel technology offers a practical means of data transfer in a high-speed serial link. Fibre channel runs on both copper and optical fiber cables and is media-independent.

File system Within a file system, files are organized in a hierarchical, inverted tree structure, as shown in the following figure. The top of the inverted tree is the root, which is represented by the slash character (/).



Frame

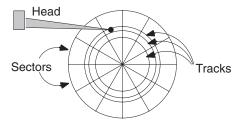
In the context of main memory, a container that holds a page or part of a page. In current AViiON computers, the frame size is 4KB. Distinct from a *page*, which is a virtual memory construct.

- **FFM (Flat File Manager)** The component of the DG/UX file system that stores all of a file system's files in one directory, where each file is identified by an inode. The FFM is not user visible.
- **ftp (File Transfer Protocol)** A TCP/IP-based application program used to transfer files among computer systems. **ftp** provides features for format translation and transfer control.
- **Fully-connected I/O** A multi-path I/O implementation in which each system or system locale has at least one disk controller that can directly access each disk connected to the system, providing many paths to each disk.

Group

In the context of disk subsystems, three or more disk modules that work together in a RAID (Redundant Array of Independent Disks) configuration. A group represents one column in a disk array. The operating system sees each group in an array as a physical disk.

Heads, sectors, and tracks Disk systems read and write data with movable heads, which "fly" just off the surface of a disk's platters. Data is stored on cylindrical tracks, which are further broken up into sectors.

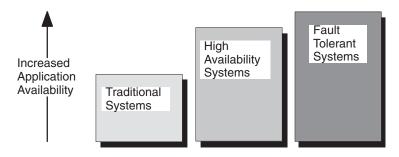


High-availability disk system A disk system that *minimizes* application downtime if a single component in the disk system fails.

Application downtime can occur when an application cannot access its program or data files. For example, when:

- □ An application cannot access its files, even if the computer system is still running. Examples are when an application's file system is mounted on a disk system that failed or when a disk system bus fails.
- A computer system has to be shut down so that a component, such as a failed disk module, can be replaced.
- An application's data is being restored from a backup after a disk module is replaced.

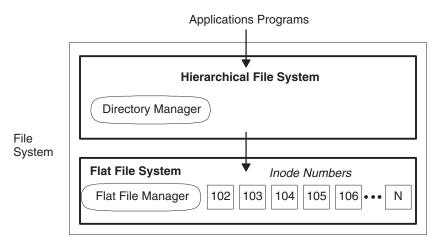
Although high availability disk systems don't guarantee that they'll eliminate all downtime, they still provide much of the functionality of fault tolerant systems. High availability configurations provide a very cost-effective way of filling the gap between unprotected systems and fault tolerant systems.



Home locale The scheduling locale where a process prefers to run. Generally the home locale is equal to the current locale of a process unless the system detects a need, due to locale CPU load or memory load imbalance, to run a process in a different locale. See also *Current locale* and *Poaching*.

inode

In a UNIX system's flat file structure, each file is identified by a unique index node (inode) number. Pointers in an inode tell the file system's Flat File Manager (FFM) where a file's data elements are stored.



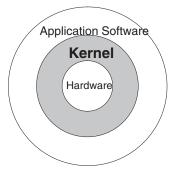
Internet

The global collection of publicly addressable data communication networks that are able to exchange data through a common set of protocols (such as TCP/IP) and gateways. The Internet consists of thousands of networks worldwide, containing millions of computers.

IPC (Interprocess Communication) Any of a variety of mechanisms that enable processes to pass or share information or data. Examples in UNIX are message queues, sockets, pipes, and shared memory.

Kernel

The core, hardware dependent, operating system in UNIX. Usually refers to the operating system code below the system call boundary.



Kernel Data Cache A small part of an AViiON computer's main memory that the DG/UX operating system uses to cache file system metadata. File system metadata is "data about data"—data that the file system uses to describe and locate files.

Latency

Any characteristic that increases real or perceived response time beyond the response time desired. Briefly, latency is delay or wait time. Specific contributors to latency include mismatches in data speed between the microprocessor and I/O devices, and inadequate data buffering. In networks and within a computer, each juncture in routing or temporary holding of data introduces a possibility for latency.

Locale

A set of CPUs, I/O controllers, and the common near memory that is accessible to them. A ccNUMA system has two or more locales.

Maximum resident memory size The sum of resident shared and resident unshared memory of all processes. Shared memory is counted only once, even if it is used by multiple processes.

Memory mapping A technique that enables you to eliminate the overhead of copying data from memory into a program's data space (which occurs when programs use the **read** system call). With memory mapping (using the DG/UX **mmap** system call), application programs can map the virtual addresses of a file into their address spaces, rather than making copies of the data.

The **mmap** system call enables an application program to treat a region (or all) of a file as an array. A call to **mmap** maps the part of the file that you specify into virtual memory. You can access that part of the file directly (without using traditional **read** and **write** system calls).

Memory object A contiguous set of logical pages optionally backed by permanent backing store. Two types of memory objects are defined: file objects and anonymous objects. File objects map permanent (file) data; anonymous objects map anonymous data. For example, anonymous data is any data that is part of a program's address space but not a copy of a file that appears in the file system (such as a static variable in the program, the program's stack and heap, and memory segments that are shared between processes). An anonymous object is either shared or private; all file objects are shared. Users can associate a shared object with many map entries, thereby allowing mapping in many address spaces. A private memory object is mapped in only one address space (at contiguous addresses within that address space).

Message queue A System V IPC (Interprocess Communication) mechanism, supported by the DG/UX system, that allows processes to exchange data by putting messages onto a message queue and getting messages from the queue. Message queues can be shared by more than two processes with the msgget, msgrcv, and msgsnd system calls.

Metadata "Data about data." In the context of the DG/UX demand-paged file I/O system, file system metadata is data, such as inodes, index elements, and directory information, that the file system uses to describe and locate files. File system metadata is cached in the kernel's data cache.

Mirroring The technique of writing the same data to separate virtual or physical disks at the same time. If one disk fails, the data is still available on the mirror-copy disk. Mirrored disks can also perform read operations faster than single disks because the system can simultaneously read from each of the mirrors. RAID-1 provides support for disk mirroring.

Near memory Memory accessed by a CPU or set of CPUs through some sort of local bus. This type of memory is accessed with low latency. See also *Far memory*.

NFS (Network File System) A distributed file system product developed and licensed by Sun Microsystems. NFS is the key component in the Sun ONC (Open Network Computing) product set. NFS implements a subset of UNIX file system semantics, and has been ported to a variety of UNIX and non-UNIX systems. For most UNIX file system operations, NFS gives users the ability to access files over a communications link as if they were resident on the user's local machine. NFS is typically found running over Ethernet LANs.

NFS client A computer that accesses file systems hosted by other machines on the network (server machines). A single machine can act as both an NFS server and an NFS client.

NFS server A computer that hosts file systems accessed by users on other machines on the network (client machines).

NIS (Network Information Services (formerly Yellow Pages)) One of the ONC (Open Network Computing) services that provides network-wide lookup services identifying computer hosts and their users.

NUMA

Non-Uniform Memory Access, a computer architecture, implemented on high-end Data General computers such as the AV 20000 and AV 25000, in which access times to a distributed memory system are not uniform based on physical locale.

Page A unit of virtual memory with which a virtual memory system allocates and transfers data. Page size must be a multiple of the frame size. In the DG/UX system, the page size is 4KB (1 x frame size).

Paging area See Swap area.

Physical disk What the DG/UX operating system recognizes as a single disk. A physical disk can be a single disk module, a mirrored pair of RAID disks (RAID 1), or a group of disk modules in a RAID 5 array.

Pipe A UNIX interprocess communication (IPC) mechanism that connects two processes. The output from one process is used as input to the other, without the user having to manage temporary files. A pipeline can include two or more processes connected by pipes. The pipe mechanism can be used at the shell command level to string commands together on a command line. A procedural interface is also available for use within programs.

Poaching The running of a process in a locale other than its defined home locale. Generally the home locale is equal to the current locale of a process unless the system detects a need, due to locale CPU load or memory load imbalance, to run the process in a different locale. See also Current locale and Home locale.

RAID (Redundant Array of Independent Disks) A group or groups of disk modules that are connected together to offer high availability. There are six RAID implementations defined:

- Level 1/0 mirrored disk array with striping, duplicated data, and no parity (data is duplicated on the mirrors, eliminating the need for parity protection)
- Level 0 disk array with striping, but no parity protection
- Level 1 mirrored disk array with duplicated data
- Level 2 disk array with bit-level striping and Hamming code protection
- Level 3 disk array with byte-interleaved data and parity on one disk
- Level 4 disk array with block-interleaved data and parity on one disk
- Level 5 disk array with block-interleaved data and distributed parity

RAM disk

A technique that uses main memory (RAM) as a virtual disk drive. The DG/UX file system supports RAM disks with the memory file system option. The RAM disk technique differs from disk caching in that a RAM disk is static—the memory is used by a few high usage programs or data files, which are loaded explicitly into RAM disk and stay there until they are explicitly removed. Main memory that is used for a disk cache is dynamic because it keeps in memory only the most recently used programs or data.

Retransmission A network's way of guaranteeing that all data arrives correctly. If a packet of network data doesn't make it to its destination or if it is damaged en route, the originator usually replaces the missing data by retransmitting the packet.

Rotational latency The time that it takes for a disk sector (with the data that you want) to revolve under the head. Rotational latency is a function of the speed at which a disk spins. Currently, most popular disks spin at 3600 RPM, so their average latency time is 8.33 milliseconds—the time it takes for a disk to spin one-half revolution. Seek time and rotational latency, taken together, represent the time it takes a disk to actually find data on a disk and send the data to the disk controller. See also Disk transfer rate.

SCSI

RPC (Remote Procedure Call) A layer of distribution service that intercepts a service request on one system in a network, packages the request for transmission over the net, unpackages the request on another system in the network for execution, then passes back the results to the original requestor. RPC is one of the bases on which a service such as NFS is built. Other system services can be built using RPC, and distributed applications may choose to use RPC.

Scheduling Arranging processes by priority and class to run on a CPU or CPUs, or conversely, determining which processes a given CPU is allowed to run.

Acronym for Small Computer Systems Interface, pronounced "scuzzy." SCSI is a standard interface to disk and tape controllers for small devices. Providing faster transfer speeds than the SCSI interface, SCSI-2 is an improved definition of the SCSI interface. Either resides directly on the computer's CPU board or is an independent board in the computer. A SCSI interface supports up to seven devices attached to the computer in a serial configuration. A SCSI-2 interface (wide-SCSI 16-bit bus addressing) supports up to 15 devices.

Sector See *Heads*, *sectors*, *and tracks*.

Seek time The time that it takes to move a disk drive's heads between tracks. Seek times are usually expressed in terms of track-to-track (minimum), the average, and the maximum. The minimum seek time is the time it takes to move to an adjacent track. The maximum seek time is the time it takes to move the heads from the innermost track to the outermost track.

Semaphore A System V IPC (Interprocess Communication) mechanism, supported by the DG/UX system, that allows processes to synchronize operations by signalling an event and waiting for an event (known as P and V operations). A process that performs a P operation increments the integer value of the semaphore; a V operation decrements the value of the semaphore. Semaphore system calls include semctl, semget, and semop.

Shared library A way of storing, in one library, subroutines that are used by two or more programs. Shared libraries provide savings in disk space and in the time that it takes to load a program.

Shared memory A System V IPC (Interprocess Communication) mechanism, supported by the DG/UX system, that allows processes to communicate by having the same physical memory in their virtual address spaces. See also *Memory mapping*.

SMP

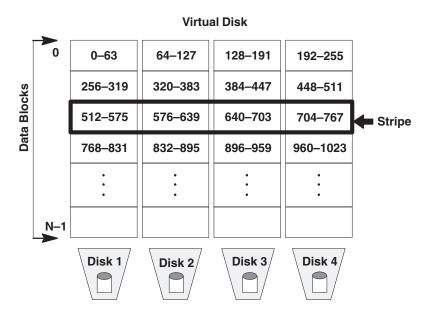
Symmetric Multi-Processor. A processor configuration in which many processors symmetrically share a single image of memory and operating system.

Spindle See Disk module

Stripe unit The amount of data that is stored on each disk module in a stripe. The stripe unit size in current high availability disk systems is 800 sectors (400KB). The stripe unit for soft striping is specified when you create a virtual disk.

Striping

The technique of distributing (or interleaving) data across several disks so that data can be accessed in parallel, increasing disk I/O performance. The DG/UX operating system supports striping at both the software and hardware levels. Software-level striping works within virtual disks. The Data General high availability disk systems support hardware-level striping across disk modules in a disk group. Hardware-level striping is part of the RAID 1/0, 0, 3, and 5 design, which provides uninterrupted access to data if a disk module in the array fails.



Swap area Refers to a part of a disk (or other secondary storage) that the kernel reserves and uses to store memory pages that must be removed from memory to make room for pages that an LWP wants to access.

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Swap space The system-wide resource for supporting virtual memory that includes physical memory and swap areas.

Tracks See *Heads, sectors, and tracks*.

VDM (Virtual Disk Manager) The VDM allows users to create and manage *virtual disks*. Virtual disks function just as physical disks do, but provide an abstraction to data, as well as many high–availability features, such as partitioning and fault isolation. The VDM maps the I/O through virtual disks and issues operations to physical disks. See the **vdm**(7) man page for a full description.

End of Glossary

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